

Focus Capital Wealth Management Inc.

2 Harvey Road
Bedford, NH 03110
Office 603-647-5400
Fax 603-647-5430

www.focus-capital.com

FORM ADV, PART 2B

Nicholas B. Rowe
&
Daniel C. Potter

March 25, 2011

This brochure supplement provides information about the qualifications and business practices of Nicholas B. Rowe and Daniel C. Potter that supplements the Focus Capital Wealth Management brochure. You should have received a copy of that brochure. Please contact Nicholas B. Rowe if you did not receive Focus Capital's brochure or if you have any questions about the contents of this supplement. This information has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.

Additional information is available on the SEC's website at www.adviserinfo.sec.gov.

TABLE OF CONTENTS

• EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE	2
• DISCIPLINARY INFORMATION	3
• ADDITIONAL COMPENSATION.....	3
• SUPERVISION.....	4
• REQUIREMENTS FOR STATE-REGISTERED ADVISERS	4

Material Changes

On July 28, 2010, the United State Securities and Exchange Commission (SEC) published “Amendments to Form ADV” which amends the disclosure document that all advisors give to clients as required by SEC Rules. This Brochure is a new document prepared according to the SEC’s new requirements and rules. Therefore, this Document is materially different in structure and requires certain new information that our previous brochure did not require. In the future, we will discuss only specific material changes that are made to the Brochure and give you a summary of those changes. We will also reference the date of our last annual update.

EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

NICHOLAS B. ROWE, Born: 1958

Education:

Graduated from the College of Financial Planning, Denver, Colorado, 1994

Recent Employment History:

President, Focus Capital, Inc., 01/2001 to present

Branch Manager, Jefferson Pilot Securities Corporation, 10/1990 to 01/2006

Examinations And Professional Designations:

NASD Series 6, Investment Company and Variable Contracts Products Rep Exam, 12/1990

NASD Series 7, General Securities Representative Exam, 01/1994

NASD Series 24, General Securities Principal, 8/1994

NASAA Series 63, Uniform Securities Agent State Law Exam, 12/1990

NASAA Series 65, Uniform Investment Advisor Law Exam, 06/1999

Certified Financial Planner (CFP), granted by the CFP Board of Standards 07 /1994

New Hampshire Producer: Life Accident & Health Insurance, 09/1989

DANIEL C. POTTER, Born: 1956

Education:

Graduated from Bryant College, Smithfield, Rhode Island with a BS in Accounting in 1980

Graduated from Bentley College, Waltham, Massachusetts with a Masters in Taxation in 1995

Recent Employment History:

Director - Private Wealth Management and Strategic Planning, Focus Capital. 02/2006 to present

Vice President, Lakes Street Advisors, LLC from 04/2005 to 12/2005

Tax Consultant from 10/2003 to 03/2005

Examinations And Professional Designations:

NASAA Series 65, Uniform Investment Advisor Law Exam

Certified Public Accountant (CPA), 1996

Designation Qualifications

The **Certified Financial Planner™** designation is a professional certification granted by the Certified Financial Planner Board of Standards, Inc. ("CFP Board"). This certification is voluntary. No federal or state regulation requires financial planners to hold this certification.

To become a CFP®, you must:

- Complete an advanced college-level course of study addressing financial planning subject areas that CFP Board studies have determined as necessary
- Pass the comprehensive CFP® Certification Exam, administered in 10 hours over a two-day period. The exam includes case studies and client scenarios designed to test abilities and apply knowledge of financial planning to real world circumstances
- Complete three years of full-time financial planning-related experience if they have a bachelor's degree. Without a bachelor's degree, they must have five years experience.
- Agree to be bound by CFP Board's *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.
- Complete ongoing education and ethics requirements to maintain competence and keep up with developments in the field. This includes 30 hours of continuing education every two years, including two hours on the *Code of Ethics* and parts of the *Standards of Professional Conduct*, renewing the agreement to be bound by the *Standards of Professional Conduct*.
- Provide financial planning services at a fiduciary standard of care, meaning they must provide services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

Certified Public Accountant (CPA) is the title of qualified accountants in the United States who have passed the Uniform Certified Public Accountant Examination and have met additional state education and experience requirements.

Eligibility to sit for the Uniform CPA Exam is determined by the State Boards of Accountancy. Typically the requirement is a bachelors degree which includes a minimum number of qualifying credit hours in accounting and business administration with an additional 1 year study. This requirement for 5 years study is known as the "150 hour rule" and has been adopted by the majority of state boards.

DISCIPLINARY INFORMATION

None

ADDITIONAL COMPENSATION

Additional compensation is described in Form ADV, Part 2A.

SUPERVISION

Nicholas B. Rowe as Chief Compliance Officer supervises the professional activities of individuals. You can reach him 603-647-5400.

REQUIREMENTS FOR STATE-REGISTERED ADVISERS

N/A