

*This brochure supplement provides information about Stephen W. Holber that supplements the Golden Triangle Securities, LLC brochure. You should have received a copy of that brochure. Please contact Stephen W. Holber, Managing Member if you did not receive Golden Triangle Securities, LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Stephen W. Holber is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

# **Golden Triangle Securities, LLC**

## **Form ADV Part 2B – Individual Disclosure Brochure**

*for*

**Stephen W. Holber**

Personal CRD Number: 1495393

Investment Adviser Representative

Golden Triangle Securities, LLC  
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Carmel, California, 93923  
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## **Item 2: Educational Background and Business Experience**

**Name:** Stephen W. Holber      **Born:** 1950

### **Education Background and Professional Designations:**

#### **Education:**

BS Political Science, Williams College – 1984

Stephen W. Holber has also completed the following exams: Series 4, 24, 53, 3, 7, 55, 63, & 65

#### **Business Background:**

1999 – Present	Managing Member Golden Triangle Securities, LLC
2009 – Present	Investment Adviser Representative Schwab Institutional
2007 – 2009	Managing Member GBS Financial Corp.
1999 – 2007	Managing Member Golden Triangle Securities LLC
1995 – 1997	Senior Vice President PaineWebber, Inc.
1986 – 1995	Senior Vice President Prudential Securities, Inc.

## **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## **Item 4: Other Business Activities**

Stephen W. Holber is not engaged in any investment-related business or occupation that would interfere with his portfolio management.

## Item 5: Additional Compensation

Other than salary, annual bonuses, or regular bonuses, Stephen W. Holber does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Golden Triangle Securities, LLC.

## Item 6: Supervision

As the only owner and representative of Golden Triangle Securities, LLC, Stephen W. Holber supervises all duties and activities of the firm. Stephen W. Holber's contact information is on the cover page of this disclosure document. Stephen W. Holber adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's policies and procedures manual, including the Code of Ethics, and appropriate securities regulatory requirements.

## Item 7: Requirements For State Registered Advisers

*This disclosure is required by California securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.*

- A. Stephen W. Holber has **NOT** been involved in any of the events listed below.
1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
  2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
- B. Stephen W. Holber has **NOT** been the subject of a bankruptcy petition at any time.