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FORM ADV PART 2 BROCHURE

This brochure provides information about the qualifications and business practices of Dimensions Financial Group, Inc. If you have any questions about the contents of this brochure, please contact us at (316) 689-8333 or via e-mail at darty@frontierwealth.com. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.

Additional information about Dimensions Financial Group, Inc. is also available on the SEC's website at www.adviserinfo.sec.gov. The searchable IARD/CRD number for Dimensions Financial Group, Inc. is 107686.

Dimensions Financial Group, Inc. is a Registered Investment Adviser. Registration with the United States Securities and Exchange Commission or any state securities authority does not imply a certain level of skill or training.

Item 2 Material Changes

Form ADV Part 2 requires registered investment advisers to amend their brochure when information becomes materially inaccurate. If there are any material changes to an adviser's disclosure brochure, the adviser is required to notify you and provide you with a description of the material changes.

Generally, Dimensions Financial Group, Inc.. will notify clients of material changes on an annual basis. However, where we determine that an interim notification is either meaningful or required, we will notify our clients promptly. In either case, we will notify our clients in a separate document.

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Item 4 Advisory Business

Description of Services and Fees

We are a registered investment adviser based in Wichita, Kansas. We are organized as a corporation under the laws of the State of Kansas and we have been providing investment advisory services since 1997. Robert Scott Richardson, Shawn Duane Sokolosky, and Glenn Calvin Rappard are our principal owners. Currently, we offer the following investment advisory services, which are personalized to each individual client:

- **Third Party Advisory Services**
- **Financial Planning and Consulting Services**

The following paragraphs describe our services and fees. Please refer to the description of each investment advisory service listed below for information on how we tailor our advisory services to your individual needs. You may see the term Associated Person throughout this Brochure. As used in this Brochure, our Associated Persons are our firm's officers, employees, and all individuals providing investment advice on behalf of our firm.

Third Party Advisory Services

We have entered into an agreement with Frontier Wealth Management, LLC ("Frontier"), a registered investment adviser, whereby we will exclusively refer clients to Frontier for investment management services.

Frontier manages client accounts utilizing the Geowealth portfolio allocation and investment platform.

We will share in the advisory fee you pay directly to Frontier. Please refer to Frontier's disclosure brochure, which we will provide to you, for further information on the services provided by and fees charged by Frontier.

As part of our agreement with Frontier, Frontier has agreed to pay certain expenses of our firm and therefore a conflict of interest may exist as our firm has an incentive to recommend Frontier for advisory services.

We will serve as the primary contact with clients and we will monitor the performance of your account on a periodic basis. You will be required to sign an agreement directly with Frontier. You may terminate your advisory relationship with Frontier according to the terms of Frontier's advisory agreement.

Financial Planning and Consulting Services

We offer broad-based, modular, and consultative financial planning services. Financial planning will typically involve providing a variety of advisory services to clients regarding the management of their financial resources based upon an analysis of their individual needs. We will meet with you to gather information about your financial circumstances and objectives. We may also use financial planning software to determine your current financial position and to define and quantify your long-term goals and objectives. Once we specify those long-term objectives (both financial and non-financial), we will develop shorter-term, targeted objectives. Once we review and analyze the information you provide to our firm and the data derived from our financial planning software, we may deliver a written plan to you, designed to help you achieve your stated financial goals and objectives.

If you require advice on a single aspect of the management of your financial resources, we offer financial plans in a modular format and/or general consulting services that address only those specific areas of concern. These areas may include, but are not limited to, business planning, retirement planning and tax and estate planning.

Financial plans are based on your financial situation at the time we present the plan to you, and on the financial information you provide to our firm. You must promptly notify our firm if your financial situation, goals, objectives, or needs change.

You are under no obligation to act on our financial planning recommendations. Moreover, you may act on our recommendations by placing securities transactions with any brokerage firm.

We do not charge fees for financial planning services.

