

**Item 1 – Cover Page**

OAKWOOD CAPITAL MANAGEMENT LLC

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October 26, 2012

This Brochure Supplement provides information about Oakwood Capital Management LLC's *supervised persons* that supplements the Oakwood Capital Management LLC Brochure. You should have received a copy of that Brochure. Please contact Mr. Nicanor M. Mamaril, Executive Vice President/CFO/COO/CCO if you did not receive Oakwood's Brochure or if you have any questions about the contents of this supplement.

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## **ADV PART 2B – Brochure Supplement for Bruce A. Mandel**

**Item 1 Cover Page**

**BRUCE A. MANDEL**

**President & Chief Executive Officer**

**OAKWOOD CAPITAL MANAGEMENT LLC**

**1990 SOUTH BUNDY DRIVE, SUITE 777**

**LOS ANGELES, CA 90025**

**310-772-2600**

**MARCH 31, 2011**

**This Brochure Supplement provides information about Mr. Bruce A. Mandel that supplements the Oakwood Capital Management LLC Brochure. You should have received a copy of that Brochure. Please contact Mr. Nicanor M. Mamaril, Executive Vice President/CFO/COO/CCO at 310-772-2600 if you did not receive Oakwood's Brochure or if you have any questions about the contents of this supplement.**

**Additional information about Bruce A. Mandel is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## Item 2- Educational Background and Business Experience

### Bruce A. Mandel, President & Chief Executive Officer

Year of Birth: 1952

Education:

University of California (UCLA) - BA, 1974 (Political Science)  
Southwestern University School of Law - JD, 1977

Business Background:

1998 – Present	Oakwood Capital Management LLC	Los Angeles, CA	President & CEO
1998 – Present	Oakwood Capital Holdings LLC	Los Angeles, CA	President & CEO
2004 – Present	Finance 500, Inc.	Irvine, CA	Registered Representative
1978 – 1998	RNC Capital Management Co.	Los Angeles, CA	Senior V.P. Director of Marketing

## Item 3- Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

## Item 4- Other Business Activities

Bruce Mandel is a registered representative of Finance 500, Inc. In that capacity, he may receive commissions on the sale of mutual funds and 12b-1 fees in connection with the sale of certain mutual funds (those mutual funds not used by Oakwood in its investment management). Oakwood's *clients* are under no obligation to purchase mutual funds on which Mr. Mandel will receive a commission or a 12b-1 fee. Any commissions he receives will be in addition to the payment of investment management fees to Oakwood by a *client*. In addition, acting as a registered representative of Finance 500, Inc., Mr. Mandel may recommend the purchase and sale of stocks to persons who are not clients, but may be related to *clients*, and receive commissions on those

transactions. Oakwood will not direct *clients'* transactions to Finance 500, Inc. and he will not receive any commissions on the purchase or sale of securities to Oakwood's clients.

## **Item 5 - Additional Compensation**

Mr. Mandel may refer *clients* to certain insurance brokers or agents that pay a referral fee to Oakwood if the *clients* purchase insurance through the agent. The payment of referral fees to Oakwood may give rise to a conflict of interest between Oakwood and its *clients* that is disclosed in writing to referred *clients*.

## **Item 6 - Supervision**

Mr. Mandel is supervised through Oakwood's internal policies. All investment guidelines are set by the Investment Policy Committee. Mr. Mandel's advisory activities are also supervised by Nicanor Mamaril, the Chief Compliance Officer of the firm, who can be reached at 800-586-0600 or 310-772-2600.

## **ADV PART 2B - Brochure Supplement for Nicanor M. Mamaril**



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**NICANOR M. MAMARIL**

**Executive Vice President/Chief Financial Officer  
Chief Operating Officer & Chief Compliance Officer**

**OAKWOOD CAPITAL MANAGEMENT LLC**

**1990 SOUTH BUNDY DRIVE, SUITE 777**

**LOS ANGELES, CA 90025**

**310-772-2600**

**MARCH 31, 2011**

**This Brochure Supplement provides information about Mr. Nicanor M. Mamaril that supplements the Oakwood Capital Management LLC Brochure. You should have received a copy of that Brochure. Please contact Mr. Nicanor M. Mamaril, Executive Vice President/CFO/COO/CCO at 310-772-2600 if you did not receive Oakwood's Brochure or if you have any questions about the contents of this supplement.**

**Additional information about Nicanor M. Mamaril is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## Item 2- Educational Background and Business Experience

**Nicanor M. Mamaril, Executive Vice President, Chief Financial Officer  
Chief Operating Officer & Chief Compliance Officer**

