
Brochure Supplement

Stacey L. Smith

Michael D. Finley

Graham M. Strickland

S & S Wealth Management, LP

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This brochure supplement provides information about Stacey L. Smith, Michael D. Finley and Graham M. Strickland that supplements the S&S Wealth Management disclosure brochure; you should have received a copy of the S&S Wealth Management disclosure brochure. Please contact Gloria Patterson, Operations Manager at 817-276-8090 if you did not receive S&S Wealth Management's brochure or if you have any questions about the contents of this supplement.

Additional information about Stacey L. Smith, Michael D. Finley or Graham Strickland is available on the SEC's website at www.adviserinfo.sec.gov

Educational Background and Business Experience

Stacey L. Smith Born: 1968

Education:

B.B.A. General Business, minor in Finance, *North Texas State University*, 1991

Business Experience:

S&S Wealth Management, LP (2004–Present): Principal, Chief Compliance Officer, and an Investment Advisor Representative (IAR).

SWS Financial Services (2000–Present): Registered Representative.

Disciplinary Information

Stacey Smith has no reportable disciplinary history.

Other Business Activities

In addition to being Principal, and Chief Compliance Officer (CCO) of S&S Wealth Management, Stacey Smith is a Registered Representative of SWS Financial Services, Inc. (SWSFS), a FINRA registered broker/dealer. In this capacity, Stacey Smith may recommend brokerage products to clients and receive separate yet customary compensation on the purchase and sale of securities products for client accounts in which SWSFS is the custodian. Compensation is also received through SWSF for the direct sale of mutual funds, alternative investments and variable insurance products. Some examples of the types of compensation earned are:

- Commissions on securities transactions;
- mutual fund (12b-1 fees); and
- service fees and on-going distribution fees from sales of annuities

Stacey Smith is also authorized to sell other insurance products in the states in which she holds a current insurance license and is appointed as independent agent with various insurance companies and may receive commissions based on the sale of the products as well as renewal commissions on these products for a limited number of years or up to the life of the product depending on the company. Stacey Smith may receive non-cash compensation from product sponsor companies in the form of marketing support and/or the reimbursement of costs associated with attending meetings offered by product sponsors, typically referred to as 'due diligence meetings'.

When such recommendations or sales are made, a conflict of interest exists as Stacey Smith may earn commissions for the sale of those products, which may create an incentive to recommend such products based on the potential compensation associated with the products, rather than on the client's needs. As a fiduciary, S&S Wealth Management, at all times, puts the interests of our clients first. We take the following steps to address this conflict: (1) Clients are made aware that they are not obligated to purchase recommended investment products from these affiliated companies; (2) SWSFS reviews suitability for all new business submitted to SWSFS.

Additional Compensation

Stacey Smith has no additional compensation to report.

Supervision

As Principal and Chief Compliance Officer of S&S Wealth Management, Stacey L. Smith is responsible for the supervision of the firm and its IARs. Since Stacey Smith is also an IAR of the firm, she has designated Gloria Patterson to help in monitoring the activities of all the firm's IARs, including Stacey Smith in relation to compliance with the firm's established policies and procedures.

State-Registered Investment Advisors

Stacey Smith has never been found liable in an arbitration proceeding or a civil, self-regulatory, or administrative proceeding. Ms. Smith has also never been the subject of a bankruptcy petition.

Educational Background and Business Experience

Michael D. Finley Born: 1949

Education:

B.B.A. General Business, *North Texas State University*, 1973

Business Experience:

S&S Wealth Management, LP (2004–Present) Investment Adviser Representative.

SWS Financial Services, Inc. (2000-Present) Registered Representative.

Disciplinary Information

Michael Finley has no reportable disciplinary history.

Other Business Activities

In addition to being an Investment Advisor Representative of S&S Wealth Management, Michael Finley is a Registered Representative of SWS Financial Services, Inc. (SWSFS), a FINRA registered broker/dealer. In this capacity, Michael Finley may recommend brokerage products to clients and receive separate yet customary compensation on the purchase and sale of securities products for client accounts in which SWSFS is the custodian. Compensation is also received through SWSF for the direct sale of mutual funds, alternative investments and variable insurance products. Some examples of the types of compensation earned are:

- Commissions on securities transactions;
- mutual fund (12b-1 fees); and
- service fees and on-going distribution fees from sales of annuities

Michael Finley is also authorized to sell other insurance products in the states in which he holds a current insurance license and is appointed as independent agent with various insurance companies and may receive commissions based on the sale of the products as well as renewal commissions on these products for a limited number of years or up to the life of the product depending on the company. Michael Finley may receive non-cash compensation from product sponsor companies in the form of marketing support and/or the reimbursement of costs associated with attending meetings offered by product sponsors, typically referred to as 'due diligence meetings'.

