

# PAUL COMSTOCK PARTNERS

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Jason D. Cain

Private Wealth Advisor

Two Riverway, Suite 1000

Houston, TX 77056

(713) 977-2694

[Jason.Cain@PaulComstockPartners.com](mailto:Jason.Cain@PaulComstockPartners.com)

[www.PaulComstockPartners.com](http://www.PaulComstockPartners.com)

## Wealth Advisory Services SEC Form ADV Part 2B – Brochure Supplement

March 15, 2012

This brochure supplement provides information about Jason D. Cain that supplement the Paul Comstock Partners' brochure. You should have received a copy of that brochure. Please contact Karen M. McNeil, (713) 977-2694 or [karen.mcneil@paulcomstockpartners.com](mailto:karen.mcneil@paulcomstockpartners.com), if you did not receive Paul Comstock Partners' brochure or if you have any questions about the contents of this supplement

Additional information about Paul L. Comstock is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

# JASON D. CAIN

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## Educational Background and Business Experience

### **Jason D. Cain (D.O.B. 10/07/73)**

BBA and MPA - The University of Texas at Austin

CPA - TX State Board of Public Accountancy

Certified Public Accountant

Requirements to be a CPA include: be of good moral character, pass the CPA Exam, meet the work experience requirements, pass an exam on the Board's Rules of Professional Conduct. For work experience, the current requirements are for one year of full-time work experience under the direct supervision of a licensed CPA. CPAs must also apply for the issuance of the CPA certificate, pay a fee, take an oath of office, and complete a board-approved four-hour ethics course. CPAs are also subject to continuing annual professional education requirements.

CFE - Association of Certified Fraud Examiners

Certified Fraud Examiner

CFEs have a minimum of a Bachelor's degree (or equivalent) from an institution of higher learning. No specific field of study is required. They must have at least two years of professional experience in a field either directly or indirectly related to the detection or deterrence of fraud. CFEs must also pass the CFE Exam that tests knowledge and expertise in the four primary areas of fraud examination: Fraudulent Financial Transactions, Legal Elements of Fraud, Investigation Methods, and Fraud Prevention and Deterrence. CFEs are also subject to continuing annual professional education requirements.

Mr. Cain has been involved in the financial services industry since 2009.

Business Background for the preceding five years:

First Endowment Development Corporation, "dba" Paul Comstock Partners – Private Wealth Advisor

September 2010 to Present

Minnesota Life Insurance Co. – Life Insurance Agent

Securian Financial Services, Inc. – Registered Representative

IMG Financial Group, Inc. – Financial Advisor

August 2009 to September 2010

TEMP Financial Services – Litigation Consultant

January 2009 to July 2009

Gordius Consulting – Director of Litigation Consulting

May 2008 to December 2008

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Alvarez & Marsal – Manager of Litigation Consulting  
May 2005 to April 2008

## Disciplinary Information

None

## Other Business Activities

None

## Additional Compensation

Compensation for Mr. Cain includes a bonus structure generated by the new business he personally brings into the firm. Receiving 100% of new business developed until 100% of his base compensation is covered. After that an agreed upon percentage will be paid out for the first 12 months of the client billings and a reduced percentage for the client billings each year thereafter. Corporate referrals will be one half of the above mentioned agreement.

## Supervision

Supervised by Alison Comstock Moss, Vice President, 713 977 2694.

At a minimum, Mr. Cain participates in weekly strategic planning meetings with his supervisor where each client and prospective client is discussed, coordination between research and client service on behalf of the client is organized, and marketing activities are reviewed.

Investment advice given by Mr. Cain must be approved prior to delivery to either clients or prospective clients to ensure consistency with the investment research and philosophy at Paul Comstock Partners. All written proposals are reviewed thoroughly by Mr. Cain's supervisor and a member of the research team for accuracy and appropriateness. At Comstock, we work as a team so a member of the research team and/or his supervisor often attend client meetings to support Mr. Cain's efforts with the new client.