Assets Under Management

We refer clients in need of investment management services to Frontier Wealth Management, LLC. As of February 14, 2012, we managed \$31,017,340 in client assets on a discretionary basis and \$118,405 in client assets on a non-discretionary basis which assets are being transferred to Frontier Wealth Management.

Item 5 Fees and Compensation

Please refer to the "Advisory Business" section in this Brochure for information on our advisory fees according to each service we offer.

Additional Fees and Expenses

As part of our investment advisory services to you, we may recommend that you invest in mutual funds and exchange traded funds. The fees that you pay to our firm for investment advisory services are separate and distinct from the fees and expenses charged by mutual funds or exchange traded funds (described in each fund's prospectus) to their shareholders. These fees will generally include a management fee and other fund expenses. You will also incur transaction charges and/or brokerage fees when purchasing or selling securities. These charges and fees are typically imposed by the broker-dealer or custodian through which your account transactions are executed. We do not share in any portion of the brokerage fees/transaction charges imposed by the broker-dealer or custodian. To fully understand the total cost you will incur, you should review all the fees charged by mutual funds, exchange traded funds, our firm, and others.

Compensation for the Sale of Other Investment Products

Securities

Some Associated Persons providing investment advice on behalf of our firm are registered representatives with O.N. Equity Sales Company ("ONESCO"), a registered general securities broker-dealer licensed under federal and state securities laws. ONESCO is a member of the Financial Industry Regulatory Authority, Inc. ("FINRA") and the Securities Investors Protection Corporation ("SIPC"). In their capacity as registered representatives, these persons receive commission-based compensation in connection with the purchase and sale of securities (such as stocks, bonds, variable annuities, and limited partnerships), including 12b-1 fees for the sale of investment company products ("mutual funds"). Compensation earned by these persons in their capacities as registered representatives is separate and in addition to our advisory fees. This practice presents a conflict of interest because persons providing investment advice on behalf of our firm who are registered representatives have an incentive to effect securities transactions for the purpose of generating commissions rather than solely based on your needs. However, you are under no obligation, contractually or otherwise, to buy or sell securities products through any person affiliated with our firm.

Insurance

In addition, some Associated Persons of our firm are also licensed as independent insurance agents with various insurance agencies and can sell insurance products to you (i.e. life, health, and long term care products) and earn commissions. Insurance commissions earned are separate and in addition to our advisory fees. This practice presents a conflict of interest because persons providing investment advice on behalf of our firm who are insurance agents have an incentive to recommend insurance products to you for the purpose of generating commissions rather than solely based on your needs. However, you are under no obligation, contractually or otherwise, to purchase insurance products through any person affiliated with our firm.

Any material conflicts of interest between you and our firm, or our employees are disclosed in this Disclosure Brochure. If at any time, additional material conflicts of interest develop, we will provide you with written notification of the material conflicts of interest or an updated Disclosure Brochure.

Item 6 Performance-Based Fees and Side-By-Side Management

We do not accept performance-based fees or participate in side-by-side management. Side-by-side management refers to the practice of managing accounts that are charged performance-based fees while at the same time managing accounts that are not charged performance-based fees. Performance-based fees are fees that are based on a share of capital gains or capital appreciation of a client's account. Our fees are calculated as described in the Advisory Business section above, and are not charged on the basis of a share of capital gains upon, or capital appreciation of, the funds in your advisory account.

Item 7 Types of Clients

We offer investment advisory services to individuals, pension and profit sharing plans, charitable organizations, corporations, and other business entities.

Although our firm does not impose a minimum account size, Frontier Wealth Management generally requires a minimum account size of \$100,000 which may be waived in Frontier's sole discretion.

