

## **Firm Brochure**

(Part 2A of Form ADV)

### **BondStreet Wealth Management, LLC**

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This brochure provides information about the qualifications and business practices of BondStreet Wealth Management, LLC. If you have any questions about the contents of this brochure, please contact us at: 425-212-3777, or by email at: [info@bondstreetwealth.com](mailto:info@bondstreetwealth.com). The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission, or by any state securities authority.

Additional information about BondStreet Wealth Management, LLC is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)

June 10, 2011

## Material Changes

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### **Annual Update**

The Material Changes section of this brochure will be updated annually when material changes occur since the previous release of the Firm Brochure.

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### **Material Changes since the Last Update**

BondStreet Wealth Management, LLC is affiliated with Madrona Funds, LLC, an SEC registered investment advisor. Madrona Funds, LLC is a sub-advisor on three actively-managed Exchange-Traded Products. Madrona Funds, LLC receives investment management fees based on each funds' assets under management. Conflict of interest disclosures are addressed in further detail in the "Other Financial Industry Activities and Affiliations" section of this brochure.

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### **Full Brochure Available**

Whenever you would like to receive a complete copy of our Firm Brochure, please contact us by telephone at: 425-212-3777 or by email at: [info@bondstreetwealth.com](mailto:info@bondstreetwealth.com).

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# Advisory Business

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## Firm Description

BondStreet Wealth Management, LLC, ("BondStreet") was founded in 1999.

BondStreet Wealth Management, LLC provides personalized confidential financial planning and investment management to individuals, pension and profit sharing plans, trusts, estates, charitable organizations and small businesses. Advice is provided through consultation with the client and may include: determination of financial objectives, identification of financial problems, cash flow management, tax planning, insurance review, investment management, education funding, retirement planning, and estate planning.

BondStreet Wealth Management, LLC is strictly a fee-only financial planning and investment management firm. The firm does not sell stocks, bonds, mutual funds, limited partnerships, or other commissioned products. The firm is not affiliated with entities that sell financial products or securities. No commissions in any form are accepted. No finder's fees are accepted.

Investment advice is an integral part of financial planning. In addition, BondStreet Wealth Management, LLC advises clients regarding cash flow, college planning, retirement planning, tax planning and estate planning.

Investment advice is provided, with the client making the final decision on investment selection. BondStreet Wealth Management, LLC does not act as a custodian of client assets. The client always maintains asset control. BondStreet Wealth Management, LLC places trades for clients under a limited power of attorney.

Periodic reviews are also communicated to provide reminders of the specific courses of action that need to be taken. More frequent reviews occur but are not necessarily communicated to the client unless immediate changes are recommended.

Other professionals (e.g., lawyers, accountants, insurance agents, etc.) are engaged directly by the client on an as-needed basis. Conflicts of interest will be disclosed to the client in the unlikely event they should occur.

The initial meeting, which may be by telephone, is free of charge and is considered an exploratory interview to determine the extent to which financial planning and investment management may be beneficial to the client.

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## Principal Owners

Brian K. Evans is a 100% stockholder.

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## Types of Advisory Services

BondStreet Wealth Management, LLC provides investment supervisory services, also known as asset management services; manages investment advisory accounts not involving investment supervisory services; furnishes

investment advice through consultations; issues periodicals about securities by subscription; issues special reports about securities; and issues, charts, graphs, formulas, or other devices which clients may use to evaluate securities.

On more than an occasional basis, BondStreet Wealth Management, LLC furnishes advice to clients on matters not involving securities, such as financial planning matters, taxation issues, and trust services that often include estate planning.

BondStreet Wealth Management, LLC does not provide a timing service.

As of January 6, 2011, BondStreet Wealth Management, LLC manages approximately \$135 million in assets for approximately 400 clients. Approximately \$135 million is managed on a discretionary basis, and \$0 is managed on a non-discretionary basis.

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### **Tailored Relationships**

The goals and objectives for each client are documented in our client relationship management system and/or client files. Investment policy statements are created that reflect the stated goals and objective. Clients may impose restrictions on investing in certain securities or types of securities.

