
US IMC – New Form ADV – Part 2A

Part 2A

Item 1. Cover Page

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Brochure version: May 18, 2012

Item 2. Material Changes

Brochure Version: May 18, 2012 - No material changes

Administrative changes made to:

Items: 4 and 8. Changed the name of Long Term Low Volatility strategy (LTLV) to Defensive Equity Income (DEI).

Brochure Version: March 22, 2012 – No material changes

Administrative changes made to:

Item 4 – Update of AUM

Item 8 – Language on Analytical model risk expanded and the removal of the language surrounding commingled funds and the risk involved

Items 10, 12 and 13 – Update to reflect name change of the Singapore entity

Item 15 – Removal of commingled funds language and other minor administrative edits.

Brochure Version: January 31, 2012 - Annual updating amendment

AXA Rosenberg made material changes to the following sections:

Item 4: Advisory Business – Update of Assets under Management

Item 7: Types of Clients – Minimum Investment Size

Item 9: Disciplinary Information

Item 10: Affiliations – Investment Advisory Affiliates