

Item 1: Cover Page

Part 2B of Form ADV: *Brochure Supplement*
June 14, 2012

Tower View Investment Management & Research, LLC
191 N. Broadway #705
Milwaukee, WI 53202
1.414.791.6834

Supervisor's Name:
Raman J Ghei, CFA

This brochure supplement provides information about Raman J Ghei that supplements the Tower View brochure. You should have received a copy of that brochure. Please contact Tower View if you did not receive our brochure or if you have any questions about the contents of this supplement. Additional information about Raman J Ghei is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2: Educational Background and Business Experience

The sole owner and person with authority to make investment decisions and changes in client portfolios (a special security key password is required to access all trading and client requests to move money applications and only the Managing Director holds this security key) at Tower View is the Managing Director Raman J. Ghei. He was born in 1964, graduated from the University of Wisconsin-Madison in 1985, began work in the financial industry in 1986, earned a Chartered Financial Analyst designation in 1990, and his background is as follows:

- Chartered Financial Analyst (CFA) since 1990
- Twenty five years of investment experience
- Founder and Owner of Tower View Investment Management since 1999

March, 1992 – July, 1999 Senior Portfolio Manager/Director of Research/Partner Concord Investment Company; Chicago, IL

- Senior equity analyst for all market sectors. Included domestic and foreign securities, value-oriented selections and maintenance of a model portfolio for portfolio managers.
- Participated closely in a broad range of organizational issues, including employee compensation, 401-Ks, and management structure.
- Co-managed over \$500 million in investment assets.
- Developed economic outlook/strategy and wrote monthly economic/market Research Report for clients. Presented economic and market topics to clients via speeches, meetings and conference calls.

Oct., 1990 - Oct., 1991 Investment Officer, First Wisconsin Trust Co.; Milwaukee, WI
April, 1986 - Oct., 1990 Director of Research, Feldman Investment Group; Chicago, IL

Item 3: Disciplinary Information

Raman is not nor has ever been subject to any financial industry disciplinary actions. There never have been any legal or disciplinary events material to a client's or prospective client's evaluation of the supervised person.

Item 4: Other Business Activities

Neither the Managing Director nor Tower View is actively engaged in any other business.

Item 5: Additional Compensation

Raman does not receive any commissions or income from the sale of any mutual funds or other securities, nor is paid any bonuses or other awards based upon account performance, referrals or new business.

Item 6: Supervision

Raman is the most senior person at Tower View, and thus is not subject to supervision within the firm.

Item 7: Requirements for State-Registered Advisers

A. The supervised person has not ever been involved in any of the events listed below:

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:

- (a) an investment or an investment-related business or activity;
- (b) fraud, false statement(s), or omissions;
- (c) theft, embezzlement, or other wrongful taking of property;
- (d) bribery, forgery, counterfeiting, or extortion; or
- (e) dishonest, unfair, or unethical practices.

2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:

- (a) an investment or an investment-related business or activity;
- (b) fraud, false statement(s), or omissions;
- (c) theft, embezzlement, or other wrongful taking of property;
- (d) bribery, forgery, counterfeiting, or extortion; or
- (e) dishonest, unfair, or unethical practices.

B. The supervised person has never been the subject of a bankruptcy petition.