

# Kenneth Hargreaves

## CRPC, AWMA

Moseley Investment Management, Inc.  
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Bradenton, Florida 34205

Phone: 941-745-2799

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FORM ADV PART B  
BROCHURE SUPPLEMENT

This brochure supplement provides information about Ken Hargreaves that supplements the Moseley Investment Management, Inc. brochure. You should have received a copy of that brochure. Please contact Dina Malizia if you did not receive Moseley Investment Management, Inc.'s brochure or if you have any questions about the contents of this supplement.

Additional information about Ken Hargreaves is available on the SEC's website at  
[www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)

## Item 2: Educational Background and Business Experience

Kenneth Hargreaves

Date of Birth: 07/25/1985

### Educational Background:

Florida State University, Tallahassee, FL – B.S. Finance 2008

Accredited Wealth Management Advisor (AWMA)

Minimum Requirements: None. Self study course through College for Financial Planning which includes 15 modules requiring 120-150 hours.

Continuing Education: 16 hours every two years

Chartered Retirement Planning Counselor (CRPC)

Minimum Requirements: None. Self study course offered through College for Financial Planning.

Continuing Education: 16 hours every two years.

### Business Background:

Royal Alliance & Associates, Inc. – Registered Representative – 2009 –Present

Moseley Investment Management –Investment Advisory Representative -2009-Present

Ameriprise Financial Services, Inc. – Investment Advisory Representative – 2008-2009

## Item 3: Disciplinary Information

Not Applicable. There are no material disciplinary items to report.

## Item 4: Other Business Activities

In addition to being an Investment Advisory Representative of Moseley Investment Management, I, Kenneth Hargreaves, am a Registered Representative and Advisory Representative of Royal Alliance Associates, Inc. ("Royal Alliance"). Royal Alliance is a diversified financial services company registered with the Financial Industry Regulatory Authority ("FINRA") as a broker-dealer engaged in the offer and sale of securities products. I may recommend the purchase of securities offered by Royal Alliance. If you purchase these products from me, I will receive normal commissions and may receive other indirect forms of compensation which may be in addition to customary advisory fees. As such, I may have an incentive to sell you commissionable products in addition to providing you with advisory services.

While my security sales are reviewed for suitability by an appointed supervisor, you should be aware of the incentives I have to sell certain securities products and are encouraged to ask me about any conflict presented.

I am a licensed insurance agent and may recommend that you purchase insurance from me. I may receive direct and indirect compensation from the insurance that I sell you. While my insurance sales are reviewed for suitability by an appointed supervisor, you should be aware of the incentives I have to sell certain insurance products and are encouraged to ask me about any conflict presented.

### Item 5: Additional Compensation

As discussed previously, I am a Registered Representative of Royal Alliance. Royal Alliance offers me educational, training and incentive programs to me upon reaching certain sales production goals.

My custodian may offer me software and technology that assist in the management and administration of your account and a mix of services to manage and further develop my business.

### Item 6: Supervision

I have an appointed supervisor which generally reviews all of my professional activities. These reviews include all my securities transactions, client reviews, and compliance. My appointed supervisor is Susan S. Moseley, Owner/President of Moseley Investment Management, Inc., and can be reached at the address and phone number listed on the front page of this brochure.

### Item 7: Requirements for State-Registered Advisers

Not applicable. I am an Advisory Representative of Moseley Investment Management, Inc. an SEC-registered RIA.