Item 8 Methods of Analysis, Investment Strategies and Risk of Loss

Our Methods of Analysis and Investment Strategies

Our firm will refer clients to Frontier Wealth Management who will provide advice to clients in accordance with the relevant program provided by Frontier. We may consider one or more of the following methods of analysis or investment strategies when monitoring your account managed by Frontier:

- Modern Portfolio Theory (MPT) is a theory of investing which attempts to maximize portfolio expected return for a given amount of portfolio risk, or equivalently minimize risk for a given level of expected return, by carefully choosing the proportions of various assets. MPT is a mathematical formulation of the concept of diversification in investing, with the aim of selecting a collection of investment assets that has collectively lower risk than any individual asset. The risk, return, and correlation measures used by MPT are mathematical statements about the future. In practice investors must substitute predictions based on historical measurements of asset return and volatility for these values in the equations. Very often such expected values fail to take account of new circumstances which did not exist when the historical data were generated. Market risk applies the MPT. Market risk is that part of a security's risk that is common to all securities of the same general class (stocks and bonds) and thus cannot be

eliminated by diversification.

- **Charting and Technical Analysis** - Charting analysis involves the gathering and processing of price and volume information for a particular security. This price and volume information is analyzed using mathematical equations. The resulting data is then applied to graphing charts, which is used to predict future price movements based on price patterns and trends. Technical Analysis involves studying past price patterns and trends in the financial markets to predict the direction of both the overall market and specific stocks. The risk of market timing based on technical analysis is that charts may not accurately predict future price movements. Current prices of securities may reflect all information known about the security and day to day changes in market prices of securities may follow random patterns and may not be predictable with any reliable degree of accuracy.
- **Fundamental Analysis** - Fundamental analysis involves analyzing individual companies and their industry groups, such as a company's financial statements, details regarding the company's product line, the experience, and expertise of the company's management, and the outlook for the company's industry. The resulting data is used to measure the true value of the company's stock compared to the current market value. The risk of fundamental analysis is that information obtained may be incorrect and the analysis may not provide an accurate estimate of earnings, which may be the basis for a stock's value. If securities prices adjust rapidly to new information, utilizing fundamental analysis may not result in favorable performance.
- **Cyclical Analysis** - Cyclical analysis is a type of technical analysis that involves evaluating recurring price patterns and trends based upon business cycles. Economic/business cycles may not be predictable and may have many fluctuations between long term expansions and contractions. The lengths of economic cycles may be difficult to predict with accuracy and therefore the risk of cyclical analysis is the difficulty in predicting economic trends and consequently the changing value of securities that would be affected by these changing trends.

Risk of Loss

Investing in securities involves risk of loss that you should be prepared to bear. We do not represent or guarantee that our services or methods of analysis can or will predict future results, successfully identify market tops or bottoms, or insulate clients from losses due to market corrections or declines. We cannot offer any guarantees or promises that your financial goals and objectives will be met. Past performance is in no way an indication of future performance.

Item 9 Disciplinary Information

Dimensions Financial Group, Inc. has been registered and providing investment advisory services since 1997. Neither our firm nor any of our associated persons has any reportable disciplinary information.

Item 10 Other Financial Industry Activities and Affiliations

We are under common control an ownership with Dimensions-Financial Consultants, Inc. Dimensions-Financial Consultants, provides estate planning and business consulting and planning services. Clients are advised that the services provided by and fees charged by Dimensions-Financial Consultants are separate and apart from the services provided by and fees charged by Dimensions Financial Group. You are under no obligation to use the services of Dimensions-Financial Consultants and lower fees for comparable services may be available elsewhere.

Registrations with Broker-Dealer

Some persons providing investment advice on behalf of our firm are registered representatives with O.N. Equity Sales Company ("ONESCO"). Please see the "Fees and Compensation" section in this Brochure for more information on the compensation received by registered representatives who are affiliated with our firm.

Item 11 Code of Ethics, Participation or Interest in Client Transactions and Personal Trading**Description of Our Code of Ethics**

We strive to comply with applicable laws and regulations governing our practices. Therefore, our Code of Ethics includes guidelines for professional standards of conduct for our Associated Persons. Our goal is to protect your interests at all times and to demonstrate our commitment to our fiduciary duties of honesty, good faith, and fair dealing with you. All of our Associated Persons are expected to adhere strictly to these guidelines. Our Code of Ethics also requires that certain persons associated with our firm submit reports of their personal account holdings and transactions to a qualified representative of our firm who will review these reports on a periodic basis. Persons associated with our firm are also required to report any violations of our Code of Ethics. Additionally, we maintain and enforce written policies reasonably designed to prevent the misuse or dissemination of material, non-public information about you or your account holdings by persons associated with our firm.

