

## Part 2B of Form ADV: *Brochure Supplement*

### James F. Maino, RIC, Inc.

Mailing Address: PO Box 489, Avila Beach, CA 93424-0489  
Office address: 6621 Bay Laurel Drive, Avila Beach, CA 93424

Telephone: 805-627-1600  
Email: [lisa@jfmaino.com](mailto:lisa@jfmaino.com)

3/12/2012

This brochure supplement provides information on ***James F. Maino*** and supplements our brochure. You should also have received a copy our brochure. If you have not received a copy of our brochure, or have any questions regarding this supplement, please contact us at 805-627-1600 or [lisa@jfmaino.com](mailto:lisa@jfmaino.com).

Additional information about James F. Maino, RIC, Inc. also is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). You can search this site by a unique identifying number, known as a CRD number. Our firm's CRD number is 104980.



## James F. Maino

Principal  
President

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### Item 2 – Educational Background and Business Experience

Year of Birth: 1942

Education: B.A. Economics Stanford University, Stanford, CA

Business Experience: President/Treasurer of James F. Maino, RIC, Inc. 1973 – Present

James Maino is responsible for all investment advice, research and investment decisions.

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### Item 3 – Disciplinary Information

James F. Maino has never had any disciplinary disclosures to be reported.

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### Item 4 – Other Business Activities

James F. Maino is not currently engaged in any investment related business or occupation.

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### Item 5 – Additional Compensation

James F. Maino receives compensation for providing investment advisory services solely from his responsibilities at James F. Maino, RIC, Inc. and from no other source.

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### Item 6 – Supervision

James F. Maino reports directly to James F. Maino, RIC, Inc.'s management committee and chief compliance officer (CCO), Lisa E. Kilburn-Maino. You may contact the CCO at (805) 627-1600.

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### Item 7 – Requirements for State-Registered Advisors

A (1): James F. Maino has never been found liable in any arbitration claims.

A (2): James F. Maino has never been found liable in any civil, self-regulatory organization or administrative proceeding.

B: James F. Maino has never been the subject of a bankruptcy petition.

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3/12/2012

This brochure supplement provides information on ***Lisa E. Kilburn-Maino*** and supplements our brochure. You should also have received a copy our brochure. If you have not received a copy of our brochure, or have any questions regarding this supplement, please contact us at 805-627-1600 or [lisa@jfmaino.com](mailto:lisa@jfmaino.com).

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## **Lisa E. Kilburn-Maino**

Principal

Vice President, Chief Compliance Officer, Secretary

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### **Item 2 – Educational Background and Business Experience**

Year of Birth: 1957

Education: B.A. English University of CA at Los Angeles (UCLA), Los Angeles, CA

Business Experience:

Assistant Office Manager at Kidder, Peabody and Co., Los Angeles, CA	1979 - 1981
Director of Administration for Tukman Capital Management, Inc. Larkspur, CA	1981 - 1991
Vice President/Chief Compliance Officer for James F. Maino, RIC, Inc., Avila Beach, CA	1991 - Present

Lisa E. Kilburn-Maino is responsible for administration, computer systems and compliance. While she has online access to client accounts, she has no discretionary authority over client assets nor formulates any investment decisions or advice.

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### **Item 3 – Disciplinary Information**

Lisa E. Kilburn-Maino has never had any disciplinary disclosures to be reported.

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### **Item 4 – Other Business Activities**

Lisa E. Kilburn-Maino is not currently engaged in any investment related business or occupation.

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### **Item 5 – Additional Compensation**

Lisa E. Kilburn-Maino receives compensation for providing investment advisory services solely from his responsibilities at James F. Maino, RIC, Inc. and from no other source.

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### **Item 6 – Supervision**

Lisa E. Kilburn-Maino reports directly to James F. Maino, RIC, Inc.'s management committee and President, James F. Maino. You may contact James F. Maino at (805) 627-1600.

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### **Item 7 – Requirements for State-Registered Advisors**

A (1): Lisa E. Kilburn-Maino has never been found liable in any arbitration claims.

A (2): Lisa E. Kilburn-Maino has never been found liable in any civil, self-regulatory organization or administrative proceeding.

B: Lisa E. Kilburn-Maino has never been the subject of a bankruptcy petition.