

*This brochure supplement provides information about Wendell Heximer that supplements the Heximer Investment Management, Inc. brochure. You should have received a copy of that brochure. Please contact Wendell Heximer, President if you did not receive Heximer Investment Management, Inc.'s brochure or if you have any questions about the contents of this supplement.*

*Additional information about Wendell Heximer is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

# **Heximer Investment Management, Inc.**

## **Form ADV Part 2B – Individual Disclosure Brochure**

*for*

**Wendell Heximer**

Personal CRD Number: 1910820

Investment Adviser Representative

Heximer Investment Management, Inc.  
7263 Sawmill Road  
Dublin, Ohio, 43016  
(614) 760-9100  
wheximer@att.net

UPDATED: 5/17/2012

## **Item 2: Educational Background and Business Experience**

**Name:** Wendell Heximer

**Born:** 1949

### **Education Background and Professional Designations:**

#### **Education:**

BSBA Accounting/Finance, The Ohio State University - 1972

#### **Business Background:**

02/1989 - Present

President

Heximer Investment Management, Inc.

## **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## **Item 4: Other Business Activities**

Heximer Investment Management, Inc. does not offer auxiliary advisory or management services. Currently, Heximer Investment Management, Inc. shares office space and operation services with Shuttleworth & Company (hereinafter "Shuttleworth"). Shuttleworth is an unrelated corporation. Business is conducted independently and at arms-length basis. There are no common clients or sharing of client accounts. Recommendation or referral of services is not compensated in any way according to the Code of Ethics. The purpose of the relationship is the management of daily operation expenses through shared space. Additionally, Heximer Investment Management, Inc. and Shuttleworth do not use the same brokers or brokerages to service their respective clients' accounts. Lastly, no client information is shared with Shuttleworth by Heximer Investment Management, Inc. without the express consent of the client(s).

## **Item 5: Additional Compensation**

Other than salary, annual bonuses, or regular bonuses, Wendell Heximer does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Heximer Investment Management, Inc.

## Item 6: Supervision

As the only owner and a representative of Heximer Investment Management, Inc., Wendell Heximer supervises all duties and activities of the firm. Wendell Heximer's contact information is on the cover page of this disclosure document. Wendell Heximer adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's policies and procedures manual, including the Code of Ethics, and appropriate securities regulatory requirements.

As a small investment advisory firm, the principle portfolio manager is by necessity also designated as the Chief Compliance Officer (CCO). All accounts are held at a custodian for safekeeping and oversight, where independent records of all transactions are provided monthly to all clients by the custodian.

There is a reciprocal agreement that in the event of a serious illness or disability of the portfolio manager of either Heximer Investment Management, Inc. or at Shuttleworth & Company, that investment advisory services would be provided to the company in need by the other on a fee basis, and would acquire the accounts of the other in the case of death. Written consent by each client would be required to effectuate the new investment advisory relationship for either event.

## Item 7: Requirements For State Registered Advisers

*This disclosure is required by Ohio securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.*

A. Wendell Heximer has **NOT** been involved in any of the events listed below.

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
  - a) an investment or an investment-related business or activity;
  - b) fraud, false statement(s), or omissions;
  - c) theft, embezzlement, or other wrongful taking of property;
  - d) bribery, forgery, counterfeiting, or extortion; or
  - e) dishonest, unfair, or unethical practices.

2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
- B. Wendell Heximer has NOT been the subject of a bankruptcy petition at any time.