

## **James W. Armstrong, CLU<sup>®</sup>**

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**This brochure supplement provides information about James W. Armstrong that supplements the D.H. Hill Advisors, Inc. brochure. You should have received a copy of that brochure. Please contact Dan H. Hill, Chief Compliance Officer, at (832) 644-1852 and/or [dhill@dhhill.com](mailto:dhill@dhhill.com) if you did not receive D.H. Hill Advisors, Inc.'s brochure or if you have any questions about the contents of this supplement.**

**Additional information about James W. Armstrong is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

### **Item 2 – Educational Background and Business Experience**

James W. Armstrong was born in 1942.

#### **Formal Post–High School Education**

- BA Psychology, Florida Presbyterian College (now Eckerd College), 1967
- Chartered Life Underwriter (CLU), 1977

#### **Business Background**

- D.H. Hill Advisors, Inc. (1999–Present), Investment Adviser Representative
- D.H. Hill Securities, LLLP (1999–Present), Registered Representative
- Davis Life Brokerage, (1999–Present), Insurance Agent

Licenses:

- Insurance: Group 1 Insurance since 1968
- Securities: Series, 7,24, and 66 since 1981

**Item 3 – Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to the client's evaluation of each supervised person providing investment advice. No information is applicable to this item.

**Item 4 – Other Business Activities**

James W. Armstrong is also a registered representative of D.H. Hill Securities, LLLP, a broker dealer and member of FINRA. This other activity may require up to 99% of his time.

As a registered representative with D.H. Hill Securities, LLLP, commission-based products may be offered to advisory clients through D.H. Hill Securities, LLLP, which creates a conflict of interest. The client is under no obligation to implement any such recommendations and may select any other advisor or broker dealer to implement them. However, if any of such recommendations are implemented through D.H. Hill Securities, LLLP for the purchase of a specific security, insurance, or other investment product, a conflict of interest exists because commissions will be paid to the investment advisor representative in his capacity as a registered representative of D.H. Hill Securities, LLLP. Clients are advised of the existence of any conflict of interest and a disclosure of the conflict of interest is included on the new account form of D.H. Hill Securities, LLLP.

As an insurance representative, commission-based insurance products may be offered to advisory clients through multiple insurance companies, which create a conflict of interest.

**Item 5 – Additional Compensation**

James W. Armstrong has no information to report applicable to this item.

## Item 6 – Supervision

James W. Armstrong is supervised by Dan H. Hill, Chief Compliance Officer of D.H. Hill Advisors, Inc. Mr. Hill reviews Mr. Armstrong's work through frequent remote interactions and through the firm's client relationship management system.

Dan H. Hill can be contacted at (832) 644-1852 and/or [dhill@dhill.com](mailto:dhill@dhill.com).

## Item 7 – Requirements for State-Registered Advisers

James W. Armstrong has no information to report applicable to this item.

### Professional Certifications

Employees have earned certifications and credentials that are required to be explained in further detail.

**Chartered Life Underwriter® (CLU®)** is the most respected designation of insurance expertise. To receive the CLU® designation, you must successfully complete all courses in your selected program, meet experience requirements and ethics standards, and agree to comply with The American College Code of Ethics and Procedures.