

**Item 1. Cover Page**

**Bruce M. Rose**

**Part 2B of Form ADV: Brochure Supplement**

CARRINGTON CAPITAL MANAGEMENT, LLC

599 West Putnam Avenue  
Greenwich, CT 06830

Contact:  
(203) 661-6186

[www.carringtoncap.com](http://www.carringtoncap.com)

May 2011

This supplement provides information about Bruce M. Rose that supplements the Carrington Capital Management, LLC brochure. You should have received a copy of that brochure. Please contact the Chief Compliance Officer, Kristina Couch, if you did not receive the brochure or if you have any questions about the contents of this supplement at (203) 661-6186 or by email at [Kristina.Couch@carringtoncap.com](mailto:Kristina.Couch@carringtoncap.com).

## Item 2: Educational Background and Business Experience.

Date of Birth: 1958

Formal education after high school: None

Mr. Rose, Chief Executive Officer, founded Carrington Capital Management, LLC (“Carrington”), in September, 2003. Prior to forming Carrington, Mr. Rose completed a 23 year Wall Street career culminating as a Managing Director for Salomon Smith Barney/Citigroup. Beginning in 1980 in a middle markets sales coverage role responsible for thrift and mortgage institutions, through the reorganization of the Thrift industry with FIRREA and the RTC, he specialized in non-agency mortgage origination, securitization and trading/distribution methods. In 1990, Mr. Rose joined Salomon Brothers in the San Francisco office covering Thrift institutions and mortgage originators. In 1995, Mr. Rose was transferred to New York to run the Whole Loan and Subordinate trading desk maintaining overall responsibility for market development of non-agency, sub-prime and performing through nonperforming mortgage credit markets for the firm as well as subordinate and mezzanine mortgage credit.

Mr. Rose enhanced the structural and technical features of the REMIC securities offered to the investor market, including development of the CE class residual and advancement of the NIMs market. From 2001 to 2003, Mr. Rose ran the Principal Finance effort in the corporate credit markets seeking structured opportunities for deployment and leverage of Citigroup’s balance sheet.

Item 3. Disciplinary Information:

Bruce M. Rose has no legal or disciplinary events to report that would be material to a client's or prospective client's evaluation of Mr. Rose or his integrity.

Item 4. Other Business Activities:

Mr. Rose is a General Securities Principal of Carrington's affiliated broker dealer Carrington Investment Services, LLC. He holds a Series #4, #7, #63, #79 and #24.

Mr Rose is CEO and principal owner of Carrington Holding Company, LLC, which is the parent company of Carrington, as well as other affiliated operating companies.

We do not believe that any of these other business activities represent any material conflicts of interest with clients other than those already disclosed in the ADV Part 2A.

Item 5: Additional Compensation

Mr. Rose does not receive any additional compensation or derive any additional economic benefits from anyone who is not a client for providing advisory services.

#### Item 6: Supervision

Mr. Rose, as the Chief Executive Officer and majority owner of the firm, has ultimate responsibility for supervision of all advisory and sales activities of all supervised persons. Mr. Rose performs this supervision through regular business meetings and updates with all supervised persons.