Year of Birth: 1947

Education:

University of the East – BBA, 1967 (Accountancy)  
University of California (UCLA) – MBA, 1979 (Finance)  
Certified Public Accountant, 1968 (Philippines); 1983 (California)  
Personal Financial Specialist, 2009

The Personal Financial Specialist (PFS) credential is administered through the American Institute of CPAs (AICPA). To achieve the credential PFS, one has met the minimum education, experience and testing required of a CPA in addition to a minimum level of expertise in personal financial planning. The recipient must also hold an unrevoked CPA certificate issued by a state authority. A PFS credential holder is required to adhere to AICPA's Code of Professional Conduct, and is encouraged to follow AICPA's Statement on Responsibilities in Financial Planning Practice. To maintain his PFS credential, the recipient must complete 60 hours of financial planning Continuing Professional Education credits every three years.

Business Background:

1998 – Present	Oakwood Capital Management LLC	Los Angeles, CA	Executive V.P. CFO/COO/CCO
1998 – Present	Oakwood Capital Holdings LLC	Los Angeles, CA	Executive V.P. CFO/COO
2004 – Present	Finance 500, Inc.	Irvine, CA	Registered Representative
1973 – 1998	RNC Capital Management Co.	Los Angeles, CA	Senior V.P. Secretary/Treasurer

### Item 3- Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

### Item 4- Other Business Activities

Nicanor Mamaril is a registered representative of Finance 500, Inc. In that capacity, he may receive commissions on the sale of mutual funds and 12b-1 fees in connection with the sale of certain mutual funds (those mutual funds not used by Oakwood in its investment management).

Oakwood's *clients* are under no obligation to purchase mutual funds on which Mr. Mamaril will receive a commission or a 12b-1 fee. Any commissions he receives will be in addition to the payment of investment management fees to Oakwood by a *client*. In addition, acting as a registered representative of Finance 500, Inc., Mr. Mamaril may recommend the purchase and sale of stocks to persons who are not clients, but may be related to *clients*, and receive commissions on those transactions. Oakwood will not direct *clients'* transactions to Finance 500, Inc. and he will not receive any commissions on the purchase or sale of securities to Oakwood's clients.

### Item 5- Additional Compensation

Mr. Mamaril may refer *clients* to certain insurance brokers or agents that pay a referral fee to Oakwood if the *clients* purchase insurance through the agent. The payment of referral fees to Oakwood may give rise to a conflict of interest between Oakwood and its *clients* that is disclosed in writing to referred *clients*.

### Item 6 - Supervision

Mr. Mamaril is supervised through Oakwood's internal policies. All investment guidelines are set by the Investment Policy Committee. Mr. Mamaril's advisory activities are supervised by Bruce Mandel, the President and Chief Executive Officer of the firm, who can be reached at 800-586-0600 or 310-772-2600.

## **ADV PART 2B - Brochure Supplement for Tom W. Doxey**

**Item 1- Cover Page**

**TOM W. DOXEY**  
**Executive Vice President**  
**Private Client Wealth Management**

**OAKWOOD CAPITAL MANAGEMENT LLC**

**1990 SOUTH BUNDY DRIVE, SUITE 777**

**LOS ANGELES, CA 90025**

**310-772-2600**

**MARCH 31, 2011**

**This Brochure Supplement provides information about Mr. Tom W. Doxey that supplements the Oakwood Capital Management LLC Brochure. You should have received a copy of that Brochure. Please contact Mr. Nicanor M. Mamaril, Executive Vice President/CFO/CCO/CCO at 310-772-2600 if you did not receive Oakwood's Brochure or if you have any questions about the contents of this supplement.**

**Additional information about Tom W. Doxey is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## Item 2- Educational Background and Business Experience

**Tom W. Doxey, Executive Vice President**  
**Private Client Wealth Management**

Year of Birth: 1951

Education:

University of Utah – BS, 1974 (Finance)

University of Utah – MBA, 1975 (Finance)

Pacific Coast Banking School – Graduate Degree, 1980 (Banking)

Business Background:

1998 – Present	Oakwood Capital Management LLC	Los Angeles, CA	EVP/Private Client Wealth Management
1998 – Present	Oakwood Capital Holdings LLC	Los Angeles, CA	Member
2004 – Present	Finance 500, Inc.	Irvine, CA	Registered Representative
1988 – 1998	RNC Capital Management Co.	Los Angeles, CA	Vice President Banking Division