Agreements may not be assigned without client consent.

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### **Types of Agreements**

The following agreements define the typical client relationships.

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#### **Financial Planning Agreement**

A financial plan is designed to help the client with all aspects of financial planning without ongoing investment management after the financial plan is completed.

The financial plan may include, but is not limited to: a net worth statement; a cash flow statement; a review of investment accounts, including reviewing asset allocation and providing repositioning recommendations; strategic tax planning; a review of retirement accounts and plans including recommendations; a review of insurance policies and recommendations for changes, if necessary; one or more retirement scenarios; estate planning review and recommendations; and education planning with funding recommendations.

Detailed investment advice and specific recommendations are provided as part of a financial plan. Implementation of the recommendations is at the discretion of the client.

In the event that the client's situation is substantially different than disclosed at the initial meeting, a revised fee will be provided for mutual agreement.

The client must approve the change of scope in advance of the additional work being performed when a fee increase is necessary.

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**Advisory Service Agreement**

The scope of work and fee for an Advisory Service Agreement is provided to the client in writing prior to the start of the relationship. An Advisory Service Agreement includes: cash flow management; insurance review; investment management (including performance reporting); education planning; retirement planning; estate planning; and tax preparation, as well as the implementation of recommendations within each area.

The annual Advisory Service Agreement fee is based on a percentage of the investable assets according to the following schedule:

<u>Family Assets under management</u>	<u>Annual Fee</u>
\$200,000 - \$1,000,000	1.00%
\$1,000,000 - \$5,000,000	0.80%
\$5,000,000 - \$20,000,000	0.60%
\$20,000,000 - \$50,000,000	0.50%
\$50,000,000 - \$100,000,000	0.40%
\$100,000,000 - \$250,000,000	0.30%
Assets in excess of \$250,000,000	0.25%

There is no minimum annual fee. Current client relationships may exist where the fees are higher or lower than the fee schedule above.

Although the Advisory Service Agreement is an ongoing agreement and constant adjustments are required, the length of service to the client is at the client's discretion. The client or the investment manager may terminate an Agreement by written notice to the other party. At termination, fees will be billed on a pro rata basis for the portion of the quarter completed. The portfolio value at the completion of the prior full billing quarter is used as the basis for the fee computation, adjusted for the number of days during the billing quarter prior to termination.

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**Asset Management**

Assets are invested primarily in no-load or low-load mutual funds and exchange-traded funds, usually through discount brokers or fund companies. Fund companies charge each fund shareholder an investment management fee that is disclosed in the fund prospectus. Discount brokerages may charge a transaction fee for the purchase of some funds.

Stocks and bonds may be purchased or sold through a brokerage account when appropriate. The brokerage firm charges a fee for stock and bond trades. BondStreet Wealth Management, LLC does not receive any compensation, in any form, from fund companies.

Investments may also include: equities (stocks), warrants, corporate debt securities, commercial paper, certificates of deposit, municipal securities,



investment company securities (variable life insurance, variable annuities, and mutual funds shares), U.S. government securities, options contracts, futures contracts, and interests in partnerships.

Initial public offerings (IPOs) are not available through BondStreet Wealth Management, LLC.

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### **Termination of Agreement**

A Client may terminate any of the aforementioned agreements at any time by notifying BondStreet Wealth Management, LLC in writing and paying the rate for the time spent on the investment advisory engagement prior to notification of termination. If the client made an advance payment, BondStreet Wealth Management, LLC will refund any unearned portion of the advance payment.

BondStreet Wealth Management, LLC may terminate any of the aforementioned agreements at any time by notifying the client in writing. If the client made an advance payment, BondStreet Wealth Management, LLC will refund any unearned portion of the advance payment.

## **Fees and Compensation**

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### **Description**

BondStreet Wealth Management, LLC bases its fees on a percentage of assets under management, hourly charges, fixed fees (not including subscription fees), subscription fees, commissions, other (*explain*).

