

**ITEM 1: COVER PAGE**

**BROCHURE SUPPLEMENT**  
(Part 2B of Form ADV)

**April 4, 2012**

**Nicholas Geordan**

**Geordan Capital Management, LLC**

8400 E. Prentice Avenue, Suite 625

Greenwood Village, CO 80111

Phone: (303) 694-4500

Fax: (303) 220-8151

**This brochure supplement provides information about Nicholas Geordan that supplements Geordan Capital Management, LLC's brochure. You should have received a copy of that brochure. Please contact us at (303) 694-4500 if you did not receive Geordan Capital Management, LLC's brochure or if you have any questions about the contents of this supplement. Thank you.**

**Additional information about Nicholas Geordan is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)**

## **ITEM 2: EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE**

Nicholas Geordan, (DOB: 1949)

Bachelor's of Arts and Science – Youngstown State University

Master's of Business Administration – University of Denver

Chartered Financial Analyst – CFA Institute

### **Business Background:**

Currently, Nicholas Geordan is Geordan Capital Management, LLC's ("GCM") Managing Member and Chief Compliance Officer. Prior to forming GCM in 1989, Mr. Geordan was the Senior Portfolio Manager with United Bank of Denver's Trust Department. Mr. Geordan was a portfolio manager at United Bank during the period 1981-1989. Prior to joining the United Bank of Denver, Mr. Geordan was employed by the U.S. Treasury Department from 1977 to 1981.

### **Explanation of Professional Designation:**

#### Chartered Financial Analyst

The Chartered Financial Analyst (CFA) charter is a professional designation established in 1962 and awarded by the CFA Institute. To earn the CFA charter, candidates must pass three sequential, six-hour examinations over two to four years. The three levels of the CFA Program test a wide range of investment topics, including ethical and professional standards, fixed-income and equity analysis, alternative and derivative investments, and portfolio management and wealth planning. In addition, CFA charterholders must have at least four years of acceptable professional experience in the investment decision-making process and must commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

## **ITEM 3: DISCIPLINARY INFORMATION**

GCM is required to disclose all material facts regarding any legal or disciplinary event that would be material to your evaluation of each investment person providing advice to you. Mr. Geordan has no information required to be disclosed under this Item.

## **ITEM 4: OTHER BUSINESS ACTIVITIES**

Outside of his activities at GCM, Mr. Geordan is not actively engaged in any investment-related business or occupation. Additionally, Mr. Geordan does not engage in other business activities outside of his position at GCM which represent a substantial source (*i.e.*, more than 10%) of his time or income.

## **ITEM 5: ADDITIONAL COMPENSATION**

Outside of the typical and ordinary compensation earned from his employment at GCM, Mr. Geordan is not provided an economic benefit for providing investment advice from persons other than his clients.

## **ITEM 6: SUPERVISION**

Nicholas Geordan is the Managing Member of GCM and is responsible for all advice provided to clients and for providing supervisory oversight to the GCM team. Mr. Geordan can be contacted at (303) 694-4500.

## **ITEM 7      REQUIREMENTS FOR STATE REGISTERED ADVISERS**

In addition to the events listed in Item 3 of Part 2B, state-registered investment advisers such as GCM are required to disclose all material facts regarding certain arbitration, civil, self-regulatory organization, or administrative proceedings involving its supervised persons. Mr. Geordan has no information required to be disclosed under this Item.

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**David Kinney**

**Geordan Capital Management, LLC**

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Greenwood Village, CO 80111

Phone: (303) 694-4500

Fax: (303) 220-8151

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**Additional information about David Kinney is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)**

## **ITEM 2: EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE**

David B. Kinney, (DOB: 1954)

Bachelor of Arts and Science – Duke University

Master's of Business Administration – University of Michigan

Chartered Financial Analyst – CFA Institute

### **Business Background:**

Currently, Mr. Kinney serves as Geordan Capital Management, LLC's ("GCM" or the "Firm") Director of Research. Prior to joining GCM in 1998, David Kinney was the senior equity analyst and lead fixed income portfolio manager at United Capital Management, a subsidiary of Norwest Bank. Mr. Kinney was employed at United Capital Management from 1981 – 1998. Prior to joining United Capital Management, Mr. Kinney was employed as a C.P.A. with Deloitte Haskins and Sells from 1978 to 1980.

### **Explanation of Professional Designation:**

#### Chartered Financial Analyst

The Chartered Financial Analyst (CFA) charter is a professional designation established in 1962 and awarded by the CFA Institute. To earn the CFA charter, candidates must pass three sequential, six-hour examinations over two to four years. The three levels of the CFA Program test a wide range of investment topics, including ethical and professional standards, fixed-income and equity analysis, alternative and derivative investments, and portfolio management and wealth planning. In addition, CFA charterholders must have at least four years of acceptable professional experience in the investment decision-making process and must commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

## **ITEM 3: DISCIPLINARY INFORMATION**

GCM is required to disclose all material facts regarding any legal or disciplinary event that would be material to your evaluation of each investment person providing advice to you. Mr. Kinney has no information required to be disclosed under this Item.

## **ITEM 4: OTHER BUSINESS ACTIVITIES**

Outside of his activities at GCM, Mr. Kinney serves as a partner for two family partnerships, HJK, LLP and Kinney Partners, LP, both of which are only open to members of Mr. Kinney's family. These are organized as limited partnerships for the purpose of holding farmland and investing in publically traded securities for the Kinney family. GCM recognizes that this gives rise to conflicts of interest between these partnerships and GCM's client accounts. The Firm has adopted policies and procedures to mitigate these conflicts, including the obligation to act in the best interest of all clients for which GCM provides Investment Advisory Services and the quarterly requirement to report all security transactions by the partnerships.

Other than these activities, Mr. Kinney does not engage in any other businesses that account for a substantial amount (*i.e.*, 10% or more) of his time or income.

### **ITEM 5: ADDITIONAL COMPENSATION**

Outside of the typical and ordinary compensation earned from his employment at GCM, Mr. Kinney is not provided an economic benefit for providing investment advice from persons other than his clients.

### **ITEM 6: SUPERVISION**

Nicholas Geordan is the Managing Member of GCM and is responsible for all advice provided to clients and for providing supervisory oversight to Mr. Kinney and the GCM team. Mr. Geordan can be contacted at (303) 694-4500.

### **ITEM 7      REQUIREMENTS FOR STATE REGISTERED ADVISERS**

In addition to the events listed in Item 3 of Part 2B, state-registered investment advisers such as GCM are required to disclose all material facts regarding certain arbitration, civil, self-regulatory organization, or administrative proceedings involving its supervised persons. Mr. Kinney has no information required to be disclosed under this Item.