

Item 1:

Supervised Person: Richard Hebert
1501 Washington Avenue
Golden, Colorado 80401
303-986-9110

Firm: Hebert Advisory Services, Inc.
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Golden, Colorado 80401

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Date of this brochure supplement: April 11, 2012

This brochure provides information about Richard Hebert that supplements the Hebert Advisory Services, Inc. firm brochure. You should have received a copy of that brochure. Please contact Hebert Advisory Services if you did not receive the firm's brochure or if you have any questions about the contents of this supplement.

Additional information about Richard Hebert is available on the SEC's website at:
www.adviserinfo.sec.gov.

Item 2: Educational Background and Business Experience:

Richard Hebert, MBA.

Year of birth: 1951

President and Principal, Chief Investment Officer, previous Vice President,
Hebert Advisory Services, Inc. 1999 to present

MBA, Honors, Finance emphasis, Regis University

BA, BS Finance, Cum Laude, Regis University

Business background: Investment Adviser Manager, Technical Analyst, Operations and Finance
Management professional 1999 to present. Senior Executive, Manufacturing Industry 1979
through 1998.

Item 3: Disciplinary Information:

There are no legal or disciplinary events pertaining to the supervised person as described below:

A. A criminal or civil action in a domestic, foreign or military court of competent jurisdiction in which the supervised person:

- 1) Was convicted of, or plead guilty or nolo contendere to a) any felony; b) a misdemeanor that involved investments or an investment related business, fraud, false statements or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, or extortion; or c) a conspiracy to commit any of these offenses.
- 2) Is the named subject of a pending criminal proceeding that involves an investment related business, fraud, false statements or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, extortion or a conspiracy to commit any of these offenses;
- 3) Was found to have been involved in a violation of an investment related statute or regulation.
- 4) Was the subject of any order, judgment, or decree permanently or temporarily enjoining, or otherwise limiting, the supervised person from engaging in any investment related activity, or from violating any investment related statute, rule or order.

- B. An administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority in which the supervised person:
- 1) Was found to have caused an investment related business to lose its authorization to do business; or
 - 2) Was found to have been involved in a violation of an investment related statute or regulation and was the subject of an order by the agency or authority
 - a. Denying, suspending, or revoking the authorization of the supervised person to act in an investment related business;
 - b. Barring or suspending the supervised person's association with an investment related business
 - c. Otherwise significantly limiting the supervised person's investment related activities; or
 - d. Imposing a civil money penalty of more than \$2,500 on the supervised person.
- C. A self-regulatory organization (SRO) proceeding in which the supervised person
- 1) Was found to have caused an investment related business to lose its authorization to do business; or
 - 2) Was found to have been involved in a violation of the SRO's rules and was: i) barred or suspended from membership or from association with other members, or was expelled from membership; (ii) otherwise significantly limited from investment related activities; or (iii) fined more than \$2,500
- D. Any other proceeding, or anticipation of such a proceeding, in which a professional designation, or license of the supervised person was revoked or suspended because of a violation of rules relating to professional conduct.

Item 4: Other Business Activities

The supervised person is not actively engaged in any investment related business or occupation other than the association with Hebert Advisory Services, Inc. The supervised person is not registered, or pending to register as a broker-dealer, registered representative of a broker-dealer, futures commission merchant (FCM), commodity pool operator (CPO), commodity trading advisor (CTA) or an associated person of an FCM, CPO, or CTA. The supervised person does not receive commissions, bonuses or other compensation based on the sale of securities or other investment products. The supervised person is not engaged in any other business or occupation for compensation that provides a substantial source of income for the supervised person.

Item 5: Additional Compensation

The supervised person does not receive economic benefit from someone who is not a client.

Item 6: Supervision

The supervised person provides the supervision as owner of the firm. Please see the cover page for pertinent contact information.

Item 7: Requirements for State Registered Advisors.

In addition to the events listed in Item 3 above, the supervised person has not been the subject of a bankruptcy petition or involved in any of the events listed below:

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
 - a. An investment or investment related business or activity.
 - b. Fraud, false statements or omissions
 - c. Theft, embezzlement, or other wrongful taking of property.
 - d. Bribery, forgery, counterfeiting or extortion.
 - e. Dishonest, unfair, or unethical practices.
2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
 - a. An investment or investment related business or activity.
 - b. Fraud, false statements or omissions
 - c. Theft, embezzlement, or other wrongful taking of property.
 - d. Bribery, forgery, counterfeiting or extortion.
 - e. Dishonest, unfair, or unethical practices.