Some *Retainer Agreements* may be priced based on the complexity of work, especially when asset management is not the most significant part of the relationship.

Financial plans are priced according to the degree of complexity associated with the client's situation.

Fees are negotiable.

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### **Fee Billing**

Investment management fees are billed quarterly, in *arrears*, meaning that we invoice you after the three-month billing period has ended. Payment in full is expected upon invoice presentation. Fees are usually deducted from a designated client account to facilitate billing. The client must consent in advance to direct debiting of their investment account.

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### **Other Fees**

Custodians may charge transaction fees on purchases or sales of certain mutual funds and exchange-traded funds. These transaction charges are usually small and incidental to the purchase or sale of a security. The selection of the security is more important than the nominal fee that the custodian charges to buy or sell the security.

BondStreet Wealth Management, LLC, in its sole discretion, may waive its minimum fee and/or charge a lesser investment advisory fee based upon certain criteria (e.g., historical relationship, type of assets, anticipated future earning capacity, anticipated future additional assets, dollar amounts of assets to be managed, related accounts, account composition, negotiations with clients, etc.).

New Advisory Service Agreement fees are calculated on a formula basis and adjusted for complexity of individual situations. *The formula is based on gross income, gross assets and other financial considerations.*

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### **Expense Ratios**

Mutual funds generally charge a management fee for their services as investment managers. The management fee is called an expense ratio. For example, an expense ratio of 0.50 means that the mutual fund company charges 0.5% for their services. These fees are in addition to the fees paid by you to BondStreet Wealth Management, LLC.

Performance figures quoted by mutual fund companies in various publications are after their fees have been deducted.

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### **Past Due Accounts and Termination of Agreement**

BondStreet Wealth Management, LLC reserves the right to stop work on any account that is more than 30 days overdue. In addition, BondStreet Wealth Management, LLC reserves the right to terminate any financial planning engagement where a client has willfully concealed or has refused to provide pertinent information about financial situations when necessary and appropriate, in BondStreet Wealth Management, LLC's judgment, to providing proper financial advice. Any unused portion of fees collected in advance will be refunded within 30 days.

## **Performance-Based Fees**

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### **Sharing of Capital Gains**

Fees are not based on a share of the capital gains or capital appreciation of managed securities.

BondStreet Wealth Management, LLC does not use a performance-based fee structure because of the potential conflict of interest. Performance-based compensation may create an incentive for the adviser to recommend an investment that may carry a higher degree of risk to the client.

## Types of Clients

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### Description

BondStreet Wealth Management, LLC generally provides investment advice to individuals, profit sharing plans, trusts, estates, or charitable organizations, or corporations or business entities.

Client relationships vary in scope and length of service.

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### Account Minimums

The minimum account size is \$200,000 of assets under management, which equates to an annual fee of \$2,000.

When an account falls below \$200,000 in value, there is no minimum annual fee charged.

BondStreet Wealth Management, LLC has the discretion to waive the account minimum. Accounts of less than \$200,000 may be set up when the client and the advisor anticipate the client will add additional funds to the accounts bringing the total to \$200,000 within a reasonable time. Other exceptions will apply to employees of BondStreet Wealth Management, LLC and their relatives, or relatives of existing clients and at the advisors discretion.

Clients with assets below the minimum account size may pay a higher percentage rate on their annual fees than the fees paid by clients with greater assets under management.

## Methods of Analysis, Investment Strategies and Risk of Loss

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### Methods of Analysis

Security analysis methods may include charting, fundamental analysis, technical analysis, and cyclical analysis.

The main sources of information include financial newspapers and magazines, inspections of corporate activities, research materials prepared by others, corporate rating services, timing services, annual reports, prospectuses, filings with the Securities and Exchange Commission, and company press releases.

Other sources of information that BondStreet Wealth Management, LLC may use include Morningstar Principia mutual fund information, Morningstar Principia stock information, Charles Schwab & Company's "SchwabLink" service, Advisor Intelligence, and the World Wide Web.

