

Brad E. Kiplinger
Kiplinger Investment Advisors, LLC
Supplemental Brochure
(Part 2B of Form ADV)

This brochure supplement provides information about Brad E. Kiplinger that supplements the Kiplinger Investment Advisors, LLC's brochure. You should have received a copy of that brochure. Please contact Brad E. Kiplinger, President, at 937-886-1442 if you did not receive Kiplinger Investment Advisors, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Brad E. Kiplinger available on the SEC's website at www.adviserinfo.sec.gov.

06/01/2011

325 North Main St, Ste 202
Springboro, OH 45066
www.kpinvest.com
937-886-1442

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Brad E. Kiplinger

Born: 1970

Education: ***Bowling Green State University*** – B.S. in Economics

Certified Financial Planner™/CFP® – Current Student

Issued by: Certified Financial Planner Board of Standards, Inc.

Prerequisites/Experience Required: Candidate must meet the following:

- A bachelor's degree (or higher) from an accredited college or university, and
- 3 years of full-time personal financial planning experience

Educational Requirements: Candidate must complete a CFP-board registered program, or hold one of the following:

- Certified Public Accountant
- Chartered Financial Consultant
- Chartered Life Underwriter (CLU)
- Certified Financial Analyst
- Ph.D. in business or economics
- Doctor of Business Administration
- Attorney's License

Examination Type: CFP Certification Examination

Continuing Education/Experience Requirements: 30 hours every 2-years

Business Background:

Kiplinger Investment Advisors, LLC – Oct. 1998 – Present

(DBA) Kiplinger Wealth Management Group

(DBA) Kiplinger Wealth Advisors

- Managing Member
- Investment Adviser Representative

Securities America, Inc. – March 1996 – Present

- Registered Representative
- Registered Securities Principal

Buzek, Kiplinger & Associates – March 1996 – December 2010

- Insurance Agent

ITEM 3 – DISCIPLINARY HISTORY

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

ITEM 4 – OTHER BUSINESS ACTIVITIES

A. Investment Related Other Business Activities: Mr. Kiplinger is also a licensed insurance agent (life, annuity & health) and he spends approximately 10% of his time on this activity. He is appointed with

various insurance companies and receives a commission for these services. This business is investment related. With the ability to work as a client's insurance agent and investment adviser representative, this is a conflict of interest because each service pays a separate fee or commission. However, he attempts to mitigate any conflicts of interest to the best of his ability by placing the client's interests ahead of his own, through his fiduciary duty and through the implementation of policies and procedures that address the conflict. Additionally, clients are never obligated to purchase insurance through him.

Mr. Kiplinger is a registered representative and registered principal of Securities America, Inc, Member FINRA and SIPC and he spends approximately 30% of his time on this activity. As a registered representative and registered principal, Mr. Kiplinger can sell securities to clients for a commission. With the ability to work as a client's registered representative and investment adviser representative, this is a conflict of interest because each service pays a separate fee or commission. However, he attempts to mitigate any conflicts of interest to the best of his ability by placing the client's interests ahead of his own, through his fiduciary duty and through the implementation of policies and procedures that address the conflict. A client is never obligated to purchase securities through him.

B. Non-Investment Related Other Business Activities: Mr. Kiplinger does not have any non-investment related other business activities. This section is not applicable.

ITEM 5 – ADDITIONAL COMPENSATION

Mr. Kiplinger does not receive any additional compensation.

ITEM 6 – SUPERVISION

Mr. Kiplinger is the sole principal and Chief Compliance Officer of the Firm. As such, he has not internal supervision placed over him. He is however bound by the Firm's Code of Ethics.

ITEM 7 – REQUIREMENTS FOR STATE-REGISTERED ADVISERS

A. Arbitration or Civil, Self-Regulatory Organization or Administrative Proceedings

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
 - a. An investment or an investment-related business or activity;
 - b. Fraud, false statement(s), or omissions;
 - c. Theft, embezzlement, or other wrongful taking of property;
 - d. Bribery, forgery, counterfeiting, or extortion; or
 - e. Dishonest, unfair, or unethical practices.

Mr. Kiplinger has never been the subject of any arbitration claim.

2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
 - a. An investment or an investment-related business or activity;
 - b. Fraud, false statement(s), or omissions;
 - c. Theft, embezzlement, or other wrongful taking of property;
 - d. Bribery, forgery, counterfeiting, or extortion; or
 - e. Dishonest, unfair, or unethical practices.

Mr. Kiplinger has not been the subject of any civil, self-regulatory organization or administrative proceeding.

B. Bankruptcy History

Mr. Kiplinger has never been the subject of a bankruptcy petition.