

Part 2B of Form ADV: Brochure Supplement

Item 1 Cover Page

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Alan E. Peters
Peters Financial Planning Corporation
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This brochure supplement provides information about Alan E. Peters that supplements the Peters Financial Planning Corporation brochure. You should have received a copy of that brochure. Please contact Michael J. Martin, Chief Compliance Officer, if you did not receive Peters Financial Planning Corporation's brochure or if you have any questions about the contents of this supplement.

Additional information about Alan E. Peters is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Educational Background and Business Experience

Alan E. Peters

Date of Birth: 6/24/1945

Educational Background

Parsons College Bachelor of Arts in Business

Business Background:

Peters Financial Planning Corp. 1986-Present
President

Alan Peters and Associates 1986-Present
Registered Representative with LPL Financial Group

Item 3 Disciplinary Information

There are no legal or disciplinary events material to a client's or prospective client's evaluation of Alan E. Peters to disclose.

There are no criminal or civil action in a domestic, foreign or military court of competent jurisdiction in which Alan E. Peters:

1. was convicted of, or pled guilty or nolo contendere ("no contest") to (a) any felony; (b) a misdemeanor that involved investments or an investment-related business, fraud, false statements or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, or extortion; or (c) a conspiracy to commit any of these offenses;

2. is the named subject of a pending criminal proceeding that involves an investment-related business, fraud, false statements or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, extortion, or a conspiracy to commit any of these offenses;

3. was found to have been involved in a violation of an investment-related statute or regulation; or,

4. was the subject of any order, judgment, or decree permanently or temporarily enjoining, or otherwise limiting, the supervised person from engaging in any investment-related activity, or from violating any investment-related statute, rule, or order.

There are no administrative proceedings before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority in which Alan E. Peters;

1. was found to have caused an investment-related business to lose its authorization to do business; or

2. was found to have been involved in a violation of an investment-related statute or regulation and was the subject of an order by the agency or authority

(a) denying, suspending, or revoking the authorization of the supervised person to act in an investment-related business;

(b) barring or suspending the supervised person's association with an investment-related business;

(c) otherwise significantly limiting the supervised person's investment-related activities; or

(d) imposing a civil money penalty of more than \$2,500 on the supervised person.

There are no self-regulatory organization (SRO) proceedings in which Alan E. Peters

1. was found to have caused an investment-related business to lose its authorization to do business; or

2. was found to have been involved in a violation of the SRO's rules and was: (i) barred or suspended from membership or from association with other members, or was expelled from membership; (ii) otherwise significantly limited from investment-related activities; or (iii) fined more than \$2,500.

There are no other proceedings in which a professional attainment, designation, or license of the supervised person was revoked or suspended because of a violation of rules relating to professional conduct.

Item 4 Other Business Activities

Alan E. Peters is a Registered Representative with LPL Financial Corporation.

There is no relationship between the advisory business and Alan E. Peters' other financial industry activities that create a material conflict of interest with clients.

Alan E. Peters does receive compensation based on the sale of investment products offered as a Registered Representative with LPL Financial Corporation. Compensation is received in the form of commissions from LPL Financial Corporation. Alan E. Peters does not receive additional incentive to recommend one investment product over another.

Alan E. Peters is not actively engaged in any other business or occupation for compensation not discussed above.

Item 5 Additional Compensation

Alan E. Peters does not receive an additional economic benefit for providing advisory services.

Item 6 Supervision

Alan E. Peters is an IAR and President of Peters Financial Planning Corporation and is supervised by the states which Peters Financial Planning Corporation is registered. In addition, Alan E. Peters and his business activities are subject to an annual audit by LPL Financial Corporation.

Item 7 Requirements for State-Registered Advisers

In addition to the events listed in Item 3 of Part 2B, Alan E. Peters has not been involved in one of the events listed below.

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:

- (a) an investment or an investment-related business or activity;
- (b) fraud, false statement(s), or omissions;
- (c) theft, embezzlement, or other wrongful taking of property;
- (d) bribery, forgery, counterfeiting, or extortion; or
- (e) dishonest, unfair, or unethical practices.

2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:

- (a) an investment or an investment-related business or activity;
- (b) fraud, false statement(s), or omissions;
- (c) theft, embezzlement, or other wrongful taking of property;
- (d) bribery, forgery, counterfeiting, or extortion; or
- (e) dishonest, unfair, or unethical practices.

Alan E. Peters has not been the subject of a bankruptcy petition.

