

**Part 2B of Form ADV: *Brochure Supplement***

**Jonathan Frederick Finn**

Vantage Consulting Group, Inc.  
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This brochure supplement provides information about Jonathan Frederick Finn that supplements the Vantage Consulting Group, Inc. brochure. You should have received a copy of that brochure. Please contact Ruth Cole if you did not receive Vantage Consulting Group, Inc.'s brochure or if you have any questions about the contents of this supplement.

Additional information about Jonathan Finn is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)

## **Item 2 Educational, Background and Business Experience**

**Full Legal Name:** Finn, Jonathan Frederick

**Born:** 1973

### **Education**

- University of Virginia; BA, Economics; 1995

### **Business Experience**

Vantage Consulting Group, Inc., 06/1995 to Present. Vice President and Chief Investment Officer.

### **Designations**

Mr. Finn has earned the following designation(s) and is in good standing with the granting authority:

Chartered Financial Analyst; CFA Institute; 1999. This designation is offered by the CFA Institute [formerly the Association for Investment Management and Research {AIMR}]. To obtain the CFA charter, candidates must successfully complete three difficult exams and gain at least three years of qualifying work experience, among other requirements. In passing these exams, candidates demonstrate their competence, integrity and extensive knowledge in accounting, ethical and professional standards, economics, portfolio management and security analysis.

## **Item 3 Disciplinary Information**

Mr. Finn has no reportable disciplinary history.

## **Item 4 Other Business Activities**

### **A. Investment-Related Activities**

1. Mr. Finn is also engaged in the following investment-related activities:

- Other investment-related business affiliation

Mr. Finn, Vice President and CIO of Vantage Consulting Group is a Managing Member of BV Partners, a Delaware Limited Liability Co., which serves as the Managing Member for the BV Partners I LLC (subsequently renamed New Ventures I (NVI) LLC, a Delaware Limited Liability Co. The New Ventures I LLC was formed to provide certain qualified Vantage clients a chance to participate in venture capital opportunities that would not otherwise be available to them. In his capacity as a Managing member of New Ventures I LLC, Mr. Finn serves on the Board of Directors of eReceivables, and Nucelis, Inc. (previously Cibus Oils), both underlying

holdings of the LLC. The New Ventures I LLC does not charge members a management fee, but may charge a performance fee if a pre-established hurdle rate is met as detailed in the Fund's Offering Memorandum documents. Mr. Finn receives travel reimbursement to attend Board meetings and may receive customary board compensation from his position on the underlying company advisory boards as outlined in the Offering documents.

Mr. Finn also serves on the Celtic Pharma Holdings II, LP Advisory Board. Vantage Consulting Group has clients invested in the Celtic Pharma Holdings II, as deemed appropriate for their level of investment sophistication, goals, objectives and risk tolerance levels. The Advisory Board position allows Vantage representatives the opportunity to closely monitor Fund investments on behalf of Vantage clients invested in the Fund. All clients invested in Celtic are qualified, super-accredited investors and are not charged a fee by Vantage to invest in the Fund. As an Advisory Board member, Mr. Finn may participate in the incentive fee earned by the General Partner through a 1% interest in the General Partner's carried interest if a pre-established hurdle rate is met as outlined in the Fund Offering documents. Mr. Finn may receive travel reimbursement to attend Advisory Board meetings.

In order to address any potential conflict of interest or perceived conflict of interest, Vantage clients invested in NVI and/or Celtic Pharmaceutical Funds are advised of all fee arrangements prior to their investment in the Funds.

#### **B. Non Investment-Related Activities**

Mr. Finn is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

### **Item 5 Additional Compensation**

Mr. Finn does not receive any economic benefit from a non-advisory client for the provision of advisory services.

### **Item 6 Supervision**

**Supervisor:** Mark T. Finn

**Title:** CEO/Chairman/CCO

**Phone Number:** 757-491-1200

Mr. Jonathan Finn is directly supervised by Mark T. Finn, CEO and Chairman of Vantage Consulting Group. Messrs. Finn and Finn are responsible for the investment policies and investment advice provided to clients. They meet regularly and as needed with the portfolio managers to discuss client goals, objectives, mandates and risk tolerance. The CEO has access to all client reports. Communication with the portfolio managers is on a continuous basis and generally there is daily contact. The CEO also has access to all employee email accounts and he is able to randomly view content. All personal trading is required to be reported to the CEO at least quarterly, or more often if necessary in

accordance with the firm's policies and procedures. Vantage has adopted policies and procedures and a compliance program designed to prevent, detect and correct actual or potential violations by the adviser and its supervised persons. Vantage conducts an annual review to assess the firm's business, policies, procedures and personnel activity.