

# Joshua O. Welch

This brochure provides supplemental information about Joshua O. Welch (“Investment Advisor Representative”, “IAR” or “Employee”) that supplements the Steven Welch brochure, which should have also been provided to you. Please contact Joshua O. Welch at Steven Welch, CPA if you need the Steven Welch, CPA brochure or if you have any questions.

Additional information about Steven Welch, CPA is also available at the SEC’s website [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) (under “investment adviser firm” and type in our firm name).

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## **ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE**

**Joshua O. Welch**

Year Born: 1972

### **Educational Background:**

B.S., Business Management & Marketing – University of Oregon (1994)

Certificate of Financial Planning – University of California, Los Angeles (2009)

### **Business Background:**

2009 – Present: *Investment Advisor Representative*, Steven Welch, CPA

2004 – 2009: *Senior Account Manager*, KNOVA / KANA

2003 – 2004: *Vice President of Sales*, Devil's Canyon Brewery

1999 – 2003: *Account Executive*, EGain

### **Professional Qualification:**

Series 65, Uniform Investment Adviser Law Examination (2003)

## **ITEM 3 - DISCIPLINARY INFORMATION**

Joshua O. Welch has no legal or disciplinary events that are material to a Client's or prospective Client's evaluation of this advisory business.

## **ITEM 4 - OTHER BUSINESS ACTIVITIES**

The principal business is that of a Registered Investment Advisor (RIA) and provider of financial planning services.

## **ITEM 5 - ADDITIONAL COMPENSATION**

Other than work with Steven Welch, CPA and any disclosures made in Items 2 and 4 above, Joshua O. Welch receives no additional compensation related to outside business activities.

## **ITEM 6 – SUPERVISION**

Joshua O. Welch is not a supervising authority at Steven Welch, CPA and works closely with and is supervised by the supervising partners of the firm. The supervising person is J. Steven Welch and he may be contacted at the address or phone number on the cover page of this document.

## **ITEM 7 - REQUIREMENTS FOR STATE-REGISTERED ADVISERS**

*State securities authorities require this disclosure and it is provided to you for evaluating this investment advisor representative's suitability.*

Other than disclosures made in Item 3 above, Joshua O. Welch has never been found liable in arbitration or liable in a civil, self-regulatory organization, or administrative proceeding involving an investment or an investment-related business or activity; fraud, false statement(s), or omissions; theft, embezzlement, or other wrongful taking of property; bribery, forgery, counterfeiting, or extortion; or dishonest, unfair, or unethical practices. Joshua O. Welch has never been the subject of a bankruptcy petition.