

**H. P. BECKER & Co.**  
**Brochure Supplement**  
**For**  
**Harold M. Rosen, Exec. Vice President**

2610 Lake Cook Road, Suite 190

Riverwoods, IL 60015

847-948-1600

May, 2012

This brochure supplement provides information about Harold M. Rosen, Exec. Vice President of H P Becker & Company. If you have any questions about the contents of this brochure supplement, please contact us at 847-948-1600. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.

H. P. Becker & Co. is a registered investment adviser. Harold M. Rosen has been a registered investment advisor for over 12 years. **Registration of an Investment Adviser does not imply a certain level of skill or training.**

This brochure supplement provides information about Harold M. Rosen that supplements the H. P. Becker & Co. brochure. You should have received a copy of that brochure. Please contact Rita Bergquist, V.P. 847-948-1600 if you did not receive H. P. Becker & Co.'s brochure or if you have any questions about the contents of this supplement.

Additional information about Harold M. Rosen is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

***Item 2 – Educational Background and Business Experience.***

Harold M. Rosen, 65, graduated from Southern Illinois University with a major in accounting. Mr. Rosen earned his CPA certificate in Illinois in 1971. He also has his series 7 from 1999 and his series 66 from the same year.

***Item 3 – Disciplinary Information:***

*There have been no disciplinary nor legal proceedings ever filed against Mr. Rosen during his entire investment career. On July 28, 2010, the United State Securities and Exchange Commission published “Amendments to Form ADV” which amends the disclosure document that we provide to clients as required by SEC Rules. This Brochure dated May, 2012 is a new document prepared according to the SEC’s new requirements and rules. As such, this Document is materially different in structure and requires certain new information that our previous brochure did not require.*

Additional information about H. P. Becker & Co. is also available via the SEC’s web site [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). The SEC’s web site also provides information about any persons affiliated with H. P. Becker & Co who are registered, or are required to be registered, as investment adviser representatives of H. P. Becker & Co.

Finally, there has been no criminal or civil action against Mr. Rosen in the 10 years since he joined H. P. Becker & Co. There has been no criminal or civil action in a domestic, foreign or military court of competent jurisdiction. There have been no administrative proceedings before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory agency. No STO (self-regulatory organization) has ever complained about Harold M. Rosen or H. P. Becker & Co.

***Item 4            Other business Activities.***

Mr. Rosen is not actively engaged in any investment-related business or occupation, broker-dealer, registered representative of a broker-dealer, futures commission merchant, commodity pool operator, commodity trading advisor, or an associated person of the same.

***Item 5            Additional compensation.***

Mr. Rosen has no other compensation other than as an officer and as investment adviser and EVP of H. P. Becker & co.

*Item 6            Supervision*

Since all advisory services are only performed by either Mr. Becker or Mr. Harold Rosen (EVP) the supervisory function is repetitive. All accounts have two advisors: either Mr. Becker or Mr. Rosen is the primary contact and active advisor with the other principal as the secondary contact. Portfolios are reviewed by both executives periodically to ascertain whether the client's goals are being duly considered.

*Item 7            Requirements for State-Registered Advisers*

**Mr. Rosen has not been involved in any events that require disclosure.**

- a.        Never been found liable in any arbitration claim.**
- b.        Never been found liable in any civil, SRO, or administrative proceedings.**
- c.        Never been the subject of any bankruptcy petition.**