Our Code of Ethics is available to you upon request. You may obtain a copy of our Code of Ethics by contacting Darty Dole at (316) 689-8333 or darty@frontierwealth.com.

Participation or Interest in Client Transactions

Neither our firm nor any of our Associated Persons has any material financial interest in client transactions beyond the provision of investment advisory services as disclosed in this Brochure.

Personal Trading Practices

Our firm or persons associated with our firm may buy or sell securities for you at the same time we or persons associated with our firm buy or sell such securities for our own account. A conflict of interest exists in such cases because we have the ability to trade ahead of you and potentially receive more favorable prices than you will receive. To mitigate this conflict of interest, it is our policy that we shall not have priority over your account in the purchase or sale of securities.

Item 12 Brokerage Practices

For third party advisory services, we will recommend that clients utilize broker-dealer custodians used by Frontier Wealth Management.

Research and Other Soft Dollar Benefits

We do not receive any additional brokerage products or services ("soft dollars") from any broker-dealer.

Brokerage for Client Referrals

We do not receive client referrals from broker-dealers in exchange for cash or other compensation, such as brokerage services or research.

Item 13 Review of Accounts

Robert Richardson, Shawn Sokolosky, and Glenn Rappard, principals of the firm, or an investment adviser representative of the firm will review third party accounts on at least a quarterly basis.

Additional reviews may be conducted based on various circumstances, including, but not limited to:

- contributions and withdrawals;
- year-end tax planning;
- market moving events;
- security specific events; and/or,
- changes in your risk/return objectives.

We will not provide you with additional or regular written reports in conjunction with account reviews. You will receive trade confirmations and monthly or quarterly statements from your account custodian(s).

We will review your financial plan only at your request. Such review may be subject to charge based on our fees disclosed for financial planning as stated in the advisory business section of above.

Item 14 Client Referrals and Other Compensation

We directly compensate non-employee (outside) consultants, individuals, and/or entities (Solicitors) for client referrals. In order to receive a cash referral fee from our firm, Solicitors must comply with the requirements of the jurisdictions in which they operate. If you were referred to our firm by a Solicitor, you should have received a copy of this Disclosure Brochure along with the Solicitor's disclosure statement at the time of the referral. If you become a client, the Solicitor that referred you to our firm will receive a percentage of the advisory fee you pay our firm for as long as you are a client with our firm, or until such time as our agreement with the Solicitor expires. You will not pay additional fees because of this referral arrangement. Referral fees paid to a Solicitor are contingent upon your entering into an advisory agreement with our firm. Therefore, a Solicitor has a financial incentive to recommend our firm to you for advisory services. This creates a conflict of interest; however, you are not obligated to retain our firm for advisory services. Comparable services and/or lower fees may be available through other firms.

Solicitors that refer business to more than one investment adviser may have a financial incentive to recommend advisers with more favorable compensation arrangements. We request that our Solicitors disclose to you whether multiple referral relationships exist and that comparable services may be available from other advisers for lower fees and/or where the Solicitor's compensation is less favorable.

Item 15 Custody

Robert Richardson and Shawn Sokolosky of Dimensions Financial Group, Inc., serve as trustees to certain accounts for which we provide investment advisory services. Robert Richardson and Shawn Sokolosky's capacity as trustee gives them custody over the advisory accounts for which they serve as trustees. Your funds and securities will be held with a bank, broker-dealer, or other independent, qualified custodian. You will receive account statements from the independent, qualified custodian(s) holding your funds and securities at least quarterly. You should carefully review account statements for accuracy.

If you have a question regarding your account statement or if you did not receive a statement from your custodian, please contact Darty Dole at (316) 689-8333 or darty@frontierwealth.com.

Item 16 Investment Discretion

We do not exercise investment discretion over client accounts.

