

Investment Advisory Representative Brochure ("ADV Part 2B")

Phillip T. Whitley

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This Brochure Supplement provides information about Phillip T. Whitley that supplements the Synergy Investment Group, LLC Brochure. You should have received a copy of that Brochure. Please contact Joseph Hayes, Chief Compliance Officer, if you did not receive Synergy Investment Group, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Phillip T. Whitley is available on the SEC's website at www.adviserinfo.sec.gov.

Educational Background and Business Experience

Born on March 23rd, 1949, I attended High School at North Wilkes High in Hays, North Carolina, graduating in 1967. I subsequently attended the University Of North Carolina at Asheville, North Carolina, graduating in 1971 with a BA degree majoring in Economics with a double minor of religion and philosophy.

My work experience prior to my career in the financial services industry was as a Human Resource Director in the textile industry; last serving as Directory of Human resources with Bassett Walker Industries, a Subsidiary of VF Corporation, a Fortune 500 company; responsible for implementation and administration of corporate health and welfare benefits and qualified retirement plans.

I began my financial services career in July of 1993 as a Registered Representative with Pruco Securities and Prudential Financial LLC. There I specialized in personal and corporate fee-based financial planning.

In May of 1995 I co-founded Whitley Financial LLC with my son Mark Whitley. Synergy Investment Group LLC serves as our investment advisory firm through which we conduct business while Sterne, Agee Financial Services, Inc. serves as the broker/dealer.

My firm specializes in personal and corporate wealth management.

Disciplinary History

As a registered investment adviser I am required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of me. To date I have never been involved in such a reportable occurrence. I hold myself out as a honest and dedicated financial services professional.

Other Business Activities

In addition to providing investment advisory services, as a registered broker-dealer agent with Sterne, Agee Financial Services, Inc., I also offer for sale various securities and investment products to my clients. For advisory clients participating in our Managed Account Program I am entitled to a portion of commissions as a broker/dealer agent, where the client is responsible for the commission charges. Commission trails in the form of 12b-1 fees are also applicable for certain classes of existing mutual funds. Commissions are also received from the sale of life and annuity products.

This is an inherent conflict of interest to the extent that it presents a financial incentive to recommend purchases based on the commissions rather than solely on a client's best interest.

To mitigate this conflict, client accounts are monitored to identify trading that is inconsistent with the client's investment objectives, risk tolerance or account restrictions, or conduct otherwise not in the client's best interest. Additionally clients are also encouraged to monitor all account correspondence such as trade confirmations and account statements.

The identification of this conflict is required simply to educate you to its existence but in no manner does it suggest or imply that I recommend for the primary purpose of receiving commissions.

Additional Compensation

I do not receive economic benefits in the form of awards, bonuses and prizes from any person or entity for providing advisory services and investment advice to a client of Synergy Investment Group, LLC.

Supervision

Joseph Hayes, Chief Compliance Officer, and any designee he may appoint are daily reviewing transactions in client accounts. A sample of accounts is monitored by the Chief Compliance Officer. In such a review, the Chief Compliance Officer is evaluating transaction history relative to the client's profile and the underlying agent's compliance with firm policies and fiduciary standards. Additional account reviews may be triggered by a specific client request; a customer complaint; or, as needed, based on activity levels within an account. Joseph Hayes can be contacted at (704) 333-7637.