

Investment Advisory Representative Brochure (“ADV Part 2B”)

Joseph Patrick Hayes

CRD #: 4289211

Synergy Investment Group, LLC

2622 Dale Earnhardt Boulevard

Kannapolis, North Carolina 28083

(704) 333-7637

IARD #: 46035

September 6, 2012

This Brochure Supplement provides information about Joseph Hayes (“Supervised Person”) that supplements the Synergy Investment Group, LLC Brochure. You should have received a copy of that Brochure. Please contact Joseph Hayes, Chief Compliance Officer, if you did not receive Synergy Investment Group, LLC’s Brochure or if you have any questions about the contents of this supplement.

Additional information about Joseph Hayes is available on the SEC’s website at www.adviserinfo.sec.gov.

Item 2- Educational Background and Business Experience

Year of Birth

1955

Education

Boston College, Economics Major, 1975-1978

Business Experience

Sterne Agee Financial Services, Inc., Broker/Dealer Registered Representative, 03/2012-Present

Synergy Investment Group, LLC, Chief Compliance Officer, 04/2010-6/2011; 03/2012-Present

Synergy Investment Group, LLC, Investment Advisory Agent, 02/2007-Present

Synergy Investment Group, LLC, Broker/Dealer Registered Representative, 08/2005 –03/2012

JBS Liberty Securities, Inc., Chief Compliance Officer, 01/2012-04/2012

JBS Liberty Securities, Inc., Registered Representative, 06/2007-Present

Item 3- Disciplinary History

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice.

Joseph Hayes has been subject to one disclosable event. On August 24, 2004, a tax lien of \$4,830 was issued by the State of Maine. Hayes has indicated the matter involved him working for eight months in 1999 in Maine and that he did not receive notice of the lien until 2009. The matter is currently pending.

Item 4- Other Business Activities

Joseph Hayes, in addition to serving as Chief Compliance Officer, also serves as a broker/dealer agent of Sterne, Agee Financial Services, Inc. and JBS Liberty Securities, Inc., in conducting operational and supervisory activities. He does not engage in the sale of securities.

Item 5- Additional Compensation

Joseph Hayes does not receive economic benefits in the form of awards, bonuses and prizes from any person or entity. Hayes is compensated by salary for his role as Chief Compliance Officer.

Item 6 - Supervision

Jeff Jones or his designee is responsible for the management and supervision of Joseph Hayes. Jeff Jones can be contacted at (704) 333-7637.

Item 7- Requirements for State-Registered Advisers

Registered investment advisers are required to disclose all material facts regarding any reportable events that would be material to your evaluation of each supervised person providing investment advice.

Joseph Hayes has been subject to one disclosable event. On August 24, 2004, a tax lien of \$4,830 was issued by the State of Maine. Hayes has indicated the matter involved him working for eight months in 1999 in Maine and that he did not receive notice of the lien until 2009. The matter is currently pending.