

DOUGHERTY & COMPANY LLC

**90 SOUTH SEVENTH STREET
SUITE 4300
MINNEAPOLIS, MN 55402-4108**

**800-328-4000 OR 612-376-4000 (PHONE)
612-376-4102 (FAX)**

WWW.DOUGHERTYMARKETS.COM

MEMBER SIPC/FINRA

DOUGHERTY & COMPANY LLC

**ADV PART 2
BROCHURE SUPPLEMENT
JULY, 2011**

**PETER C. KING
DOUGHERTY & COMPANY, LLC
90 SOUTH 7TH ST. SUITE 4300
MINNEAPOLIS, MN 55402**

**OFFICE PHONE: 612-376-4000
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THIS BROCHURE SUPPLEMENT PROVIDES INFORMATION ABOUT MR. KING THAT SUPPLEMENTS THE DOUGHERTY & COMPANY BROCHURE. YOU SHOULD HAVE RECEIVED A COPY OF THAT BROCHURE. PLEASE CONTACT US AT 800-328-4000 IF YOU DID NOT RECEIVE DOUGHERTY & COMPANY'S BROCHURE, OR IF YOU HAVE ANY QUESTIONS ABOUT THE CONTENTS OF THIS SUPPLEMENT.

ADDITIONAL INFORMATION ABOUT YOUR REPRESENTATIVE IS AVAILABLE ON FINRA BROKERCHECK AT WWW.FINRA.ORG

EDUCATIONAL BACKGROUND & BUSINESS EXPERIENCE

PETER KING, BORN 1956, IS AN INVESTMENT ADVISER REPRESENTATIVE WITH DOUGHERTY & COMPANY, LLC (D&CO).

PETER JOINED D&CO IN 2008 AS A VICE PRESIDENT IN OUR PRIVATE CLIENT GROUP.

EDUCATION: UNIVERSITY OF NOTRE DAME, BA & MBA BUSINESS ADMINISTRATION

BUSINESS EXPERIENCE: 09/2008 - PRESENT
(PAST 5 YEARS) DOUGHERTY & COMPANY
VICE PRESIDENT

07/2001 - 09/2008
STIFEL, NICOLAUS & COMPANY, INC.
FINANCIAL ADVISOR

DISCIPLINARY INFORMATION

PETER DOES NOT HAVE ANY DISCIPLINARY DISCLOSURES TO BE REPORTED.

OTHER BUSINESS ACTIVITIES

PETER IS NOT INVOLVED WITH ANY OTHER INVESTMENT ADVISORY-RELATED BUSINESS OR OCCUPATION OR ANY OTHER BUSINESS OR OCCUPATION FOR COMPENSATION, OTHER THAN AS A REGISTERED REPRESENTATIVE OF D&CO AS A BROKER-DEALER.

ADDITIONAL COMPENSATION

PETER DOES NOT RECEIVE ANY EXTRANEOUS ECONOMIC BENEFITS FOR PROVIDING ADVISORY SERVICES, SUCH AS SALES AWARDS OR OTHER PRIZES FOR CLIENT REFERRALS.

SUPERVISION

PETER REPORTS TO JEFFREY JACOBSON, SENIOR VICE PRESIDENT. MR. JACOBSON REGULARLY REVIEWS CLIENT ACCOUNTS, AND CAN BE REACHED AT 612-376-4150.