When such recommendations or sales are made, a conflict of interest exists as the representative may earn commissions for the sale of those products, which may create an incentive to recommend such products based on the potential compensation associated with the products, rather than on the client's needs. As a fiduciary, S&S Wealth Management, at all times, puts the interests of our clients first. We take the following steps to address this conflict: (1) Clients are made aware that they are not obligated to purchase recommended investment products from these affiliated companies; (2) the Chief Compliance Officer reviews suitability for all new business submitted to SWSFS in the capacity of Branch Manager and OSJ of SWSFS.

Additional Compensation

Michael Finley has no additional compensation to report.

Supervision

Supervisor: Stacey L. Smith, Principal, CCO

Phone Number: 817-276-8090

As Chief Compliance Officer of S&S Wealth Management, Stacey Smith is responsible for the supervision of Michael Finley. All customer communications are reviewed by Stacey Smith weekly. Stacey Smith also monitors Michael Finley's activities through regular contact and by reviewing securities transactions.

State-Registered Investment Advisors

Michael Finley has never been found liable in an arbitration proceeding or a civil, self-regulatory, or administrative proceeding. Mr. Finley has also never been the subject of a bankruptcy petition.

Educational Background and Business Experience

Graham M. Strickland Born: 1977

Education:

University of Texas at Arlington, Bachelors Degree, Public Relations, 2004

Business Experience:

Investment Advisor Representative, S&S Wealth Management (9/15/2011-Present)

Registered Representative, SWS Financial Services (9/15/2011-Present)

Registered Representative, Questar Capital Corporation (2008-2011)

Investment Advisor Representative, Questar Asset Management (2008-2011)

Managing Partner, Donelan Financial Group (2002-2010)

Disciplinary Information

Graham Strickland has no reportable disciplinary history.

Other Business Activities

In addition to being an Investment Advisor Representative of S&S Wealth Management, Graham Strickland is a Registered Representative of SWS Financial Services, Inc. (SWSFS), a FINRA registered broker/dealer. In this capacity, Graham Strickland may recommend brokerage products to clients and can receive separate yet customary compensation on the purchase and sale of securities products for client accounts in which SWSFS is the custodian. Compensation is also received through SWSF for the direct sale of mutual funds, alternative investments and variable insurance products. Some examples of the types of compensation earned are:

- Commissions on securities transactions;
- mutual fund (12b-1 fees); and
- service fees and on-going distribution fees from sales of annuities

Graham Strickland is also authorized to sell other insurance products in the states in which he holds a current insurance license and is appointed as independent agent with various insurance companies and may receive commissions based on the sale of the products as well as renewal commissions on these products for a limited number of years or up to the life of the product depending on the company. Graham Strickland may receive non-cash compensation from product sponsor companies in the form of marketing support and/or the reimbursement of costs associated with attending meetings offered by product sponsors, typically referred to as 'due diligence meetings'.

When such recommendations or sales are made, a conflict of interest exists as the representative may earn commissions for the sale of those products, which may create an incentive to recommend such products based on the potential compensation associated with the products, rather than on the client's needs. As a fiduciary, S&S Wealth Management, at all times, puts the interests of our clients first. We take the following steps to address this conflict: (1) Clients are made aware that they are not obligated to purchase recommended investment products from these affiliated companies; (2) the Chief Compliance Officer reviews suitability for all new business submitted to SWSFS in the capacity of Branch Manager and OSJ of SWSFS.

Graham Strickland owns an independent insurance agency, Strickland Financial Group, LLC, that markets and sells the following types of products: fixed annuities, fixed indexed annuities, disability insurance, health insurance, non-variable life insurance, employee-benefits and long-term care insurance, and typically earns a commission, and on-going distribution or service fees from the sale of these products.

Additional Compensation

Graham Strickland has no additional compensation to report.

Supervision

Supervisor: Stacey L. Smith, Principal, CCO

Phone Number: 817-276-8090

As Chief Compliance Officer of S&S Wealth Management, Stacey Smith is responsible for the supervision of Graham Strickland. All customer communications are reviewed by Stacey Smith weekly. Stacey Smith also monitors Graham Strickland's activities through regular contact and by reviewing securities transactions.

State-Registered Investment Advisors

Graham Strickland has never been found liable in an arbitration proceeding or a civil, self-regulatory, or administrative proceeding. Mr. Strickland has also never been the subject of a bankruptcy petition.