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## SUPPLEMENTAL BROCHURE

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### **STEVENS WEALTH MANAGEMENT, LLC**

500 Lake Cook Road, Suite 350  
Deerfield, Illinois 60015

**[www.stevenswealth.com](http://www.stevenswealth.com)**

### **FORM ADV PART 2B SUPPLEMENTAL BROCHURE**

This brochure supplement provides information about Sue Stevens that supplements the Stevens Wealth Management LLC brochure. You should have received a copy of that brochure. Please contact Sue Stevens ([sue@stevenswealth.com](mailto:sue@stevenswealth.com) or (847) 282-9910) if you did not receive Stevens Wealth Management LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Sue Stevens of Stevens Wealth Management, LLC is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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## **Educational Background and Business Experience**

Form ADV Part 2B, Item 2

**Susan Virginia Stevens – CRD #2511599**

**Year of Birth:** 1956

### **Formal Education after High School:**

- College for Financial Planning, Master of Science, 1995
- University of Chicago, Master of Business Administration, 1989
- Northwestern University, Bachelor of Music, 1979

### **Business Background for the Previous Five Years:**

- Stevens Wealth Management LLC, President, 1/2000 – Present
- Stevens Visionary Strategies, LLC, President 7/2009 – Present
- Financial Happiness, LLC, President 8/2008 – 12/2010
- Morningstar, Inc, Director of Financial Planning, 5/1999- 12/2007

### **Certifications:**

#### CERTIFIED FINANCIAL PLANNER™ Professional

Requirements for this designation include:

- Completion of seven required courses including the following major planning topics: general principles of financial planning, insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning and estate planning.
- Pass the CFP® exam.
- A bachelor degree (or higher) in any discipline, from an accredited college or university within five years of passing the CFP® Certification Examination.
- Three years full-time, or the equivalent part-time (2,000 hours equals one year full-time). Six months of experience must have been gained within the last 12 months of reporting work experience. Experience may be gained up to 10 years before or up to five years after the exam date.
- Must complete 30 hours every two years and the required ethics course for continuing education.

#### Certified Public Accountant/Personal Financial Specialist (CPA/PFS)

The CPA requirements can vary by state. The Illinois requirements for this designation include:

- Successful completion of at least 150 semester hours of acceptable credit including a bachelor's degree (or higher). The semester hours must include an accounting concentration (24 semester hours undergraduate level or 15 hours graduate level) or its equivalent. No more than six semester hours of accounting may be obtained through internships or life-experience credit posted on a college transcript. Courses include financial accounting, auditing, taxation, and management accounting.
- Pass the CPA exam.
- Pass a Professional Ethics course offered by the American Institute of Certified Public Accountants (AICPA). Must receive a score of 90 percent on this exam.
- Must complete 120 hours every three years in continuing education.

The PFS designation allows CPAs to demonstrate their knowledge and skill in personal financial planning:

- Must first have a CPA license.
- Join the American Institute of CPAs (AICPA) and be a member in good standing.
- Complete comprehensive personal financial planning (PFP) education which includes a minimum of 80 hours of PFP education within a five-year period.
- Attain a specified level of personal financial planning (PFP) experience which includes two years of full-time business or teaching experience (or 3,000 hours equivalent) in PFP within the five-year period.
- Pass the personal financial planning (PFP) examination.

Chartered Financial Analyst (CFA)

Requirements for this designation include:

- Complete the CFA Program which includes three levels, each consisting of a six-hour exam. Completing the Program takes most candidates between two and five years.
- Must have four years of qualified investment work experience.
- Become a member of the CFA Institute.
- Pledge to adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct on an annual basis.
- Must apply for membership to a local CFA member society.

**Disciplinary Information**

Form ADV Part 2B, Item 3

There is no disciplinary information to report for Sue Stevens.

### Other Business Activities

Form ADV Part 2B, Item 4

Sue Stevens has an affiliated company called Stevens Visionary Strategies, LLC, which is wholly-owned by Sue Stevens. Stevens Visionary Strategies is a seller of financial products and publications, primarily to other investment advisers that may include volume discounts. The Adviser may sell individual financial products through its website or [www.amazon.com](http://www.amazon.com). This does not create a conflict of interest.

### **Additional Compensation**

Form ADV Part 2B, Item 5

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## Supervision

Form ADV Part 2B, Item 6

Within Stevens Wealth Management, Sue Stevens (CIO), has supervisory responsibility for all investment advice across the firm. Sue reviews meeting materials, client letters and attends meetings with clients. At least annually, all employees have detailed performance reviews where goals are set and results reviewed.

Sue's contact information:

Sue Stevens  
President/CEO/CIO  
(847) 282-9910