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### Investment Strategies

The primary investment strategy used on client accounts is strategic asset allocation utilizing a core and satellite approach. This means that we use passively-managed index and/or actively-managed exchange-traded funds

and mutual funds as the core investments. Portfolios are globally diversified to control the risk associated with traditional markets.

The investment strategy for a specific client is based upon the objectives stated by the client during consultations. The client may change these objectives at any time. Each client executes an Investment Policy Statement that documents their objectives and their desired investment strategy.

Other strategies may include long-term purchases, short-term purchases, trading, short sales, margin transactions, and option writing (including covered options, uncovered options or spreading strategies).

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### **Risk of Loss**

All investment programs have certain risks that are borne by the investor. Our investment approach constantly keeps the risk of loss in mind. Investors face the following investment risks:

- **Interest-rate Risk:** Fluctuations in interest rates may cause investment prices to fluctuate. For example, when interest rates rise, yields on existing bonds become less attractive, causing their market values to decline.
- **Market Risk:** The price of a security, bond, or mutual fund may drop in reaction to tangible and intangible events and conditions. This type of risk is caused by external factors independent of a security's particular underlying circumstances. For example, political, economic and social conditions may trigger market events.
- **Inflation Risk:** When any type of inflation is present, a dollar today will not buy as much as a dollar next year, because purchasing power is eroding at the rate of inflation.
- **Currency Risk:** Overseas investments are subject to fluctuations in the value of the dollar against the currency of the investment's originating country. This is also referred to as exchange rate risk.
- **Reinvestment Risk:** This is the risk that future proceeds from investments may have to be reinvested at a potentially lower rate of return (i.e. interest rate). This primarily relates to fixed income securities.
- **Business Risk:** These risks are associated with a particular industry or a particular company within an industry. For example, oil-drilling companies depend on finding oil and then refining it, a lengthy process, before they can generate a profit. They carry a higher risk of profitability than an electric company, which generates its income from a steady stream of customers who buy electricity no matter what the economic environment is like.
- **Liquidity Risk:** Liquidity is the ability to readily convert an investment into cash. Generally, assets are more liquid if many traders are

interested in a standardized product. For example, Treasury Bills are highly liquid, while real estate properties are not.

- **Financial Risk:** Excessive borrowing to finance a business' operations increases the risk of profitability, because the company must meet the terms of its obligations in good times and bad. During periods of financial stress, the inability to meet loan obligations may result in bankruptcy and/or a declining market value.

## **Disciplinary Information**

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### **Legal and Disciplinary**

The firm and its employees have not been involved in legal or disciplinary events related to past or present investment clients.

## **Other Financial Industry Activities and Affiliations**

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### **Financial Industry Activities**

BondStreet Wealth Management, LLC is an affiliate of Madrona Funds, LLC, a registered investment advisor with the SEC.

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### **Affiliations**

BondStreet Wealth Management, LLC has arrangements that are material to its advisory or its clients with a related person who is another investment advisor and an accounting firm

Advisor is affiliated with Madrona Funds, LLC, (Madrona) a registered investment advisor registered with the (SEC). Madrona is the sub-advisor on three actively managed Exchange-Traded Funds, the Madrona Forward Domestic ETF (FWDD), the Madrona Forward International ETF (FWDI), and the Madrona Forward Global Bond ETF (FWDB). Madrona receives investment advisory fees from these funds based on the assets under management in each fund. For FWDD and FWDI, Madrona receives 0.40% of the assets under management in the fund. For FWDB, Madrona receives 0.25% of the assets under management in the fund. Due to this conflict of interest, BondStreet has an incentive to invest discretionary assets in these funds. BondStreet mitigates the conflict by only placing client portfolio holdings in the Madrona ETF's when suitable and appropriate to meet a client's investing needs. Although there is a conflict of interest, BondStreet is required to act as a fiduciary to its clients and must invest client assets in the client's best interest, regardless of any conflicts of interest.

Advisor is also a related person of Bauer Evans, Inc. P.S. ("Bauer Evans"). Bauer Evans is in the business of providing accounting, tax, business consulting and auditing services as a CPA firm. These services are provided

on an hourly or firm bid basis. All professional staff of the firm, including owners, provides these services on a full-time basis.

