

GRIDLEY

ASSOCIATES INC.

Financial Planning and Investment Management

Item 1 - Cover Page

FORM ADV PART 2B* SEC-Required Brochure Supplement

Professional Background of
Lewis R. (“Randy”) Gridley

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*This brochure supplement provides information about the qualifications of Lewis R. Gridley. This is a supplement to the Gridley Associates, Inc. brochure which you should have received previously. Please contact the Firm’s Chief Compliance Officer, Lewis R. Gridley if you have not received the brochure or if you have any questions about the contents of this supplement. Additional information about Gridley Associates, Inc. is available on the SEC’s website at www.adviserinfo.sec.gov.

Item 2 - Educational Background and Business Experience

LEWIS R. (“Randy”) GRIDLEY

Year of Birth:

1955

Business Background:

2000 - Present	Gridley Associates, Inc., President, Portfolio Manager and Chief Compliance Officer
1982 - 2000	Credit Suisse First Boston, Vice President, Director, focusing on marketing and analysis of derivatives and fixed income securities.

Education:

University of Vermont - Bachelor of Arts, June 1978

Columbia University, Graduate School of Business - Master of Business Administration, 1982

FINRA Regulatory Examinations Passed:

Series 3, 5, 7, 8, 63 and 65

Professional Designations:

Certified Financial Planner Board of Standards, Inc. certification as a Certified Financial Planner* (“CFP®”) - February 2000

Professional and Community Activities:

Mr. Gridley is past President and Chairman of the Financial Planning Association of San Francisco and has made numerous appearances on radio and television on financial planning related topics. In addition, he is an active member of the national Financial Planning Association and involved in numerous pro bono activities related to his practice. Randy is also an Adjunct Professor and former Interim Head of the Financial Planning Program at Golden Gate University in San Francisco where he teaches graduate level courses in financial planning. He serves on the Board and heads the Investment Committee of one of San Francisco’s oldest charitable organizations and is an active participant in fund raising activities for several educational and charitable organizations.

Mr. Gridley is a resident of San Francisco, spending his free time reading and enjoying the outdoors with his family.

Item 3 - Disciplinary Information

Mr. Gridley has no legal or disciplinary events or disclosures.

Item 4 - Other Business Activities

Mr. Gridley devotes his full professional time to providing investment management and financial planning services to the Firm's clients.

Item 5 - Additional Compensation

Other than as disclosed in Item 12 of the Firm's Form ADV Part 2A related to unsolicited discounts on software or brokerage services from client custodians, neither the Firm nor any of its employees receive any economic benefit from any non-client for the provision of investment advisory services.

Item 6 - Supervision

All Firm personnel are supervised by Randy Gridley whose supervision is ongoing and includes account reviews, trade supervision, annual compliance reviews including the forensic testing of Firm systems, staff meetings and employee reviews.

Item 7 - Requirements for State-Registered Advisors

Mr. Gridley has not been the subject of a bankruptcy filing. Nor has he ever been liable for any claim alleging damages in excess of \$2,500, or found liable in any proceeding involving claims of investment-related business activity, fraud, false statements or omissions, theft, embezzlement, other wrongful taking of property, bribery, forgery, counterfeiting, extortion, or dishonest, unfair or unethical practices.

* The Certified Financial Planner® ("CFP®") designation requires the holder to meet education, examination, experience and ethics requirements, and pay an ongoing certification fee. A bachelor's degree (or higher), or its equivalent in any discipline, from an accredited college or university is required. Students are required to complete course training in nine core financial topic areas, sit for a 10 hour CFP Board Certification Examination, acquire three years full-time or equivalent (2,000 hours per year) part-time work experience in the financial planning field and undergo an extensive background check—including an ethics, character and criminal check.