

**Howard C. Appleby**

**Northern Cross, LLC**

**125 Summer Street, Suite 1470**

**Boston, MA 02110**

**617-951-0099**

This brochure supplement provides information about Howard Appleby that supplements the Northern Cross, LLC brochure. You should have received a copy of that brochure. Please contact Lucy Goreham, Compliance Officer at the above address if you did not receive the Northern Cross, LLC brochure or if you have any questions about the contents of this supplement.

Additional information about Howard Appleby is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

February 28, 2011

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# Educational Background & Business Experience

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## **Name, Age (Year of Birth)**

Howard Appleby, b. 1959

## **Formal Education**

Education: CFA, BA 1980 University of Exeter, Devon, England

## **Business Background**

Business Background: Principal, Northern Cross, LLC 2003 - Present;  
President, MJS International, Inc. 2003 - Present;  
VP/Trading, Boston Investor Services, Inc. 2005 - 2010

## **Description of Professional Designations**

Holds the Chartered Financial Analyst designation

Morningstar International Stock Manager of the Year 2007

# Disciplinary Information

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## **Legal or Disciplinary Events**

None

## **Criminal or Civil Action**

None

## **Administrative Proceeding**

None

## **Self-Regulatory Organization Proceeding**

None

## **Other Proceeding**

None

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# Other Business Activities

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## Investment-related Activities

None

## Other Business or Occupation for Compensation

None

# Additional Compensation

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None

# Supervision

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Howard Appleby is one of the four Principals that own Northern Cross, LLC. There are four Principals that own Northern Cross, LLC. Each Principal has an equal share of the firm and all investments decisions are made on a 100% consensus basis. Edward Wendell, Jim LaTorre, Howard Appleby and Jean-Francois Ducrest share the management responsibilities of the firm and the supervision of one Senior Analyst.

**Jean-Francois Ducrest**

**Northern Cross, LLC**

**125 Summer Street, Suite 1470**

**Boston, MA 02110**

**617-951-0099**

This brochure supplement provides information about Jean-Francois Ducrest that supplements the Northern Cross, LLC brochure. You should have received a copy of that brochure. Please contact Lucy Goreham, Compliance Officer at the above address if you did not receive the Northern Cross, LLC brochure or if you have any questions about the contents of this supplement.

Additional information about Jean-Francois Ducrest is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

February 28, 2011

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# Educational Background & Business Experience

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## **Name, Age (Year of Birth)**

Jean-Francois Ducrest, b. 1965

## **Formal Education**

Education: Degree 1986 Institute d'Etudes Politiques de Paris; member SFAF 1990 - 1991

## **Business Background**

Business Background: Principal, Northern Cross, LLC 2003 - Present;  
President, PGN Advisors, Inc. 2003 - Present;  
VP/Trading, Boston Investor Services, Inc. 2005 - 2010

## **Description of Professional Designations**

Morningstar International Stock Manager of the Year 2007

# Disciplinary Information

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## **Legal or Disciplinary Events**

None

## **Criminal or Civil Action**

None

## **Administrative Proceeding**

None

## **Self-Regulatory Organization Proceeding**

None

## **Other Proceeding**

None

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# Other Business Activities

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## Investment-related Activities

None

## Other Business or Occupation for Compensation

None

# Additional Compensation

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None

# Supervision

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## Describe Supervision

Jean-Francois Ducrest is one of the four Principals that own Northern Cross, LLC. Each Principal has an equal share of the firm and all investments decisions are made on a 100% consensus basis. Edward Wendell, Jim LaTorre, Howard Appleby and Jean-Francois Ducrest share the management responsibilities of the firm and the supervision of one Senior Analyst.

**James J. LaTorre, Jr.**

**Northern Cross, LLC  
125 Summer Street, Suite 1470  
Boston, MA 02110  
617-951-0099**

This brochure supplement provides information about James J. LaTorre, Jr. that supplements the Northern Cross, LLC brochure. You should have received a copy of that brochure. Please contact Lucy Goreham, Compliance Officer at the above address if you did not receive the Northern Cross, LLC brochure or if you have any questions about the contents of this supplement.