## **Code of Ethics, Participation or Interest in Client Transactions and Personal Trading**

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### **Code of Ethics**

The employees of BondStreet Wealth Management, LLC have committed to a Code of Ethics that is available for review by clients and prospective clients upon request. The firm will provide a copy of the Code of Ethics to any client or prospective client upon request.

### **Participation or Interest in Client Transactions**

BondStreet Wealth Management, LLC and its employees may buy or sell securities that are also held by clients. Employees may not trade their own securities ahead of client trades. Employees comply with the provisions of the BondStreet Wealth Management, LLC *Compliance Manual*.

### **Personal Trading**

The Chief Compliance Officer of BondStreet Wealth Management, LLC is Brian K. Evans. He reviews all employee trades each quarter. His trades are reviewed by Christen Lacic. The personal trading reviews ensure that the personal trading of employees does not affect the markets, and that clients of the firm receive preferential treatment. Since most employee trades are small mutual fund trades or exchange-traded fund trades, the trades do not affect the securities markets.

## **Brokerage Practices**

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### **Selecting Brokerage Firms**

BondStreet Wealth Management, LLC does not have any affiliation with product sales firms. Specific custodian recommendations are made to Clients based on their need for such services. BondStreet Wealth Management, LLC recommends custodians based on the proven integrity and financial responsibility of the firm and the best execution of orders at reasonable commission rates.

BondStreet Wealth Management, LLC does not receive fees or commissions from any of these arrangements.

### **Best Execution**

BondStreet Wealth Management, LLC reviews the execution of trades at each custodian each quarter. The review is documented in the BondStreet Wealth Management, LLC *Compliance Manual*. Trading fees charged by the

custodians is also reviewed on a quarterly basis. BondStreet Wealth Management, LLC does not receive any portion of the trading fees.

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**Soft Dollars**

BondStreet Wealth Management, LLC does not participate in any soft dollar transactions.

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**Order Aggregation**

Most trades are mutual funds or exchange-traded funds where trade aggregation does not garner any client benefit.

## Review of Accounts

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**Review Triggers**

Conditions that may trigger a review are changes in the tax laws, new investment information, and changes in a client's own situation.

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**Regular Reports**

Account reviewers are employees of the firm. They are instructed to consider the client's current security positions and the likelihood that the performance of each security will contribute to the investment objectives of the client.

Clients receive periodic communications on at least an annual basis. *Advisory Service Agreement* clients, *Investment Management* clients, and *Retainer Agreement* clients receive written quarterly updates. The written updates may include a net worth statement, portfolio statement, tax return (if the client requests tax preparation services), and a summary of objectives and progress towards meeting those objectives.

## Client Referrals and Other Compensation

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**Incoming Referrals**

BondStreet Wealth Management, LLC has been fortunate to receive many client referrals over the years. The referrals came from current clients, estate planning attorneys, accountants, employees, personal friends of employees and other similar sources. The firm does not compensate referring parties for these referrals.

BondStreet Wealth Management, LLC has participated in the TD Ameritrade Institutional program. TD Ameritrade Institutional is a division of TD Ameritrade, Inc. ("TD Ameritrade") member FINRA/SIPC. TD Ameritrade is an independent and unaffiliated SEC-registered broker-dealer and FINRA member. TD Ameritrade offers to independent investment Advisors services which include custody of securities, trade execution, clearance and settlement of transactions. Advisor receives some benefits from TD Ameritrade through its participation in the program. BondStreet Wealth Management, LLC may be

paying referrals to TD Ameritrade on some client accounts, but is not currently receiving new clients through this referral program.

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**Referrals Out**

BondStreet Wealth Management, LLC does not accept referral fees or any form of remuneration from other professionals when a prospect or client is referred to them.

## Custody

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**Account Statements**

All assets are held at qualified custodians, which means the custodians provide account statements directly to clients at their address of record at least quarterly.