## Item 3- Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

## Item 4- Other Business Activities

Mr. Doxey is a registered representative of Finance 500, Inc. In that capacity, he may receive commissions on the sale of mutual funds and 12b-1 fees in connection with the sale of certain mutual funds (those mutual funds not used by Oakwood in its investment management). Oakwood's *clients* are under no obligation to purchase mutual funds on which Mr. Doxey will receive a commission or a 12b-1 fee. Any commissions he receives will be in addition to the

payment of investment management fees to Oakwood by a *client*. In addition, acting as a registered representative of Finance 500, Inc., Mr. Doxey may recommend the purchase and sale of stocks to persons who are not clients, but may be related to *clients*, and receive commissions on those transactions. Oakwood will not direct *clients'* transactions to Finance 500, Inc. and he will not receive any commissions on the purchase or sale of securities to Oakwood's clients.

## **Item 5- Additional Compensation**

Mr. Doxey may refer *clients* to certain insurance brokers or agents that pay a referral fee to Oakwood if the *clients* purchase insurance through the agent. The payment of referral fees to Oakwood may give rise to a conflict of interest between Oakwood and its *clients* that is disclosed in writing to referred *clients*.

## **Item 6 - Supervision**

Mr. Doxey is supervised through Oakwood's internal policies. All investment guidelines are set by the Investment Policy Committee. Mr. Doxey's advisory activities are supervised by Bruce Mandel, President & Chief Executive Officer and Nicanor Mamaril, Chief Compliance Officer. They can be reached at 800-586-0600 or 310-772-2600.

## **ADV PART 2B - Brochure Supplement for Alan Robert Blais**



**Item 1- Cover Page**

**ALAN ROBERT BLAIS**  
**Executive Vice President**  
**Director of Fixed Income**

**OAKWOOD CAPITAL MANAGEMENT LLC**

**1990 SOUTH BUNDY DRIVE, SUITE 777**

**LOS ANGELES, CA 90025**

**310-772-2600**

**MARCH 31, 2011**

**This Brochure Supplement provides information about Mr. Alan R. Blais that supplements the Oakwood Capital Management LLC Brochure. You should have received a copy of that Brochure. Please contact Mr. Nicanor M. Mamaril, Executive Vice President/CFO/CCO/CCO at 310-772-2600 if you did not receive Oakwood's Brochure or if you have any questions about the contents of this supplement.**

**Additional information about Alan R. Blais is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## Item 2- Educational Background and Business Experience

### Alan Robert Blais, Executive Vice President & Director of Fixed Income

Year of Birth: 1949

Education:

University of Hartford, 1972 – 1975 (No Degree)  
Hartford Conservatory of Music, 1973 (No Degree)

Business Background:

1998 – Present	Oakwood Capital Management LLC	Los Angeles, CA	Executive V.P. Director of Fixed Income
2004 – Present	Finance 500, Inc.	Irvine, CA	Registered Representative
1998 – 2003	Oakwood Capital Holdings LLC	Los Angeles, CA	Member
1988 – 1998	RNC Capital Management Co.	Los Angeles, CA	Senior V.P. Director of Fixed Income

## Item 3- Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

## Item 4- Other Business Activities

Alan R. Blais is a registered representative of Finance 500, Inc. In that capacity, he may receive commissions on the sale of mutual funds and 12b-1 fees in connection with the sale of certain mutual funds (those mutual funds not used by Oakwood in its investment management). Oakwood's *clients* are under no obligation to purchase mutual funds on which Mr. Blais will receive a commission or a 12b-1 fee. Any commissions he receives will be in addition to the payment of

investment management fees to Oakwood by a *client*. In addition, acting as a registered representative of Finance 500, Inc., Mr. Blais may recommend the purchase and sale of stocks to persons who are not clients, but may be related to *clients*, and receive commissions on those transactions. Oakwood will not direct *clients'* transactions to Finance 500, Inc. and Mr. Blais will not receive any commissions on the purchase or sale of securities to Oakwood's clients.

## **Item 5- Additional Compensation**

Mr. Blais may refer *clients* to certain insurance brokers or agents that pay a referral fee to Oakwood if the *clients* purchase insurance through the agent. The payment of referral fees to Oakwood may give rise to a conflict of interest between Oakwood and its *clients* that is disclosed in writing to referred *clients*.

## **Item 6 - Supervision**

Mr. Blais is supervised through Oakwood's internal policies. All investment guidelines are set by the Investment Policy Committee. Mr. Blais' advisory activities are supervised by Bruce Mandel, President & Chief Executive Officer and Nicanor Mamaril, Chief Compliance Officer. They can be reached at 800-586-0600 or 310-772-2600.

