

**Part 2B of Form ADV: Brochure Supplement**

Item 1 Cover Page

Thomas Brook Townsend, IV

The Townsend Group Investments, Inc.  
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Brochure Supplement Dated March 26, 2012

This Brochure Supplement provides information about Thomas Brook Townsend, IV that supplements the Townsend Group Investments, Inc. brochure. You should have received a copy of that brochure. Please contact Elaine J. Marsalis, our Office Manager at (310) 456-1645 if you did not receive the Townsend Group Investments' brochure or if you have any questions about the contents of this supplement

Additional information about Thomas Brook Townsend, IV is available  
on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)

## Item 2 Educational Background and Business Experience

Thomas Brook Townsend, IV (“Brooks Townsend”), was born in 1963 and has no formal education after high school.

Following an entrepreneurial path, Mr. Townsend, coached tennis and worked in an upscale restaurant, learning all positions within a year. He worked his way up to management in several operations, from fine dining to corporate chain restaurant systems.

From 1991, when Mr. Townsend founded Delicious Concepts, Inc., until he sold the company in 2006, Mr. Townsend was CEO, building the company into an award winning, multi-unit restaurant chain in the South Bay area of Los Angeles, California.

From 2006 until 2008, while receiving training at the Townsend Group Investments, Inc., Mr. Townsend continued to serve as a consultant to Delicious Concepts.

In 2008 he became a full time Research Analyst and Vice President of the Townsend Group Investments, Inc. where he continues to this day.

### Item 3 Disciplinary Information

A. Thomas Brook Townsend, IV has never been:

1. Involved in, convicted of, or pled guilty or nolo contendere (“no contest”) to a criminal or civil action in a domestic, foreign or military court of competent jurisdiction relating to:
  - a) Any felony;
  - b) A misdemeanor that involved investments or an investment-related business, fraud, false statements or omission, wrongful taking of property, bribery, perjury, forgery, counterfeiting, or extortion;
  - c) A conspiracy to commit any of these offenses;
2. Named as subject of a pending criminal proceeding that involves an investment-related business, fraud, false statements or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, extortion, or a conspiracy to commit any of these offenses;
3. Found to have been involved in a violation of an investment-related statute or regulation;  
or
4. The subject of any order, judgment, or decree permanently or temporarily enjoining, or otherwise limiting, our firm or a management person from engaging in any investment-related activity, or from violating any investment-related statute, rule or order.

B. Additionally, Thomas Brook Townsend, IV has never been involved in, an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority in which he

1. Was found to have caused an investment-related business to lose its authorization to do business; or
2. Was found to have been involved in a violation of an investment-related statute or regulation and was the subject of an order by the agency or authority
  - a) Denying, suspending, or revoking his authorization to act in an investment-related business;
  - b) Barring or suspending his association with an investment related business;
  - c) Otherwise significantly limiting his investment-related activities; or
  - d) Imposing a civil money penalty of more than \$2,500 on him.

C. Thomas Brook Townsend, IV has never been involved in a self-regulatory organization (SRO) proceeding in which he:

1. Was found to have caused an investment-related business to lose its authorization to do business; or
- 2.. Was found to have been involved in a violation of the SRO’s rules and was;

- i. Barred or suspended from membership or from association with other members, or was expelled from membership;
- ii. Otherwise significantly limited from investment-related activities; or
- iii. Fined more than \$2,500.

D. Additionally, Thomas Brook Townsend, IV has never been involved in, any other proceeding in which his professional attainment, designation, or license was revoked or suspended because of a violation of rules relating to professional conduct.

#### Item 4 Other Business Activities

A.. Thomas Brook Townsend, IV is not:

- Actively engaged in any other investment-related business or occupation.
  - Is not registered, nor has an application pending to register, as a broker-dealer, registered representative of a broker-dealer, futures commission merchant (“FCM”), commodity pool operator (“CPO”), commodity trading advisor (“CTA”), or an associated person or an FCM, CPO, or CTA.
1. Since Mr. Townsend has no other financial industry activities, there is no conflict of interest with either the advisory business of the Townsend Group Investments or its clients.
  2. Mr. Townsend does not receive commissions, bonuses or other compensation based of the sale of securities or other investment products of any kind.

## Item 5 Additional Compensation

Thomas Brook Townsend, IV receives no economic benefit for providing advisory services to someone who is not a client.

## Item 6 Supervision

As a Research Analyst Brooks Townsend, joins David Butterworth (President, CFO and Chief Compliance Officer) in the monitoring of the underlying securities in the client accounts and in the formulation of investment advice for clients. Occasionally Mr. Townsend will discuss the firm's strategies with clients and he shares these discussions with Mr. Butterworth.

All supervisory duties are performed by      David Butterworth  
President, CFO and Chief Compliance Officer  
Telephone: (310) 456-1645

Item 7     Requirements for State-Registered Advisers

Not Applicable