

**ITEM 1: Cover Page for  
PART 2B OF FORM ADV:  
BROCHURE SUPPLEMENT  
DATED SEPTEMBER 2011**

**RICHARD PAUL DOMINGUE**

**BROUSSARD FINANCIAL GROUP, LLC  
106 W VETERANS MEMORIAL DRIVE  
KAPLAN, LA 70548**

**FIRM CONTACT: JERRY BROUSSARD, CHIEF COMPLIANCE OFFICER**

**FIRM WEBSITE ADDRESS: BROUSSARDFINANCIALGROUP.COM**

**This brochure supplement provides information about Mr. Domingue that supplements our brochure. You should have received a copy of that brochure. Please contact Mr. Broussard if you did not receive Broussard Financial Group, LLC's brochure or if you have any questions about the contents of this supplement.**

**Additional information about Mr. Domingue is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2 Educational Background and Business Experience**

We are required to disclose the following information about Mr. Domingue:

**Richard Paul Domingue**

Year of Birth: 1952

### **Educational Background:**

B.S., Business Administration / Management ; University of Southwestern Louisiana 1975

### **Business Background:**

2004- 2011 Financial Advisor with UBS Financial Services, Inc.

2002-2004 Financial Planner with Lincoln Financial Advisors

1993-2001 President and COO of Washington Life Insurance Co. of America; Board of Directors of Washington Holdings, LLP

## **Item 3 Disciplinary Information**

If there are legal or disciplinary events material to your evaluation of Mr. Domingue, we are required to disclose all material facts regarding those events.<sup>1</sup>

We have nothing to disclose in this regard.

## **Item 4 Other Business Activities**

A. If Mr. Domingue is actively engaged in any investment-related business or occupation, including if Mr. Domingue is registered, or has an application pending to register, as a broker-dealer, registered representative of a broker-dealer, futures commission merchant ("FCM"), commodity pool operator ("CPO"), commodity trading advisor ("CTA"), or an associated person of an FCM, CPO, or CTA, we are required to disclose this fact and describe the business relationship, if any, between the advisory business and the other business.

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<sup>1</sup> **Note:** Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving Mr. Domingue to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of Mr. Domingue to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.

1. If a relationship between the advisory business and Mr. Domingue's other financial industry activities creates a material conflict of interest with you, the SEC requires us to describe the nature of the conflict and generally how we address it.

We have nothing to disclose in this regard.

2. If Mr. Domingue receives commissions, bonuses or other compensation based on the sale of securities or other investment products, including as a broker-dealer or registered representative, and including distribution or service ("trail") fees from the sale of mutual funds, we have to disclose this fact. If this compensation is not cash, we are required to explain what type of compensation Mr. Domingue receives. We must explain that this practice gives Mr. Domingue an incentive to recommend investment products based on the compensation received, rather than on your needs.

We have nothing to disclose in this regard.

- B. If Mr. Domingue is actively engaged in any business or occupation for compensation not discussed in response to Item 4.A, above, and the other business activity or activities provide a substantial source of Mr. Domingue's income or involve a substantial amount of Mr. Domingue's time, we are required to disclose this fact and must describe the nature of that business. If the other business activities represent less than 10 percent of Mr. Domingue's time and income, we may presume that they are not substantial.

We have nothing to disclose in this regard.

## **Item 5            Additional Compensation**

If someone who is not a *client* provides an economic benefit to Mr. Domingue for providing advisory services, we are required to generally describe the arrangement. For purposes of this Item, economic benefits include sales awards and other prizes, but do not include Mr. Domingue's regular salary. Any bonus that is based, at least in part, on the number or amount of sales, *client* referrals, or new accounts should be considered an economic benefit, but other regular bonuses should not.

We have nothing to disclose in this regard.

## **Item 6            Supervision**

We are required to explain how we *supervise* Mr. Domingue, including how we monitor the advice Mr. Domingue provides to *you*. *Our firm has to* provide the name, title and telephone number of the *person* responsible for supervising Mr. Domingue's advisory activities on behalf of our firm.

Mr. Broussard, Chief Compliance Officer of our firm, supervises and monitors Mr. Domingue's activities on a regular basis. Mr. Broussard reviews all outgoing correspondence for written

financial advice that Mr. Domingue provides to his clients. Please contact Mr. Broussard if you have any questions about Mr. Domingue's brochure supplement at 337-643-8319.

*If we are registered or are registering with one or more state securities authorities, we must respond to the following additional Item.*

**Item 7                    Requirements for State-Registered Advisers**

A. In addition to the events listed in Item 3 of Part 2B, if Mr. Domingue has been *involved* in one of the events listed below, we disclose all material facts regarding the event.

1. An award or otherwise being *found* liable in an arbitration claim alleging damages in excess of \$2,500, *involving* any of the following:

- (a) an investment or an *investment-related* business or activity;
- (b) fraud, false statement(s), or omissions;
- (c) theft, embezzlement, or other wrongful taking of property;
- (d) bribery, forgery, counterfeiting, or extortion; or
- (e) dishonest, unfair, or unethical practices.

We have nothing to disclose in this regard.

2. An award or otherwise being *found* liable in a civil, *self-regulatory organization*, or administrative *proceeding* involving any of the following:

- (a) an investment or an *investment-related* business or activity;
- (b) fraud, false statement(s), or omissions;
- (c) theft, embezzlement, or other wrongful taking of property;
- (d) bribery, forgery, counterfeiting, or extortion; or
- (e) dishonest, unfair, or unethical practices.

We have nothing to disclose in this regard.

B. If Mr. Domingue has been the subject of a bankruptcy petition, we must disclose that fact, the date the petition was first brought, and the current status.

We have nothing to disclose in this regard.