

Jerry W. Plant

Mark I Asset Management, Ltd.

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**FORM ADV PART 2B
BROCHURE SUPPLEMENT**

This brochure supplement provides information about Jerry W. Plant that supplements the Mark I Asset Management, Ltd. brochure. You should have received a copy of that brochure. Please contact Jerry W. Plant, Chief Compliance Officer, if you did not receive Mark I Asset Management Ltd's brochure or if you have any questions about the contents of this supplement.

Additional information about Mark I Asset Management, Ltd is also available on Oklahoma State Department of Securities website at www.securities.ok.gov. The searchable IARD/CRD number for Mark I Asset Management, Ltd is 104563.

Mark I Asset Management, Ltd is a Registered Investment Adviser. Registration with the State of Oklahoma's Department of Securities; any state securities authority does not imply a certain level of skill or training.

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Educational Background and Business Experience

Form ADV Part 2B, Item 2

Name: Jerry Wayne Plant

Year of Birth: 1938

Formal Education after High School:

- University of Oklahoma, Bachelor of Business Administration, Accounting, 1961

Business Background for the Previous Five Years:

- Mark I Asset Management, Ltd., President, August 1992 - Present

Certifications:

- Certified Public Accountant (OK 1962)
- Chartered Financial Analyst (1998)

Biography:

Plant founded Mark I Asset Management, Ltd. in 1992. Prior to that, Plant worked in public accounting as an audit partner with Arthur Young, a major international accounting firm. He also managed several different companies before forming Mark I.

Plant is a graduate of the University of Oklahoma with a Bachelor of Business Administration in Accounting.

Plant has attended numerous professional development seminars, including the Arthur Young Partners' Seminar staged by the faculty of the Harvard Advanced Management Program. He has also attended a series of seminars presented by the University of Texas at Arlington, which targeted the curriculum of the Chartered Financial Analyst designation. He received his Chartered Financial Analyst (CFA) in 1998.

Disciplinary Information

Form ADV Part 2B, Item 3

A. A criminal or civil action in a domestic, foreign or military court of competent jurisdiction in which your firm or a management person

Jerry Wayne Plant a representative of Mark I, was NOT convicted of, or pled guilty or nolo contendere ("no contest") to (a) any felony; (b) a misdemeanor that involved investments or an investment-related business, fraud, false statements or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, or extortion; or (c) a conspiracy to commit any of these offenses;

Jerry Wayne Plant, a representative of Mark I, is NOT the named subject of a pending criminal proceeding that involves an investment-related business, fraud, false statements or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, extortion, or a conspiracy to commit any of these offenses;

Jerry Wayne Plant, a representative of Mark I, was NOT found to have been involved in a violation of an investment-related statute or regulation; or was the subject of any order, judgment, or decree permanently or temporarily enjoining, or otherwise limiting, your firm or a management person from engaging in any investment-related activity, or from violating any investment-related statute, rule, or order.

B. An administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority in which your firm or a management person

Jerry Wayne Plant, a representative of Mark I, was NOT found to have caused an investment-related business to lose its authorization to do business; or

was NOT found to have been involved in a violation of an investment-related statute or regulation and was the subject of an order by the agency or authority:

- (a) denying, suspending, or revoking the authorization of your firm or a management person to act in an investment-related business;
- (b) barring or suspending your firm's or a management person's association with an investment-related business;
- (c) otherwise significantly limiting your firm's or a management person's investment-related activities; or
- (d) imposing a civil money penalty of more than \$2,500 on your firm or a management person.

C. A self-regulatory organization (SRO) proceeding in which your firm or a management person

Jerry Wayne Plant, a representative of Mark I was NOT found to have caused an investment-related business to lose its authorization to do business; or was found to have been involved in a violation of the SRO's rules and was: (i) barred or suspended from membership or from association with other members, or was expelled from membership; (ii) otherwise significantly limited from investment-related activities; or (iii) fined more than \$2,500.

Other Business Activities

None.

Additional Compensation

Form ADV Part 2B, Item 5

This is not applicable to this supervised person.

Supervision

Form ADV Part 2B, Item 6

Supervised persons monitor daily transactions in client accounts via the portfolio management software. This ensures that all transactions are in accordance with the detailed investment plan Mark I formulates with its clients.

At least once a week, Mark I's supervised persons confer about client accounts and current investment policy. All of Mark I's supervised persons office within close proximity to each other. They frequently communicate in person and via telephone and email.

Supervised persons of Mark I:

Jerry W. Plant, CEO/Chief Compliance Officer, 405-525-6688 ext 1

Nicholas Harroz III, President, 405-525-6688 ext 2

