

***Item 1 - Cover Page***

SCHEDULE 2B - BROCHURE SUPPLEMENT

**Eugene P. Szaj**  
February 22, 2012

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**This Brochure Supplement provides information about Eugene Szaj that supplements the Disciplined Financial Services, Inc. (“DFSI”) brochure. You should have received a copy of that brochure. Please contact Eugene Szaj if you did not receive DFSI's brochure or if you have any questions about the contents of this supplement.**

**Additional information about Eugene P. Szaj (CRD No. 441666) is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## ***Item 2 - Educational Background and Business Experience***

Mr. Szaj was born in 1949. He attended the University of Wisconsin-Milwaukee and Madison from 1967 to 1971, where he received a Bachelor of Science degree in Physics. He is also licensed by the Wisconsin Division of Insurance to sell life and health insurance. He earned the designation of CIMC (Certified Investment Management Consultant) in March 1997.

Mr. Szaj was a Vice President and Registered Representative for Merrill Lynch, Pierce, Fenner & Smith Incorporated from 1976 to 1982 and held the same positions with Smith Barney from 1982 to 1989. Mr. Szaj was a Vice President and Registered Representative for Dain Bosworth & Company in Brookfield, Wisconsin from November 1989 to April 1995. From April 1995 until August 2003, was a Registered Representative for First Midwest in Wauwatosa, Wisconsin. Since August 2003, he has been a Registered Representative for Nations Financial Group, Inc. In January 1997, Mr. Szaj became Director and Secretary/Treasurer of DFSI. During May 2005, he became President of DFSI.

Mr. Szaj has passed the FINRA Series 7 General Securities Representative Exam, Series 63 Uniform Securities Agent State Law Exam, Series 24 General Securities Principal Exam and Series 65 Uniform Investment Adviser Law Exam.

## ***Item 3 - Disciplinary Information***

Mr. Szaj does not have any disciplinary information to disclose. He has not: (a) been party to a criminal or civil action in a domestic, foreign or military court; (b) been party to an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency or any foreign financial regulatory authority; or (c) been party to a self-regulatory proceeding.

## ***Item 4 - Other Business Activities***

As noted above, Mr. Szaj is a Registered Representative for Nations Financial, a broker/dealer, he is also licensed to sell insurance products for various insurance companies. Mr. Szaj spends less than 5% of his time on these activities.

## ***Item 5 - Additional Compensation***

Mr. Szaj may receive compensation for his involvement in the activities noted above, but he does not receive any additional economic benefit from third parties for providing advisory services.

## ***Item 6 - Supervision***

Mr. Szaj is the Chief Compliance Officer for DFSI and therefore is responsible for his own supervision. His contact information can be found on the cover page of this supplemental brochure.

## ***Item 7 - Requirements for State-Registered Advisers***

Mr. Szaj has not been involved in: (a) any arbitration claim alleging damages in excess of \$2,500 resulting in an award or being found liable; (b) any civil, self-regulatory organization, or administrative proceeding resulting in an award or being found liable; or (c) a bankruptcy petition.