## **ADV PART 2B - Brochure Supplement for John L. Graves**

**Item 1- Cover Page**

**JOHN L. GRAVES**  
**Senior Vice President**  
**Director of Equity Investments**

**OAKWOOD CAPITAL MANAGEMENT LLC**

**1990 SOUTH BUNDY DRIVE, SUITE 777**

**LOS ANGELES, CA 90025**

**310-772-2600**

**MARCH 31, 2011**

**This Brochure Supplement provides information about Mr. John L. Graves that supplements the Oakwood Capital Management LLC Brochure. You should have received a copy of that Brochure. Please contact Mr. Nicanor M. Mamaril, Executive Vice President/CFO/CCO/CCO at 310-772-2600 if you did not receive Oakwood's Brochure or if you have any questions about the contents of this supplement.**

**Additional information about John L. Graves is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## Item 2- Educational Background and Business Experience

### John L. Graves, Senior Vice President & Director of Equity Investments

Year of Birth: 1942

Education:

University of Oklahoma – BS, 1965 (Finance & Chemistry)

University of Oklahoma – MBA, 1967 (Finance)

Chartered Financial Analyst - CFA, 1972

Chartered Financial Analyst

To achieve the professional designation of Chartered Financial Analyst (CFA) one must have four years of qualified investment experience, pledge to adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct on an annual basis and complete the CFA Program. The CFA Program is organized into three levels, each culminating in a six-hour exam. The disciplines of study include accounting, economics, ethics, equity analysis, fixed income analysis, portfolio management and statistics. No continuing education is required.

Business Background:

2005 – Present	Oakwood Capital Management LLC	Los Angeles, CA	Senior V.P. Director of Equity Investments
1993 – Present	Syndicated Capital, Inc.	Santa Monica, CA	Registered Representative
1992 – 2004	Pacific Income Advisers, Inc.	Santa Monica, CA	Senior V.P. Portfolio Manager

## Item 3- Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

## Item 4- Other Business Activities

John Graves is a registered representative of Syndicated Capital, Inc. In that capacity, he may receive commissions on the sale of mutual funds and 12b-1 fees in connection with the sale of certain mutual funds (those mutual funds not used by Oakwood in its investment management). Oakwood's *clients* are under no obligation to purchase mutual funds on which Mr. Graves will receive a commission or a 12b-1 fee. Any commissions he receives will be in addition to the payment of investment management fees to Oakwood by a *client*. In addition, acting as a registered representative of Syndicated Capital, Inc., Mr. Graves may recommend the purchase and sale of stocks to persons who are not clients, but may be related to *clients*, and receive commissions on those transactions. Oakwood will not direct *clients'* transactions to Syndicated Capital, Inc. and Mr. Graves will not receive any commissions on the purchase or sale of securities to Oakwood's clients.

## Item 5- Additional Compensation

Mr. Graves may refer *clients* to certain insurance brokers or agents that pay a referral fee to Oakwood if the *clients* purchase insurance through the agent. The payment of referral fees to Oakwood may give rise to a conflict of interest between Oakwood and its *clients* that is disclosed in writing to referred *clients*.

## Item 6 - Supervision

Mr. Graves is actively supervised through Oakwood's internal policies. All investment guidelines are set by the Investment Policy Committee. Mr. Graves' advisory activities are supervised by Bruce Mandel, President & Chief Executive Officer and Nicanor Mamaril, Chief Compliance Officer. They can be reached at 800-586-0600 or 310-772-2600.

**ADV PART 2B - Brochure Supplement for Elliott C. Hollingsworth**



**Item 1- Cover Page**

**ELLIOTT C. HOLLINGSWORTH**

**Vice President**

**OAKWOOD CAPITAL MANAGEMENT LLC**

**1990 SOUTH BUNDY DRIVE, SUITE 777**

**LOS ANGELES, CA 90025**

**310-772-2600**

**OCTOBER 26, 2012**

**This Brochure Supplement provides information about Mr. Elliott Hollingsworth that supplements the Oakwood Capital Management LLC Brochure. You should have received a copy of that Brochure. Please contact Mr. Nicanor M. Mamaril, Executive Vice President/CFO/CCO/CCO at 310-772-2600 if you did not receive Oakwood's Brochure or if you have any questions about the contents of this supplement.**

**Additional information about Elliott C. Hollingsworth is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## Item 2- Educational Background and Business Experience

### Elliott C. Hollingsworth, Vice President

Year of Birth: 1975

Education:

