

Part 2B of Form ADV: *Brochure Supplement*

Alan P. Goldbecker

32 Sport Hill Parkway
Easton, CT 06112
Telephone: (203) 338-0120
E-mail: findesman@aol.com

Financial Design & Management, Inc.

32 Sport Hill Parkway
Easton, CT 06112
Telephone: 203-338-0120
Email: findesman@aol.com

June 5, 2012

This brochure supplement provides information about Alan Goldbecker that supplements the Financial Design & Management, Inc. brochure. You should have received a copy of that brochure. Please contact Alan Goldbecker if you did not receive Financial Design & Management, Inc.'s brochure or if you have any questions about the contents of this supplement.

Additional information about Alan Goldbecker is available on the SEC's website at www.adviserinfo.sec.gov. You can search this site by a unique identifying number, known as a CRD number. The CRD number for Alan Goldbecker is 1316075.

Item 2. Educational Background and Business Experience

Alan P. Goldbecker

Year of Birth: 1942

Education:

- Hamilton College, B.A. in Economics, 1965
- University of Bridgeport, attended business courses for CPA exam

Business Background:

- Financial Design & Management, Inc., President, 1984 - Present

Professional Designations:

- Certified Public Accountant (CPA)

In order to become a CPA in the United States, the candidate must sit for and pass the Uniform Certified Public Accountant Examination, which is set by the American Institute of Certified Public Accountants and administered by the National Association of State Boards of Accountancy.

Eligibility to sit for the Uniform CPA Exam is determined by individual State Boards of Accountancy. Typically the requirement is a U.S. bachelors degree which includes a minimum number of qualifying credit hours in accounting and business administration with an additional 1 year study. All CPA candidates must pass the Uniform CPA Examination to qualify for a CPA certificate and license (i.e., permit to practice) to practice public accounting.

CPAs are required to take continuing education courses in order to renew their license. Requirements vary by state. The vast majority of states require 120 hours of CPE every 3 years with a minimum of 20 hours per calendar year. The requirement can be fulfilled through attending live seminars, webcast seminars, or through self-study (textbooks, videos, online courses, all of which require a test to receive credit). As part of the CPE requirement, most states require their CPAs to take an ethics course during every renewal period. Ethics requirements vary by state, and the courses range from 2–8 hours.

Item 3. Disciplinary Information

Mr. Goldbecker has no history of any disciplinary events.

Item 4. Other Business Activities

Mr. Goldbecker is not engaged in any other business or occupation.

Item 5. Additional Compensation

Our firm directly and Mr. Goldbecker, indirectly and as part of his overall compensation, receive referral fees in connection with referring clients to unaffiliated, independent SEC- or state-registered investment advisers (the "Independent Advisers"). Payment of referral fees for prospective client referrals creates a potential conflict of interest to the extent that such a referral is not unbiased and the solicitor is, at least partially, motivated by financial gain. Therefore, such a referral may be made even if advisory services provided by the Independent Advisers are not suitable to a particular client's needs or entering into an advisory relationship with them is not, overall, in the best interest of the client. Our firm has developed policies and procedures to monitor and mitigate these conflicts of interest. A summary of our policies can be found in Item 14 of our firm's Form ADV Part 2A.

Item 6. Supervision

Alan Goldbecker, as President and Chief Compliance Officer of Financial Design & Management, Inc., is responsible for the supervision and monitoring of investment advice offered to advisory clients. He can be reached at (203) 338-0120.

Item 7. Requirements for State-Registered Advisers

Mr. Goldbecker has never been the subject of a bankruptcy petition nor has he ever been involved in any of the additional types of disciplinary events reportable under this Item.