

Part 2B of Form ADV: *Brochure Supplement*

Kenneth Coplen

SFC Financial, LLC
3890 South Lindbergh Boulevard
Suite 200
Sunset Hills, Missouri 63127
Telephone: (314) 842-2001
Email: kcoplen@sfccpa.com

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This brochure supplement provides information about Kenneth Coplen that supplements the SFC Financial, LLC brochure. You should have received a copy of that brochure. Please contact Kenneth A. Coplen 314-842-2001 if you did not receive SFC Financial, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Kenneth Coplen is available on the SEC's website at www.adviserinfo.sec.gov. You can search this site by a unique identifying number, known as a CRD number. The CRD number for Kenneth Coplen is 1705966.

Item 2 Educational Background and Business Experience

Full Legal Name: Kenneth Coplen **Born:** 1965

Education

- McKendree College (now McKendree University), B.B.A. in Accounting, 1987

Business Experience

- SFC Financial, LLC, Manager & Chief Compliance Officer, 2003 - Present
- Swink, Fiehler & Company, P.C., Partner, 1989 - Present
- ProEquities, Inc., Registered Representative, 2007 - 2008

Item 3 Disciplinary Information

Kenneth Coplen has no reportable disciplinary history.

Item 4 Other Business Activities

A. Investment-Related Activities

Kenneth Coplen is not engaged in any other investment-related activities.

Kenneth Coplen does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

B. Non Investment-Related Activities

Kenneth Coplen is a Partner in the accounting firm of Swink, Fiehler and Company, P.C., where he is an individually licensed and practicing Certified Public Accountant providing accounting services for separate and typical compensation. Swink, Fiehler and Company, P.C. typically recommends SFC Financial, LLC to accounting clients in need of advisory services. Conversely, SFC Financial, LLC typically recommends Swink, Fiehler and Company, P.C. to advisory clients in need of accounting services. Accounting services provided by Swink, Fiehler and Company, P.C. are separate and distinct from SFC Financial, LLC's advisory services, and are provided for separate and typical compensation. There are no referral fee arrangements between the two firms for these recommendations. No SFC Financial, LLC client is obligated to use Swink, Fiehler and Company, P.C. for any accounting services and conversely, no accounting client is obligated to use the advisory services provided by us.

Mr. Coplen will spend the majority of his time on the accounting practice.

Item 5 Additional Compensation

Kenneth Coplen does not receive any economic benefit from a non-advisory client for the provision of advisory services.

Item 6 Supervision

Kenneth Coplen, as Manager and Chief Compliance Officer, is responsible for the supervision and monitoring of investment advice offered to advisory clients of SFC Financial, LLC. He can be reached at (314) 842-2001. Mr. Coplen will review all employee personal securities transactions on a quarterly basis, oversee all material investment policy changes, and conduct periodic testing to ensure that client objectives and mandates are being met.

Item 7 Requirements for State-Registered Advisers

Kenneth Coplen has never been the subject of a bankruptcy petition nor has he ever been involved in any of the following events:

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
 - (a) an investment or an investment-related business or activity;
 - (b) fraud, false statement(s), or omissions;
 - (c) theft, embezzlement, or other wrongful taking of property;
 - (d) bribery, forgery, counterfeiting, or extortion; or
 - (e) dishonest, unfair, or unethical practices.
2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
 - (a) an investment or an investment-related business or activity;
 - (b) fraud, false statement(s), or omissions;
 - (c) theft, embezzlement, or other wrongful taking of property;
 - (d) bribery, forgery, counterfeiting, or extortion; or
 - (e) dishonest, unfair, or unethical practices.