



Form ADV Part II B

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This brochure supplement provides information about Thomas F. Lydon, Jr. that supplements the Global Trends Investments brochure. You should have received a copy of that brochure. Please contact Mr. Lydon if you did not receive Global Trends Investments' brochure or if you have any questions about the contents of this supplement.

Additional information about Thomas F. Lydon, Jr. is available on the SEC's website at www.adviserinfo.sec.gov.

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Item 2 Educational Background and Business Experience

Name: Thomas F. Lydon Jr.

Year of Birth: 1960

Education: Babson College; Bachelor of Science 1982

Licenses: 6, 63, 65, 24

Business Background:

4/96 - Present Lydon Asset Management, Inc. dba Global Trends Investments

Chairman of the Board, President, CEO

4/84 - 3/96 Fabian Financial Services, Inc.

President, Vice-President, Account Manager

Item 3 Disciplinary Information

There are no legal or disciplinary events for Mr. Lydon in relation to the following:

- A. Criminal or civil action in a domestic, foreign or military court or competent jurisdiction in which he:
 - a. was convicted of, or pled guilty or nolo contendere (“no contest”) to (a) any felony; (b) a misdemeanor that involved investments or any investment-related business, fraud, false statements or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, or extortion; or (c) a conspiracy to commit any of these offenses;
 - b. is the named subject of a pending criminal proceeding that involves an investment-related business, fraud, false statements or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, extortion, or a conspiracy to commit any of these offenses;
 - c. was found to have been involved in a violation of an investment-related statute or regulation; or
 - d. was the subject of any order, judgment, or decree permanently or temporarily enjoining, or otherwise limiting, the supervised person from engaging in any investment-related activity, or from violating any investment-related statute, rule or order.
- B. Administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority in which he:
 - a. was found to have caused an investment-related business to lose its authorization to do business; or
 - b. was found to have been involved in a violation of an investment-related statute or regulation and was the subject of an order by the agency or authority
 - i. denying, suspending, or revoking the authorization of the supervised person to act in an investment-related business;
 - ii. barring or suspending the supervised person’s association with an investment-related business;

- iii. otherwise significantly limiting the supervised person's investment-related activities; or
 - iv. imposing a civil money penalty of more than \$2,500 on the supervised person.
- C. Self-regulatory organization (SRO) proceeding in which he:
 - a. was found to have caused an investment-related business to lose its authorization to do business; or
 - b. was found to have been involved in a violation of the SRO's rules and was: (i) barred or suspended from membership or from association with other members, or was expelled from membership; (ii) otherwise significantly limited from investment-related activities; or (iii) fined more than \$2,500.
- D. Any other proceeding in which a professional attainment, designation, or license of the supervised person was revoked or suspended because of a violation of rules relating to professional conduct.

Item 4 Other Business Activities

Principals or supervised persons at Global Trends Investments are not, nor have applications pending to register as a broker-dealer or a registered representative of a broker-dealer; nor as a futures commission merchant, commodity pool operator, a commodity trading advisor, or an associated person of the foregoing entities. GTI does not recommend or select other investment advisers for clients.

Thomas F. Lydon Jr., president of GTI, serves as a member of the following:

The Board of Directors with U.S. Global Investors, a publicly traded investment advisor currently managing several mutual funds in San Antonio, TX. This firm will not be used as a third party investment adviser for Global Trends clients.

The Board of Trustees of Guggenheim Investments Mutual Funds and ETFs, part of Securities Investors, Inc., based in New York, NY. If Guggenheim mutual funds or ETFs are used in client accounts, we will contact clients and disclose Mr. Lydon's relationship.

Mr. Lydon spends 5-10 hours a month on board related issues and attends quarterly board meetings. He is compensated for his time commensurate with industry standards.

Item 5 Additional Compensation

Mr. Lydon does not receive any economic benefits from someone who is not a client for providing advisory services.

Item 6 Supervision

Tom Lydon is the Owner, President, and investment advisor for Global Trends Investments. He can be reached at 949-794-0070 or via email at

tlydon@globaltrend.com. All communication between Mr. Lydon and clients is documented either via email, letter or telephone conversation notes in the CRM (customer relationship management) system.

In addition, Mr. Lydon sends quarterly reports to clients with market commentary, performance and billing.

Each year, the firm holds a compliance review over the past year to make sure all policies and procedures were followed and any corrective action was taken if necessary.

Item 7 Requirements for State-Registered Advisers

Tom Lydon has not been involved in an award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:

- an investment or an investment-related business or activity;
- fraud, false statement(s), or omissions;
- theft, embezzlement, or other wrongful taking of property;
- bribery, forgery, counterfeiting, or extortion; or
- dishonest, unfair, or unethical practices.

Tom Lydon has not been involved in an award or otherwise being found liable in a civil, self-regulatory organization or administrative proceeding involving any of the following:

- an investment or an investment-related business or activity;
- fraud, false statement(s), or omissions;
- theft, embezzlement, or other wrongful taking of property;
- bribery, forgery, counterfeiting, or extortion; or
- dishonest, unfair, or unethical practices

Tom Lydon has not been the subject of a bankruptcy petition.