Item 17 Voting Client Securities

Proxy Voting

We will not vote proxies on behalf of your advisory accounts however you may delegate the authority to vote proxies to Frontier Wealth Management in accordance with Frontier's policies.

If you do not delegate proxy voting to Frontier Wealth Management, in most cases, you will receive proxy materials directly from the account custodian. However, in the event we were to receive any written or electronic proxy materials, we would forward them directly to you by mail, unless you have authorized our firm to contact you by electronic mail, in which case, we would forward any electronic solicitation to vote proxies.

Item 18 Financial Information

Our firm does not have any financial condition or impairment that would prevent us from meeting our contractual commitments to you.

Item 19 Requirements for State Registered Investment Advisers

Refer to the Part(s) 2B for background information about management personnel and those giving advice on behalf of our firm.

Neither our firm, nor any persons associated with our firm are compensated for advisory services with performance-based fees. Please refer to the "Performance-Based Fees and Side-By-Side Management" section above for additional information on this topic.

Neither our firm, nor any of our management persons have any reportable arbitration claims, civil, self-regulatory organization proceedings or administrative proceedings.

Neither our firm, nor any of our management persons have a material relationship or arrangement with any issuer of securities.

Item 20 Additional Information

Your Privacy

We view protecting your private information as a top priority. Pursuant to applicable privacy requirements, we have instituted policies and procedures to ensure that we keep your personal information private and secure.

We do not disclose any nonpublic personal information about you to any nonaffiliated third parties, except as permitted by law. In the course of servicing your account, we may share some information with our service providers, such as transfer agents, custodians, broker-dealers, accountants, consultants, and attorneys.

We restrict internal access to nonpublic personal information about you to employees who need that information in order to provide products or services to you. We maintain physical and procedural safeguards that comply with regulatory standards to guard your nonpublic personal information and to

ensure our integrity and confidentiality. We will not sell information about you or your accounts to anyone. We do not share your information unless it is required to process a transaction, at your request, or required by law.

You will receive a copy of our privacy notice prior to or at the time you sign an advisory agreement with our firm. Thereafter, we will deliver a copy of the current privacy policy notice to you on an annual basis. Please contact Darty Dole at (316) 689-8333 or darty@frontierwealth.com if you have any questions regarding this policy.

**ROBERT SCOTT RICHARDSON
SHAWN DUANE SOKOLOSKY
GLENN CALVIN RAPPARD**

DIMENSIONS FINANCIAL GROUP, INC.

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WWW.DIMVEST.COM

April 9, 2012

**FORM ADV PART 2B
BROCHURE SUPPLEMENT**

This brochure supplement provides information about Robert Scott Richardson, Shawn Duane Sokolosky and Glenn Calvin Rappard that supplements the Dimensions Financial Group, Inc. brochure. You should have received a copy of that brochure. Please contact Darty Dole at (316) 689-8333 or via e-mail at darty@dimvest.com if you did not receive Dimensions Financial Group, Inc.'s brochure or if you have any questions about the contents of this supplement.

Additional information about Robert Scott Richardson, Shawn Duane Sokolosky and Glenn Calvin Rappard is available on the SEC's website at www.adviserinfo.sec.gov.

Robert Scott Richardson

Item 2 Educational Background and Business Experience

Year of Birth: 1968

Formal Education after High School :

- Wichita State University, BBA, Business & Marketing, 1991.

Business Background for the Previous Five Years:

- Dimensions Financial Group, Inc. f/k/a Dimensions Investment Management, Inc., Vice-President, 11/1997 to Present.
- Security Services Network, Registered Representative, 2000 to 2001.

Item 3 Disciplinary Information

Robert Richardson does not have any reportable disciplinary information.

Item 4 Other Business Activities

Robert Richardson is separately licensed as an independent insurance agent. In this capacity, he can effect transactions in insurance products for his clients and earn commissions for these activities. The fees you pay our firm for advisory services are separate and distinct from the commissions earned by Mr. Richardson for insurance related activities. This presents a conflict of interest because Mr. Richardson may have an incentive to recommend insurance products to you for the purpose of generating commissions rather than solely based on your needs. However, you are under no obligation, contractually or otherwise, to purchase insurance products through any person affiliated with our firm.

