

Douglas J. Koogler
Kiplinger Investment Advisors, LLC
Supplemental Brochure
(Part 2B of Form ADV)

This brochure supplement provides information about Douglas J. Koogler that supplements the Kiplinger Investment Advisors, LLC's brochure. You should have received a copy of that brochure. Please contact Brad E. Kiplinger, President, at 937-886-1442 if you did not receive Kiplinger Investment Advisors, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Douglas J. Koogler is available on the SEC's website at www.adviserinfo.sec.gov.

06/01/2011

325 North Main St, Ste 202
Springboro, OH 45066
www.kpinvest.com
937-886-1442

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Douglas J. Koogler

Born: 1963

Education: *Wright State University* – B.S. in Business/Financial Services

Business Background:

Kiplinger Investment Advisors, LLC – March 2008 – Present

(DBA) Kiplinger Wealth Management Group

(DBA) Kiplinger Wealth Advisors

– Investment Adviser Representative

Douglas J. Koogler – July 1992 – Present

– Insurance Agent

Securities America, Inc. – August 2007 – Present

– Registered Representative

Valic Investment Services Company – April 1998 – August 2007

– Registered Representative

ITEM 3 – DISCIPLINARY HISTORY

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

ITEM 4 – OTHER BUSINESS ACTIVITIES

A. Investment Related Other Business Activities: Mr. Koogler is also a licensed insurance agent (life, annuity & health) and he spends approximately 30% of his time on this activity. He is appointed with various insurance companies and receives a commission for these services. This business is investment related. With the ability to work as a client's insurance agent and investment adviser representative, this is a conflict of interest because each service pays a separate fee or commission. However, he attempts to mitigate any conflicts of interest to the best of his ability by placing the client's interests ahead of his own, through his fiduciary duty and through the implementation of policies and procedures that address the conflict. Additionally, clients are never obligated to purchase insurance through him.

Mr. Koogler is a registered representative of Securities America, Inc, Member FINRA and SIPC and he spends approximately 30% of his time on this activity. As a registered representative, Mr. Koogler can sell securities to clients for a commission. With the ability to work as a client's registered representative and investment adviser representative, this is a conflict of interest because each service pays a separate fee or commission. However, Mr. Koogler attempts to mitigate any conflicts of interest to the best of his ability by placing the client's interests ahead of his own, through his fiduciary duty and through the implementation of policies and procedures that address the conflict. A client is never obligated to purchase securities through Mr. Koogler.

B. Non-Investment Related Other Business Activities: Mr. Koogler does not have any non-investment related other business activities. This section is not applicable.

ITEM 5 – ADDITIONAL COMPENSATION

Mr. Koogler does not receive any additional compensation.

ITEM 6 – SUPERVISION

Mr. Koogler is supervised by Brad Kiplinger, president of the Firm. Mr. Kiplinger reviews all of Mr. Koogler's new accounts prior to opening. Mr. Kiplinger can be reached at 937-886-1442.

ITEM 7 – REQUIREMENTS FOR STATE-REGISTERED ADVISERS

A. Arbitration or Civil, Self-Regulatory Organization or Administrative Proceedings

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
 - a. An investment or an investment-related business or activity;
 - b. Fraud, false statement(s), or omissions;
 - c. Theft, embezzlement, or other wrongful taking of property;
 - d. Bribery, forgery, counterfeiting, or extortion; or
 - e. Dishonest, unfair, or unethical practices.

Mr. Koogler has never been the subject of any arbitration claim.

2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
 - a. An investment or an investment-related business or activity;
 - b. Fraud, false statement(s), or omissions;
 - c. Theft, embezzlement, or other wrongful taking of property;
 - d. Bribery, forgery, counterfeiting, or extortion; or
 - e. Dishonest, unfair, or unethical practices.

Mr. Koogler has never been the subject of any civil, self-regulatory organization, or administrative proceeding.

B. Bankruptcy History

Mr. Koogler has never been the subject of a bankruptcy petition.