

# JOSHUA O. WELCH

STEVEN WELCH, CPA  
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**This brochure provides supplemental information about Joshua O. Welch (“Josh Welch”). This supplements the Steven Welch, CPA brochure, which should have also been provided to you. Please contact Ali Repose at Steven Welch, CPA if you need the Steven Welch, CPA brochure or if you have any questions.**

**Additional information about Steven Welch, CPA is also available at the SEC’s website [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) (select “investment adviser firm” and type in our firm name). Results will provide you both Part 1 and 2 of our Form ADV.**

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## ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

### 2.A: INDIVIDUAL

**Josh Welch**

Year Born: 1972

### 2.B: EDUCATIONAL BACKGROUND

B.S., Business Management & Marketing – University of Oregon (1994)

Certificate of Financial Planning – University of California, Los Angeles (2009)

### 2.C: BUSINESS BACKGROUND

2009 – Present: *Investment Advisor Representative*, Steven Welch, CPA

2004 – 2009: *Senior Account Manager*, KNOVA / KANA

2003 – 2004: *Principal*, Devil's Canyon Brewery

1999 – 2003: *Account Executive*, EGain

### 2.D: PROFESSIONAL QUALIFICATIONS

Series 65, Uniform Investment Adviser Law Examination (2003)

## ITEM 3 – DISCIPLINARY INFORMATION

Josh Welch has no legal or disciplinary events that are material to you or a prospective client's evaluation of this advisory business.

## ITEM 4 – OTHER BUSINESS ACTIVITIES

The principal business of Steven Welch, CPA is that of a registered investment advisor and provider of financial planning services.

## ITEM 5 – ADDITIONAL COMPENSATION

Other than work with Steven Welch, CPA and any disclosures made in Items 2 and 4 above, Josh Welch receives no additional compensation related to outside business activities.

## ITEM 6 – SUPERVISION

Josh Welch is not a supervising authority at Steven Welch, CPA but does work closely with and is monitored by the supervising member of the firm. The supervising member is J. Steven Welch; who remains aware of and keeps us in compliance with the current rules and regulations put forth by each ruling regulatory authority where we conduct our business. Steven Welch, CPA has established internal policies for the guidance of its trading personnel. Transactions, which vary from the guidelines, are subject to periodic supervisory review. These guidelines are reviewed yearly and periodically adjusted.

Steven Welch, CPA maintains and periodically updates a list of approved brokers and dealers, which is generally capable of providing best price and execution and is financially stable.

Steven Welch is located at 313 NW A Street, Grants Pass, Oregon 97526 and can be reached by calling (541) 474-6616.

## ITEM 7 – REQUIREMENTS FOR STATE REGISTERED ADVISORS

Other than any disclosures made in Item 3 above, Josh Welch has not been found liable in any additional arbitration or liable in a civil, self-regulatory organization, or administrative proceeding involving an investment or an investment-related business or activity; fraud, false statement(s), or omissions; theft, embezzlement, or other wrongful taking of property; bribery, forgery, counterfeiting, or extortion; or dishonest, unfair, or unethical practices. Josh Welch has never been the subject of a bankruptcy petition.