

Part 2B of Form ADV: *Brochure Supplement*

Mark Terrence Finn

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This brochure supplement provides information about Mark Terrence Finn that supplements the Vantage Consulting Group, Inc. brochure. You should have received a copy of that brochure. Please contact Ruth Cole if you did not receive Vantage Consulting Group, Inc.'s brochure or if you have any questions about the contents of this supplement.

Additional information about Mark Finn is available on the SEC's website at www.adviserinfo.sec.gov

Item 2 Educational, Background and Business Experience

Full Legal Name: Mark Terrence Finn **Born:** 1943

Education

- College of William and Mary; MBA, Business; 1987

Business Experience

- Vantage Consulting Group, Inc.; Chairman, CEO, CCO; from 1985 to Present

Item 3 Disciplinary Information

Mark Terrence Finn has no reportable disciplinary history.

Item 4 Other Business Activities

A. Investment-Related Activities

1. Mark Terrence Finn is also engaged in the following investment-related activities:

- Other investment-related business

Mr. Finn, Chairman, CEO and CCO of Vantage Consulting Group, is a Managing Member of BV Partners, a Delaware Limited Liability Co., which serves as the Managing Member for the BV Partners I LLC (subsequently renamed New Ventures I LLC (NVI), a Delaware Limited Liability Co. The New Ventures I LLC was formed to provide certain qualified Vantage clients a chance to participate in venture capital opportunities that would not otherwise be available to them. In his capacity as a Managing Member of NVI, Mr. Finn serves on the Cibus Global Board, an underlying holding of the LLC. NVI does not charge members a management fee, but may charge a performance fee if a pre-established hurdle rate is met as detailed in the Funds' Offering Memorandum. Mr. Finn receives customary board compensation as outlined in the Offering Memorandum and travel reimbursement to attend Committee meetings. I

Mr. Finn also serves on the Celtic Pharma and Celtic Therapeutics Advisors Boards. Vantage Consulting Group has clients invested in the Celtic Pharmaceutical Holdings, LP and Celtic Therapeutics. The Advisory Board positions allow Vantage representatives the opportunity to closely monitor Fund investments on behalf of Vantage clients invested in the Fund. All clients invested in Celtic are qualified, super-accredited investors and are not charged a fee by Vantage to invest in the Fund. As an Advisory Board member, Mr. Finn may participate in the incentive fee earned by the General Partner through a 1% percent interest in the General Partner's carried interest if a pre-established hurdle rate is met as outlined in the Fund Offering documents. Mr. Finn may receive travel reimbursement to attend Adv. Board

meetings.

In order to address any potential conflict of interest or perceived conflict of interest, Vantage clients invested in NVI and/or Celtic Pharmaceutical Funds are advised of all fee arrangements prior to their investment in the Funds.

B. Non Investment-Related Activities

Mr. Finn has served as an Independent Trustee on a number of open end funds sponsored by Legg Mason (previously CitiFunds). He has also served as a member of the Audit, Governance, and Performance and Review Committees. Mr. Finn previously served as the Chair of the Funds' Performance and Review Committee. Mr. Finn's service/activity on behalf of Legg Mason poses no conflict of interest with regard to Vantage clients and does not require substantial time away from Vantage business.

Item 5 Additional Compensation

Mark Finn does not receive any economic benefit from a non-advisory client for the provision of advisory services.

Item 6 Supervision

Supervisor: Mark T. Finn

Title: CEO/Chairman/CCO

Phone Number: 757-491-1200

Mr. Finn is the Owner, Chairman, CEO and CCO, of Vantage Consulting Group, Inc. In these capacities, Mr. Finn has established a strong ethical corporate culture for the firm and its employees and consultants. He has overseen the development and implementation of a strong compliance program, as well as policies and procedures adhering to the Investment Advisers Act of 1940, the Employees Retirement Income Security Act of 1974, and all applicable state and federal regulations. Mr. Finn determines the strategic investment advice for clients and frequently attends client and manager meetings. The firm undergoes an annual review of the business operations, compliance program, personnel activity, and all policies and procedures. Mr. Finn provides all employees with compliance training, resources and on-going educational opportunities.