

**Item 1 Cover Page**

A.

**Roy W. Sokolowski**

Sokolowski Investment Advisors, LLC

Brochure Supplement  
Dated 1/16/2011

Contact: Roy W. Sokolowski, Chief Compliance Officer  
95 College Street  
Burlington, Vermont 05401  
[www.siawealthadvisors.com](http://www.siawealthadvisors.com)

B.

**This brochure supplement provides information about Roy W. Sokolowski that supplements the Sokolowski Investment Advisors, LLC brochure. You should have received a copy of that brochure. Please contact Roy W. Sokolowski, Chief Compliance Officer, if you did *not* receive Sokolowski Investment Advisors' brochure or if you have any questions about the contents of this supplement.**

**Additional information about Roy W. Sokolowski is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

**Item 2 Education Background and Business Experience**

Roy W. Sokolowski was born in 1957. Mr. Sokolowski graduated from The University of Vermont in 1979, with a BS degree in Agriculture. Before beginning his career in the financial services industry, Mr. Sokolowski owned his own business. From 1986-1990 Mr. Sokolowski was a Financial Consultant for Paine Webber. In 1990 Mr. Sokolowski joined Merrill Lynch where he worked as a Financial Consultant through 1997. Mr. Sokolowski founded Sokolowski Investment Advisors, LLC in January of 1998 where he is the Investment Manager.

**Item 3 Disciplinary Information**

None.

#### **Item 4 Other Business Activities**

- A. The supervised person is not actively engaged in any investment-related business or occupation.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

#### **Item 5 Additional Compensation**

None.

#### **Item 6 Supervision**

The Registrant has and provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisor's Act ("*Act*"). The Registrant's Chief Compliance Officer, Roy W. Sokolowski, is primarily responsible for implementation of the Registrant's policies and procedures. Should an employee or investment adviser representative of the Registrant have any questions regarding the applicability/relevance of the *Act*, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Mr. Sokolowski, can be reached at (802) 489-5342.

**Item 1 Cover Page**

A.

**Patricia N. Sokolowski**

Sokolowski Investment Advisors, LLC

Brochure Supplement

Dated 1/16/2011

Contact: Roy W. Sokolowski, Chief Compliance Officer

95 College Street

Burlington, Vermont 05401

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B.

**This brochure supplement provides information about Patricia N. Sokolowski that supplements the Sokolowski Investment Advisors, LLC brochure. You should have received a copy of that brochure. Please contact Roy W. Sokolowski, Chief Compliance Officer, if you did *not* receive Sokolowski Investment Advisors' brochure or if you have any questions about the contents of this supplement.**

**Additional information about Patricia N. Sokolowski is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

**Item 2 Education Background and Business Experience**

Patricia N. Sokolowski was born in 1957. Ms. Sokolowski graduated from The University of Vermont in 1979, with a BA degree in Political Science. Prior to working in the financial services industry, Ms. Sokolowski was the Director of Operations for Skis Dynastar (1979-1992) and the Customer Service Manager for Nordica USA (1992-1997). Ms. Sokolowski has been employed as an investment adviser representative of Sokolowski Investment Advisors, LLC since April of 1998.

Ms. Sokolowski became a Certified Financial Planner (CFP®) in September 2007. The CFP® designation identifies individuals who have completed the mandatory examination, education, experience, and ethics requirements mandated by the CFP® Board. Candidates must have at least three years of qualifying work experience that relates to financial planning. Candidates are required to hold a bachelors degree from an accredited university. CFP® candidates must pass an examination that covers over 100 financial planning topics, which broadly include: general principles of financial planning, insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning. Finally, candidates have ongoing ethics requirements and oversight by the CFP® Board.

**Item 3 Disciplinary Information**

None.

**Item 4 Other Business Activities**

C. The supervised person is not actively engaged in any investment-related business or occupation.

D. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

**Item 5 Additional Compensation**

None.

**Item 6 Supervision**

The Registrant has and provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisor's Act ("*Act*"). The Registrant's Chief Compliance Officer, Roy W. Sokolowski, is primarily responsible for implementation of the Registrant's policies and procedures. Should an employee or investment adviser representative of the Registrant have any questions regarding the applicability/relevance of the *Act*, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Mr. Sokolowski, can be reached at (802) 489-5342.