Vanderbilt University – BS, 1998 (Political Science)  
USC Marshall School of Business – MBA, 2008 (Finance)

Business Background:

2008 – Present	Oakwood Capital Management LLC	Los Angeles, CA	V. P./Private Client Wealth Management
2010 – Present	Finance 500, Inc.	Irvine, CA	Registered Representative
2004 – 2008	Merrill Lynch, Pierce, Fenner & Smith	Los Angeles, CA	Financial Advisor

## Item 3- Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

## Item 4- Other Business Activities

Mr. Hollingsworth is a registered representative of Finance 500, Inc. In that capacity, he may receive commissions on the sale of mutual funds and 12b-1 fees in connection with the sale of certain mutual funds (those mutual funds not used by Oakwood in its investment management). Oakwood's *clients* are under no obligation to purchase mutual funds on which Mr. Hollingsworth will receive a commission or a 12b-1 fee. Any commissions he receives will be in addition to the payment of investment management fees to Oakwood by a *client*. In addition, acting as a registered representative of Finance 500, Inc., Mr. Hollingsworth may recommend the purchase and sale of stocks to persons who are not clients, but may be related to *clients*, and receive commissions on those transactions. Oakwood will not direct *clients'* transactions to Finance 500, Inc. and he will not receive any commissions on the purchase or sale of securities to Oakwood's clients.

## **Item 5- Additional Compensation**

Mr. Hollingsworth may refer *clients* to certain insurance brokers or agents that pay a referral fee to Oakwood if the *clients* purchase insurance through the agent. The payment of referral fees to Oakwood may give rise to a conflict of interest between Oakwood and its *clients* that is disclosed in writing to referred *clients*.

## **Item 6 - Supervision**

Mr. Hollingsworth is supervised through Oakwood's internal policies. All investment guidelines are set by the Investment Policy Committee. Mr. Hollingsworth's advisory activities are supervised by Bruce Mandel, President & Chief Executive Officer and Nicanor Mamaril, Chief Compliance Officer. They can be reached at 800-586-0600 or 310-772-2600.

**ADV PART 2B - Brochure Supplement for Matthew B. Haefner**

**Item 1- Cover Page**

**MATTHEW B. HAEFNER**

**Vice President**

**Private Client Wealth Management**

**OAKWOOD CAPITAL MANAGEMENT LLC**

**1990 SOUTH BUNDY DRIVE, SUITE 777**

**LOS ANGELES, CA 90025**

**310-772-2600**

**OCTOBER 26, 2012**

**This Brochure Supplement provides information about Mr. Matthew Haefner that supplements the Oakwood Capital Management LLC Brochure. You should have received a copy of that Brochure. Please contact Mr. Nicanor M. Mamaril, Executive Vice President/CFO/CCO/CCO at 310-772-2600 if you did not receive Oakwood's Brochure or if you have any questions about the contents of this supplement.**

**Additional information about Matthew Haefner is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## Item 2- Educational Background and Business Experience

### Matthew B. Haefner, Vice President, Private Client Wealth Management

Year of Birth: 1981

Education:

University of Pennsylvania – BA, 2004 (Sociology)  
USC Marshall School of Business – MBA, 2011 (Finance)

Business Background:

	2012 – Present	Oakwood Capital Management LLC	Los Angeles, CA	V.P./Private Client Wealth Management
	2011 – 2012	Credit Suisse, Private Banking USA	Los Angeles, CA	Associate
	2011 – 2012	Credit Suisse, Private Banking USA	Los Angeles, CA	Broker
	2005 – 2009	Sullivan Brokers Wholesale Insurance Solutions Inc.	Los Angeles, CA	Broker
	2004 – 2005	Marsh USA, Inc.	Philadelphia, PA	Risk Analyst

## Item 3- Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

## Item 4- Other Business Activities

None

## **Item 5- Additional Compensation**

Mr. Haefner may refer *clients* to certain insurance brokers or agents that pay a referral fee to Oakwood if the *clients* purchase insurance through the agent. The payment of referral fees to Oakwood may give rise to a conflict of interest between Oakwood and its *clients* that is disclosed in writing to referred *clients*.

## **Item 6 - Supervision**

Mr. Haefner is supervised through Oakwood's internal policies. All investment guidelines are set by the Investment Policy Committee. Mr. Haefner's advisory activities are supervised by Bruce Mandel, President & Chief Executive Officer and Nicanor Mamaril, Chief Compliance Officer. They can be reached at 800-586-0600 or 310-772-2600.