

This brochure supplement provides information about Ricky Landon Faircloth that supplements the Berg Faircloth Investment Advisors Corp. brochure. You should have received a copy of that brochure. Please contact Ricky Landon Faircloth, Financial Advisor if you did not receive Berg Faircloth Investment Advisors Corp.'s brochure or if you have any questions about the contents of this supplement.

Additional information about Ricky Landon Faircloth is also available on the SEC's website at www.adviserinfo.sec.gov.

Berg Faircloth Investment Advisors Corp.

Form ADV Part 2B – Individual Disclosure Brochure

for

Ricky Landon Faircloth

Personal CRD Number: 3117825

Investment Adviser Representative

Berg Faircloth Investment Advisors Corp.
44135 Woodridge Parkway, Suite 200
Leesburg, Virginia, 20176
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rick@b-f-c.com

UPDATED: 12/8/2011

Item 2: Educational Background and Business Experience

Name: Ricky Faircloth

Born: 1958

Education Background and Professional Designations:

Education: BBA Accounting, George Washington University – 1980

Designations:

CPA - Certified Public Accountant

- Certified Public Accountant (CPA) CPAs are licensed and regulated by their state boards of accountancy. While state laws and regulations vary, the education, experience and testing requirements for licensure as a CPA generally include minimum college education (typically 150 credit hours with at least a baccalaureate degree and a concentration in accounting), minimum experience levels (most states require at least one year of experience providing services that involve the use of accounting, attest, compilation, management advisory, financial advisory, tax or consulting skills, all of which must be achieved under the supervision of or verification by a CPA), and successful passage of the Uniform CPA Examination.
- In order to maintain a CPA license, states generally require the completion of 40 hours of continuing professional education (CPE) each year (or 80 hours over a two year period or 120 hours over a three year period). Additionally, all American Institute of Certified Public Accountants (AICPA) members are required to follow a rigorous Code of Professional Conduct which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services. The vast majority of state boards of accountancy have adopted the AICPA's Code of Professional Conduct within their state accountancy laws or have created their own.

PFS®- Personal Financial Specialist

- Personal Financial Specialist (PFS) The PFS credential demonstrates that an individual has met the minimum education, experience and testing required of a CPA in addition to a minimum level of expertise in personal financial planning.
- To attain the PFS credential, a candidate must hold an unrevoked CPA license, fulfill 3,000 hours of personal financial planning business experience, complete 80 hours of personal financial planning CPE credits, pass a comprehensive financial planning exam and be an active member of the AICPA. A PFS credential holder is required to adhere to AICPA's Code of Professional Conduct, and is encouraged to follow AICPA's Statement on Responsibilities in Financial Planning Practice.
- To maintain their PFS credential, the recipient must complete 60 hours of financial planning CPE credits every three years. The PFS credential is administered through the AICPA.

Business Background:

1999 – Present	Financial Advisor Berg Faircloth Investment Advisors Corp.
2011 – Present	Reg. Rep. United Planners Financial Services.
2007 – 2011	Reg. Rep. Cambridge Investment Research, Inc.
1980 – Present	Accountant Berg Faircloth & Co.

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

Item 4: Other Business Activities

Rick Faircloth engages in accounting, tax and management financial consulting services on behalf of Berg Faircloth & Co. (BFC), an affiliated entity. Rick is also a Registered Representative of United Planners Financial Services. From time to time, he will offer clients advice or products from those activities. Clients should be aware that these services pay a commission and involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of a registered investment adviser. BFIA always acts in the best interest of the client; including the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any representative of BFIA in their capacity as a registered representative or an accountant. Mr. Faircloth spends approximately twenty hours a week on these other business activities.

Item 5: Additional Compensation

Other than salary, annual bonuses, regular bonuses, Ricky Faircloth does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Berg Faircloth Investment Advisors Corp..

Item 6: Supervision

As an owner and a representative of Berg Faircloth Investment Advisors Corp., Ricky Faircloth supervises all duties and activities. Ricky Faircloth's contact information is on the cover page of this disclosure document.

Item 7: Requirements For State Registered Advisers

This disclosure is required by Virginia securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.

A. Ricky Faircloth has **NOT** been involved in any of the events listed below.

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.
2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.

B. Ricky Faircloth has **NOT** been the subject of a bankruptcy petition at any time.