

Part 2B of Form ADV: *Brochure Supplement*

Travis Berglund
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This brochure supplement provides information about Travis Berglund that supplements the Essex National Securities, Inc. brochure. You should have received a copy of that brochure. Please contact John Cooney 707-258-5000 if you did not receive Essex National Securities, Inc.'s brochure or if you have any questions about the contents of this supplement.

Additional information about Travis Berglund is available on the SEC's website at www.adviserinfo.sec.gov

Item 2 Educational Background and Business Experience

Full Legal Name: Travis Berglund

Born: 1983

Education

- Port Angeles High School; 2001

Business Experience

- Berglund Financial Group, Inc. ; Administrative Assistant; from 09/2005 to 07/2006
- Caldero Financial Consulting; Administrative Assistant; from 02/2006 to 07/2006
- Linsco/Private Ledger Corp; Administrative Associate; from 09/2005 to 10/2011
- First Federal of Port Angeles; Registered Representative; from 10/2011 to Present

Item 3 Disciplinary Information

Travis Berglund has no reportable disciplinary history.

Item 4 Other Business Activities

A. Investment-Related Activities

1. Travis Berglund is also engaged in the following investment-related activities:

Representative is an insurance broker with various insurance companies. In this capacity, your representative may recommend insurance products and receive normal insurance transaction commissions if products are purchased through insurance companies with which he is affiliated. Thus, a conflict of interest exists between the interests of your representative and those of the advisory clients, creating an incentive for him to recommend investment and insurance products based on the compensation received, rather than on a client's needs. However, clients are under no obligation to act upon any recommendations of the representative or to effect any transactions through him if they decide to follow the recommendations (unless they have granted our firm discretionary investment authority).

Your Advisor does not limit his recommendations to products or services offered by specific insurance companies and ensures that all recommendations are

appropriate for a client's specific needs. Clients have the option to purchase investment and insurance products recommended through other insurance companies.

2. Travis Berglund receives commissions, bonuses or other compensation on the sale of securities or other investment products.

Individual is a registered representative with Essex National Securities, Inc., a registered broker-dealer, member FINRA and SIPC. In this capacity, the representative may recommend investment products and receive transaction commissions if products are purchased through the broker-dealer. Thus, a conflict of interest exists between the interests of registered representative and those of the advisory clients, creating an incentive for representative to recommend investment products based on the compensation received, rather than on a client's needs.

However, clients are under no obligation to act upon any recommendations of registered representative or to effect any transactions through him if they decide to follow the recommendations (unless they have granted our firm discretionary investment authority). Representative does not limit his recommendations to products or services offered by the broker-dealer and ensures that all recommendations are appropriate for a client's specific needs. Clients have the option to purchase investment products recommended through other broker-dealers not affiliated with this representative.

B. Non Investment-Related Activities

Travis Berglund is engaged in other business or occupations that provide substantial compensation or involves a substantial amount of his or her time.

Item 5 Additional Compensation

Travis Berglund does not receive any economic benefit from a non-advisory client for the provision of advisory services.

Item 6 Supervision

Supervisor: Jayette Sinclair

Title: Registered Principal

Phone Number: 716-434-3209

Ms. Jayette Sinclair is responsible for all supervision and monitoring of investment advice offered to clients by the representative. She can be reached at 707-251-2194. The underlying securities within accounts are continually monitored by Ms. Sinclair. Ms. Sinclair reviews these accounts at least quarterly.

Item 7 Requirements for State-Registered Advisers

Mr. Berglund has never been the subject of a bankruptcy petition nor has he ever been involved in any of the following events:

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
 - (a) an investment or an investment-related business or activity;
 - (b) fraud, false statement(s), or omissions;
 - (c) theft, embezzlement, or other wrongful taking of property;
 - (d) bribery, forgery, counterfeiting, or extortion; or
 - (e) dishonest, unfair, or unethical practices.
2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
 - (a) an investment or an investment-related business or activity;
 - (b) fraud, false statement(s), or omissions;
 - (c) theft, embezzlement, or other wrongful taking of property;
 - (d) bribery, forgery, counterfeiting, or extortion; or
 - (e) dishonest, unfair, or unethical practices.