

## Noor Amirali

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**This brochure supplement provides information about Noor Amirali that supplements the D.H. Hill Advisors, Inc. brochure. You should have received a copy of that brochure. Please contact Dan H. Hill, Chief Compliance Officer, at (832) 644-1852 and/or [dhill@dhhill.com](mailto:dhill@dhhill.com) if you did not receive D.H. Hill Advisors, Inc.'s brochure or if you have any questions about the contents of this supplement.**

**Additional information about Noor Amirali is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## Item 2 – Educational Background and Business Experience

Noor Amirali was born in 1975.

### Formal Post–High School Education

- BS Business, Indiana University, 1997
- CFP Exam completion July 2008
- Classroom training for CFP completed in 2008 at Rice University

### Business Background

- D.H. Hill Advisors, Inc. (2009–Present), Investment Adviser Representative
- D.H. Hill Securities, LLLP (2009–Present), Registered Representative
- Chase Investments (2005–2008), Financial Advisor
- WRP Investments (2008), Financial Advisor

- K's Jewelers (2007–Present), Sales Associate
- CFP (Certified Financial Planner) Dec. 01, 2010–Present

### **Item 3 – Disciplinary Information**

On May 14, 2010, Noor Amirali was suspended for three months and asked to pay a civil and administrative penalty/fine of \$5,000. Without admitting or denying the findings, Mr. Amirali consented to the described sanctions and to the entry of findings that he placed customer initials and signatures and inserted dates on account documents for customers. The various actions were approved by the customers, but they did not authorize Mr. Amirali to sign their initials, signatures, insert dates, or alter the documents. By conducting these acts, Mr. Amirali caused the firm's books and records to be inaccurate and therefore in violation of SEC Rule 17A-3.

During the registration process, the staff of the Texas State Securities Board discovered that from in or about 2005 to in or about 2008, Noor Amirali initialed forms on behalf of customers of Chase and submitted such forms to Chase, which violated the written supervisory procedures of the firm. As a result, Mr. Amirali's registration was suspended for a period of three days from the date the order was entered by the SEC. The suspension has now ended and Noor Amirali will be under the close supervision of D.H. Hill Advisors, Inc. and D.H. Hill Securities, LLLP by Compliance Supervisor Dan H. Hill for a period of two years beginning September 29, 2009.

### **Item 4 – Other Business Activities**

Noor Amirali is also a registered representative of D.H. Hill Securities, LLLP, a broker dealer and member of FINRA. This other activity may require up to 95% of his time.

As a registered representative with D.H. Hill Securities, LLLP, commission-based products may be offered to advisory clients through D.H. Hill Securities, LLLP, which creates a conflict of interest. The client is under no obligation to implement any such recommendations and may select any other advisor or broker dealer to implement them. However, if any of such recommendations are implemented through D.H. Hill Securities, LLLP for the purchase of a specific security, insurance, or other investment product, a conflict of interest exists because commissions will be paid to the investment advisor representative in his capacity as a registered representative of D.H. Hill Securities, LLLP. Clients are advised of the existence of any conflict of interest and a

disclosure of the conflict of interest is included on the new account form of D.H. Hill Securities, LLLP.

### **Item 5 – Additional Compensation**

Noor Amirali has no information to report applicable to this item.

### **Item 6 – Supervision**

Noor Amirali is supervised by Dan H. Hill, Chief Compliance Officer of D.H. Hill Advisors, Inc. Mr. Hill reviews Mr. Amirali's work through frequent remote interactions and through the firm's client relationship management system.

Dan H. Hill can be contacted at (832) 644-1852 and/or [dhill@dhill.com](mailto:dhill@dhill.com).

### **Item 7 – Requirements for State-Registered Advisers**

Please see Item 3 above.