Additional information about James J. LaTorre, Jr., is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

February 28, 2011

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# Educational Background & Business Experience

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## **Name, Age (Year of Birth)**

James J. LaTorre, Jr., b. 1959

## **Formal Education**

Education: CFA, MS (Finance) Boston College 1992; BA Fairfield University 1982

## **Business Background**

Business Background: Principal, Northern Cross, LLC 2003 - Present;

President, Summit International Investments Inc 1996 - Present;

VP/Trading, Boston Investor Services, Inc. 2005 - 2010

## **Description of Professional Designations**

Holds the Chartered Financial Analyst designation

Morningstar International Stock Manager of the Year 2007

# Disciplinary Information

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## **Legal or Disciplinary Events**

None

## **Criminal or Civil Action**

None

## **Administrative Proceeding**

None

## **Self-Regulatory Organization Proceeding**

None

## **Other Proceeding**

None

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# Other Business Activities

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## Investment-related Activities

None

## Other Business or Occupation for Compensation

None

# Additional Compensation

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None

# Supervision

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Jim LaTorre is one of the four Principals that own Northern Cross, LLC. Each Principal has an equal share of the firm and all investments decisions are made on a 100% consensus basis. Edward Wendell, Jim LaTorre, Howard Appleby and Jean-Francois Ducrest share the management responsibilities of the firm and the supervision of one Senior Analyst.

**Edward E. Wendell, Jr.**

**Northern Cross, LLC  
125 Summer Street, Suite 1470  
Boston, MA 02110  
617-951-0099**

This brochure supplement provides information about Edward E. Wendell, Jr. that supplements the Northern Cross, LLC brochure. You should have received a copy of that brochure. Please contact Lucy Goreham, Compliance Officer at the above address if you did not receive the Northern Cross, LLC brochure or if you have any questions about the contents of this supplement.

Additional information about Edward E. Wendell, Jr., is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

February 28, 2011

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# Educational Background & Business Experience

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## **Name, Age (Year of Birth)**

Edward E. Wendell, Jr., b. 1940

## **Formal Education**

MA University of Washington 1966; BA Harvard College 1963

## **Business Background**

Principal, Northern Cross, LLC 2003 - Present;  
President, MELTM 2003-Present;  
Chairman, Boston Investor Services, Inc. 2007 - Present;  
President, Boston Investor Services, Inc. 1993 – 2007

## **Description of Professional Designations**

Morningstar International Stock Manager of the Year 2007

# Disciplinary Information

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## **Legal or Disciplinary Events**

None

## **Criminal or Civil Action**

None

## **Administrative Proceeding**

None

## **Self-Regulatory Organization Proceeding**

None

## **Other Proceeding**

None

# Other Business Activities

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## Investment-related Activities

None

## Other Business or Occupation for Compensation

None

# Additional Compensation

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None

# Supervision

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Edward E. Wendell, Jr., is one of the four Principals that own Northern Cross, LLC. Each Principal has an equal share of the firm and all investments decisions are made on a 100% consensus basis. Edward Wendell, Jim LaTorre, Howard Appleby and Jean-Francois Ducrest share the management responsibilities of the firm and the supervision of one Senior Analyst.

**Scott J. Babka**

**Northern Cross, LLC  
125 Summer Street, Suite 1470  
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617-951-0099**

This brochure supplement provides information about Scott J. Babka that supplements the Northern Cross, LLC brochure. You should have received a copy of that brochure. Please contact Lucy Goreham, Compliance Officer at the above address if you did not receive the Northern Cross, LLC brochure or if you have any questions about the contents of this supplement.

Additional information about Scott J. Babka is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

February 28, 2011

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# Educational Background & Business Experience

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## **Name, Age (Year of Birth)**

Scott J. Babka, b. 1976

## **Formal Education**

BS (Business Administration and Accounting) Washington & Lee University 1999

## **Business Background**

Northern Cross, LLC (2010 – present) Analyst

Morgan Stanley, (2004 – 2010) Executive Director, European Equity Research

Morgan Stanley, (2000 – 2004) Research Associate, North American Equity Research

## **Description of Professional Designations**

Holds the Chartered Financial Analyst designation

# Disciplinary Information

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## **Legal or Disciplinary Events**

None

## **Criminal or Civil Action**

None

## **Administrative Proceeding**

None

## **Self-Regulatory Organization Proceeding**

None

## **Other Proceeding**

None

# Other Business Activities

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## **Investment-related Activities**

None

## Other Business or Occupation for Compensation

None

## Additional Compensation

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None

## Supervision

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There are four Principals that own Northern Cross, LLC. Each Principal has an equal share of the firm and all investments decisions are made on a 100% consensus basis. Edward Wendell, Jim LaTorre, Howard Appleby and Jean-Francois Ducrest share the management responsibilities of the firm and the supervision of the Senior Analyst, Scott Babka.