

## Item 1 Cover Page

Part 2B of Form ADV

Brochure Supplement for Richard Boehm

Unified Wealth Management, LLC

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Phone: 480.668.3016

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This brochure supplement provides information about Richard Boehm, CFP® that supplements Unified Wealth Management, LLC's brochure. You should have received a copy of that brochure. Please contact us at (480) 668-3016 if you did not receive Unified Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about our representatives is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)

## Item 2 – Educational Background and Business Experience

Richard Boehm, CFP®, born 1970

Formal Education after High School:

Bachelor of Science, Accounting, SUNY Oswego

Business Background:

12/2004 - Present, Unified Wealth Management, LLC, Managing Member

02/2009 - Present, LPL Financial, Registered Representative

12/2005 - 02/2009, GunnAllen Financial, Inc., Registered Representative

09/2004 - Present, Unified Financial Services, LLC, Member/Insurance Sales

09/2004 - Present, Unified Tax Services, LLC, Member/Tax Preparation

### Professional Designations

Richard Boehm holds the following designations: Certified Financial Planner ("CFP®")

Issuing Organization: Certified Financial Planner Board of Standards, Inc ("CFPBS")

Prerequisites/Experience Required: Must have a bachelor's degree (or higher) from an accredited college or university, and three years of full-time personal financial planning experience.

Educational Requirements: Must complete a CFP-board registered program or hold another designation authorized by the CFPBS.

Continuing Education: 30 hours every two years.

## Item 3      Disciplinary Information

None

## Item 4      Other Business Activities

Richard Boehm is involved in the following investment-related businesses:

Richard Boehm is also a registered representatives with LPL Financial, a registered broker/dealer and member of FINRA. In such capacity, they sell securities through LPL Financial and receive normal and customary commissions as a result of such purchases and sales. The client is under no obligation to purchase or sell securities through our representatives on a commissionable basis. In addition, they may receive other compensation such as mutual fund or money market 12b-1 fees and variable annuity trails. The potential for receipt of commissions and other compensation gives our representatives an incentive to recommend investment products based on the compensation received, rather than on the client's needs. To address this, disclosure is made to the client at the time a brokerage account is opened through LPL Financial, identifying the nature of the transaction or relationship, the role to be played by LPL Financial and our

representatives and any compensation (e.g., commissions, 12b-1 fees) to be paid by the client and/or received by the registered representative.

Richard Boehm is also an insurance agent. In such capacity, they may offer fixed and variable life insurance products and receive normal and customary commissions as a result of any purchases made by clients. The client is under no obligation to purchase fixed or variable life insurance through our representative on a commissionable basis. In addition, they may receive other compensation such as fixed or variable life trails. The potential for receipt of commissions and other compensation gives our representatives an incentive to recommend insurance products based on the compensation received, rather than on the client's needs. To address this, disclosure is made to the client at the time purchase is made, identifying the nature of the transaction or relationship, the role to be played by the representative, and any compensation(e.g., commissions, trails) to be paid by the client and/or received by the insurance agent.

We have an affiliated tax and accounting firm and general agency, Unified Financial Services, Inc. and thus Advisory Representatives may also act in that capacity. There is no requirement to use the tax or accounting services of the affiliated firm. Our representatives have a financial interest in recommending that you use these additional services.

## **Item 5 Additional Compensation**

Our representatives may receive the following forms of additional compensation as a result of providing advisory services to clients:

They may receive non-cash compensation from product sponsors. Such compensation may not be tied to the sales of any products. Compensation may include such items as gifts valued at less than \$100 annually, an occasional dinner or ticket to a sporting event, or reimbursement in connection with educational meetings or marketing or advertising initiatives. Product sponsors may also pay for education or training events that they may attend. This practice gives our representatives an incentive to recommend investment products based on the compensation received, rather than on the client's needs.

Richard Boehm may receive from LPL Financial production bonuses, stock options, reimbursement of fees paid to LPL Financial for items such as administrative services, and other things of value such as free or reduced-cost attendance at LPL Financial's national sales conference or top producer forums and events. Production bonuses, administrative fee reimbursements, and awards for conference attendance are based on overall business produced by [associated person] and do not favor one product or program over others. The awarding of stock options is based on total production, recurring revenue and growth rate of production. Advisory fees are considered recurring revenue and, thus, there may be a financial incentive for Richard Boehm to recommend client's establish advisory accounts so that they will receive recurring revenue and possibly receive stock options from LPL Financial.

## **Item 6 Supervision**

Our representatives' advisory activities are supervised by a supervisor that is responsible for review of transactions, account suitability, and written correspondence including email, among other activities. In addition, all accounts that are managed by our representatives are subjected to surveillance reporting generated by LPL Financial and enforced by Unified Wealth Management, LLC using various criteria designed to monitor account activity, potential concentration levels of holdings, and performance of the account versus defined benchmarks.

Richard Boehm, CFP® is supervised by Matthew Boehm. His telephone number is 860-430-9393.

## **Item 7      Requirements for State-Registered Advisers**

We have no additional items to report in this section.