Item 5 Additional Compensation

Please refer to the *Other Business Activities* section above for disclosures on Mr. Richardson's receipt of additional compensation as a result of his activities as a licensed insurance agent.

Item 6 Supervision

Robert Richardson is a member of the investment committee of our firm. The investment committee is responsible for determining the advice given to clients. The investment committee meets on a regular basis and is attended by Darty Dole, the firm's Chief Compliance Officer. In addition, as part of his supervisory responsibilities, Mr. Dole monitors e-mail communications and reviews client accounts on a periodic basis. Mr. Dole can be reached at (316) 689-8333 or darty@dimvest.com.

Item 7 Requirements for State Registered Advisers

Robert Richardson does not have, or has ever had, any reportable arbitration claims, has not been found liable in a reportable civil, self-regulatory organization or administrative proceeding, and has not been the subject of a bankruptcy petition.

Shawn Duane Sokolosky

Item 2 Educational Background and Business Experience

Year of Birth: 1969

Formal Education after High School :

- Kansas State University, BS, Business Administration Management, 1992

Business Background for the Previous Five Years:

- Dimensions Financial Group, Inc. f/k/a Dimensions Investment Management, Inc., Owner/Investment Adviser Representative, 11/1997 to Present.
- Dimensions-Financial Consultants, Inc., Owner/Vice President, 1/1996 to Present.
- Financial Products Co., Owner, 06/1993 to Present.
- Advisors REIT I, Board Member, 01/2005 to 12/2006.

Item 3 Disciplinary Information

Shawn Sokolosky does not have any reportable disciplinary information.

Item 4 Other Business Activities

Shawn Sokolosky is separately licensed as an independent insurance agent. In this capacity, he can effect transactions in insurance products for his clients and earn commissions for these activities. The fees you pay our firm for advisory services are separate and distinct from the commissions earned by Mr. Sokolosky for insurance related activities. This presents a conflict of interest because Mr. Sokolosky may have an incentive to recommend insurance products to you for the purpose of generating commissions rather than solely based on your needs. However, you are under no obligation, contractually or otherwise, to purchase insurance products through any person affiliated with our firm.

Mr. Sokolosky is an owner of Dimensions-Financial Consultants, Inc. which company provides estate planning and business consulting and planning services. Fees for such services are separate and apart from the advisory fees charged by the firm.

Item 5 Additional Compensation

Please refer to the *Other Business Activities* section above for disclosures on Mr. Sokolosky's receipt of additional compensation as a result of his activities as a licensed insurance agent and owner of Dimensions-Financial Consultants.

Item 6 Supervision

Shawn Sokolosky is a member of the investment committee of our firm. The investment committee is responsible for determining the advice given to clients. The investment committee meets on a regular basis and is attended by Darty Dole, the firm's Chief Compliance Officer. In addition, as part of his supervisory responsibilities, Mr. Dole monitors e-mail communications and reviews client accounts on a periodic basis. Mr. Dole can be reached at (316) 689-8333 or darty@dimvest.com.

Item 7 Requirements for State Registered Advisers

Shawn Sokolosky does not have, or has ever had, any reportable arbitration claims, has not been found liable in a reportable civil, self-regulatory organization or administrative proceeding, and has not been the subject of a bankruptcy petition.

Glenn Calvin Rappard, CLU, ChFC, CFP®

Item 2 Educational Background and Business Experience

Year of Birth: 1947

Formal Education after High School :

- Emporia State University, BS, Business Administration, 1971.

Business Background for the Previous Five Years:

- Dimensions Financial Group, Inc. f/k/a Dimensions Investment Management, Inc., Owner/Investment Adviser Representative, 04/2005 to Present.
- Dimensions-Financial Consultants, Inc., Owner/President, 12/1996 to Present.
- The O.N. Equity Sales Company, Registered Representative, 03/1994 to Present
- Financial Products Co., Owner, 06/1993 to Present.

