

Paul Doak, CFP®

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This brochure supplement provides information about Paul Doak that supplements the D.H. Hill Advisors, Inc. brochure. You should have received a copy of that brochure. Please contact Dan H. Hill, Chief Compliance Officer, at (832) 644-1852 and/or dhill@dhhill.com if you did not receive D.H. Hill Advisors, Inc.'s brochure or if you have any questions about the contents of this supplement.

Additional information about Paul Doak is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Paul Doak was born in 1969.

Formal Post–High School Education

- BS Political Science, graduated Cum Laude, University of Southern Maine, 1993 (minor in Economics)
- MBA course work, University of New Mexico, 1995

Business Background

- D.H. Hill Advisors, Inc. (2008–Present), Investment Adviser Representative
- D.H. Hill Securities, LLLP (2007–Present), Registered Representative
- Principal Securities, (1999–2007), Registered Representative
- ID Financial LLC, (2008–Present), Insurance Agent

Item 3 – Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to the client's evaluation of each supervised person providing investment advice. No information is applicable to this item.

Item 4 – Other Business Activities

Paul Doak is also a registered representative of D.H. Hill Securities, LLLP, a broker dealer and member of FINRA. This other activity may require up to 50% of his time.

As a registered representative with D.H. Hill Securities, LLLP, commission-based products may be offered to advisory clients through D.H. Hill Securities, LLLP, which creates a conflict of interest. The client is under no obligation to implement any such recommendations and may select any other advisor or broker dealer to implement them. However, if any of such recommendations are implemented through D.H. Hill Securities, LLLP for the purchase of a specific security, insurance, or other investment product, a conflict of interest exists because commissions will be paid to the investment advisor representative in his capacity as a registered representative of D.H. Hill Securities, LLLP. Clients are advised of the existence of any conflict of interest and a disclosure of the conflict of interest is included on the new account form of D.H. Hill Securities, LLLP.

As an insurance representative, commission-based insurance products may be offered to advisory clients through multiple insurance companies, which create a conflict of interest.

Item 5 – Additional Compensation

Paul Doak has no information to report applicable to this item.

Item 6 – Supervision

Paul Doak is supervised by Dan H. Hill, Chief Compliance Officer of D.H. Hill Advisors, Inc. Mr. Hill reviews Mr. Doak's work through frequent remote interactions and through the firm's client relationship management system.

Dan H. Hill can be contacted at (832) 644-1852 and/or dhill@dhill.com.

Item 7 – Requirements for State-Registered Advisers

Paul Doak has no information to report applicable to this item.

Professional Certifications

Employees have earned certifications and credentials that are required to be explained in further detail.

Certified Financial Planner (CFP®) The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the "CFP® marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board").

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 62,000 individuals have obtained CFP® certification in the United States.

As of January 1, 2011, to attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements. The qualifications may not have been in place when the credential was obtained.

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination, administered in 10 hours over a two-day period, includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real-world circumstances;

- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by CFP Board's *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- Ethics – Renew an agreement to be bound by the *Standards of Professional Conduct*. The *Standards* prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.