

# Investment Advisory Representative Brochure

## **Jillianne Wilkinson**

236 Manewal Drive  
Cheyenne, Wyoming 82009  
(307) 635-2134  
IARD #: 4034546

## **Synergy Investment Group, LLC**

2622 Dale Earnhardt Boulevard  
Kannapolis, North Carolina 28083  
(704) 333-7637  
IARD #: 46035

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This Brochure Supplement provides information about Jillianne Wilkinson (“Supervised Person”) that supplements the Synergy Investment Group, LLC Brochure. You should have received a copy of that Brochure. Please contact Joseph Hayes, Chief Compliance Officer, if you did not receive Synergy Investment Group, LLC’s Brochure or if you have any questions about the contents of this supplement.

Additional information about Jillianne Wilkinson is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Item 2- Educational Background and Business Experience**

### Year of Birth

1971

### Education

University of Colorado at Boulder, B.A. – Liberal Arts, 1990-1994

### Business Experience

Sterne, Agee Financial Services, Inc. Registered Representative, 02/2012-Present

Synergy Investment Group, LLC, Representative, 07/2004-Present

## **Item 3- Disciplinary History**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

## **Item 4- Other Business Activities**

The Supervised Person, in addition to providing investment advisory and/or financial planning services, is also registered as a broker/dealer agent of Sterne, Agee Financial Services, Inc. offering various securities products to clients. For advisory clients participating in the Managed Account Program, Wilkinson may be entitled to a portion of commissions as a broker/dealer agent, if the client is responsible for the commission charges.

For non-advisory assets, the Supervised Person, as a broker/dealer agent, does receive commissions from the sale of various securities products. In the case of mutual funds, the Supervised Person may also receive trails, in the form of 12b-1 fees, for existing mutual fund positions. Since the Supervised Person receives commission for the sale of securities products, there is an incentive for the Supervised Person to recommend products based on compensation received rather than the client's needs. The firm has adopted policies and practices designed to review transactions for suitability and their overall appropriateness to the client.

As an insurance agent, the Supervised Person may recommend and conduct insurance transactions. The Supervised Person receives commissions for insurance transactions which creates an incentive for the Supervised Person to recommend products based on compensation received rather than the client's needs. The firm has adopted a set of policies and practices requiring the Supervised Person to conduct such activity consistent with standards of commercial honor and principles of trade and that such activity must be consistent with the client's needs.

The Supervised Person also teaches retirement planning classes on behalf of the federal government and its employees as well as prepares retirement plans for clients.

## **Item 5- Additional Compensation**

The Supervised Person does not receive economic benefits in the form of awards, bonuses and prizes from any person or entity for providing advisory services and investment advice to a client of Synergy Investment Group, LLC.

## **Item 6 - Supervision**

Joseph Hayes, Chief Compliance Officer, and any designee he may appoint are daily reviewing transactions in Client accounts. A sample of accounts is monitored by the Chief Compliance Officer. In such a review, the Chief

Compliance Officer is evaluating transaction history relative to the client's profile and the underlying agent's compliance with firm policies and fiduciary standards. Additional account reviews may be triggered by a specific client request; a customer complaint; or, as needed, based on activity levels within an account. A sample of financial plans will be reviewed as needed by the Chief Compliance Officer. Joseph Hayes can be contacted at (704) 333-7637.

#### **Item 7- Requirements for State-Registered Advisers**

Registered investment advisers are required to disclose all material facts regarding any reportable events that would be material to your evaluation of each supervised person providing investment advice. There is no information to report under this Item.