Certifications:

- **Chartered Life Underwriter (CLU)** This designation is issued by The American College and is granted to individuals who have at least three years of full-time business experience within the five years preceding the awarding of the designation. The candidate is required to take a series of mandatory courses which include, for example, the following: insurance planning, life insurance law, fundamentals of estate planning, planning for business owners, income taxation, group benefits, planning for retirement needs, and investments. Each course has a final proctored exam and once issued, the individual is required to submit 30 hours of continuing education every two years
- **Chartered Financial Consultant (ChFC):** This designation is issued by The American College and is granted to individuals who have at least three years of full-time business experience within the five years preceding the awarding of the designation. The candidate is required to take seven mandatory courses which include the following disciplines: financial, insurance, retirement and estate planning; income taxation, investments and application of financial planning; as well as two elective courses involving the application of the aforementioned disciplines. Each course has a final proctored exam and once issued, the individual is required to submit 30 hours of continuing education every two years.
- **Certified Financial Planner™ (CFP®):** The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the "CFP® marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board").

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 62,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- **Education** - Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- **Examination** - Pass the comprehensive CFP® Certification Examination. The examination,

administered in 10 hours over a two-day period, includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances;

- Experience - Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics - Agree to be bound by CFP Board's *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education - Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- Ethics - Renew an agreement to be bound by the *Standards of Professional Conduct*. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

Item 3 Disciplinary Information

Glenn Rappard does not have any reportable disciplinary information.

Item 4 Other Business Activities

Glenn Rappard is separately licensed as an independent insurance agent. In this capacity, he can effect transactions in insurance products for his clients and earn commissions for these activities. The fees you pay our firm for advisory services are separate and distinct from the commissions earned by Mr. Rappard for insurance related activities. This presents a conflict of interest because Mr. Rappard may have an incentive to recommend insurance products to you for the purpose of generating commissions rather than solely based on your needs. However, you are under no obligation, contractually or otherwise, to purchase insurance products through any person affiliated with our firm.

Mr. Rappard is a registered representative with O.N. Equity Sales Company ("ONESCO"). ONESCO is a diversified financial services company engaged in the sale of specialized investment products. In this capacity, Mr. Rappard may recommend securities or insurance products offered by ONESCO as part of your investment portfolio. If clients purchase these products through Mr. Rappard, he will receive the customary commissions in his separate capacity as registered representatives of ONESCO. Additionally, Mr. Rappard could be eligible to receive incentive awards such as ONESCO may offer. He may also receive 12b-1 fees from mutual funds that pay such fees. The receipt of additional compensation may give Mr. Rappard an incentive to recommend investment products based on the compensation received, rather than on your investment needs. Please refer to the *Fees and Compensation* section and the *Client Referrals and Other Compensation* section of Dimensions Financial Group's firm brochure for additional disclosures on this topic.

Mr. Rappard is an owner of Dimensions-Financial Consultants, Inc. Through Dimensions-Financial Consultants, he provides estate planning and business consulting and planning services. Fees for such services are separate and apart from the advisory fees charged by the firm.

Item 5 Additional Compensation

Please refer to the *Other Business Activities* section above for disclosures on Mr. Rappard's receipt of additional compensation as a result of his activities as a registered representative, licensed insurance agent and owner of Dimensions-Financial Consultants, Inc.

Item 6 Supervision

Glenn Rappard is a member of the investment committee of our firm. The investment committee is responsible for determining the advice given to clients. The investment committee meets on a regular basis and is attended by Darty Dole, the firm's Chief Compliance Officer. In addition, as part of his supervisory responsibilities, Mr. Dole monitors e-mail communications and reviews client accounts on a periodic basis. Mr. Dole can be reached at (316) 689-8333 or darty@dimvest.com.

Item 7 Requirements for State Registered Advisers

Glenn Rappard does not have, or has ever had, any reportable arbitration claims, has not been found liable in a reportable civil, self-regulatory organization or administrative proceeding, and has not been the subject of a bankruptcy petition.