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**Performance Reports**

Clients are urged to compare the account statements received directly from their custodians to the performance report statements provided by BondStreet Wealth Management, LLC.

## Investment Discretion

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**Discretionary Authority for Trading**

BondStreet Wealth Management, LLC accepts discretionary authority to manage securities accounts on behalf of clients. BondStreet Wealth Management, LLC has the authority to determine, without obtaining specific client consent, the securities to be bought or sold, and the amount of the securities to be bought or sold.

The client approves the custodian to be used and the commission rates paid to the custodian. BondStreet Wealth Management, LLC does not receive any portion of the transaction fees or commissions paid by the client to the custodian on certain trades.

Discretionary trading authority facilitates placing trades in your accounts on your behalf so that we may promptly implement the investment policy that you have approved in writing.

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**Limited Power of Attorney**

A limited power of attorney is a trading authorization for this purpose. You sign a limited power of attorney so that we may execute the trades that you have approved.



## Voting Client Securities

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### Proxy Votes

BondStreet Wealth Management, LLC does not vote proxies on securities. Clients are expected to vote their own proxies.

When assistance on voting proxies is requested, BondStreet Wealth Management, LLC will provide recommendations to the Client. If a conflict of interest exists, it will be disclosed to the Client. Clients will receive proxy solicitation materials directly by the custodians, generally by mail.

## Financial Information

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### Financial Condition

BondStreet Wealth Management, LLC does not have any financial impairment that will preclude the firm from meeting contractual commitments to clients.

A balance sheet is not required to be provided because BondStreet Wealth Management, LLC does not serve as a custodian for client funds or securities, and does not require prepayment of fees of more than \$1,200 per client, and six months or more in advance.

## Business Continuity Plan

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### General

BondStreet Wealth Management, LLC has a Business Continuity Plan in place that provides detailed steps to mitigate and recover from the loss of office space, communications, services or key people.

### Disasters

The Business Continuity Plan covers natural disasters such as snow storms, hurricanes, tornados, and flooding. The Plan covers man-made disasters such as loss of electrical power, loss of water pressure, fire, bomb threat, nuclear emergency, chemical event, biological event, T-1 communications line outage, Internet outage, railway accident and aircraft accident. Electronic files are backed up daily and archived offsite.

### Alternate Offices

Alternate offices are identified to support ongoing operations in the event the main office is unavailable. It is our intention to contact all clients within five days of a disaster that dictates moving our office to an alternate location.

# Information Security Program

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## Information Security

BondStreet Wealth Management, LLC maintains an information security program to reduce the risk that your personal and confidential information may be breached.

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## Privacy Notice

BondStreet Wealth Management, LLC is committed to maintaining the confidentiality, integrity and security of the personal information that is entrusted to us.

The categories of nonpublic information that we collect from you may include information about your personal finances, information about your health to the extent that it is needed for the financial planning process, information about transactions between you and third parties, and information from consumer reporting agencies, e.g., credit reports. We use this information to help you meet your personal financial goals.

With your permission, we disclose limited information to attorneys, accountants, and mortgage lenders with whom you have established a relationship. You may opt out from our sharing information with these nonaffiliated third parties by notifying us at any time by telephone, mail, fax, email, or in person. With your permission, we share a limited amount of information about you with your brokerage firm in order to execute securities transactions on your behalf.

We maintain a secure office to ensure that your information is not placed at unreasonable risk. We employ a firewall barrier, secure data encryption techniques and authentication procedures in our computer environment.

We do not provide your personal information to mailing list vendors or solicitors. We require strict confidentiality in our agreements with unaffiliated third parties that require access to your personal information, including financial service companies, consultants, and auditors. Federal and state securities regulators may review our Company records and your personal records as permitted by law.

Personally identifiable information about you will be maintained while you are a client, and for the required period thereafter that records are required to be maintained by federal and state securities laws. After that time, information may be destroyed.

We will notify you in advance if our privacy policy is expected to change. We are required by law to deliver this *Privacy Notice* to you annually, in writing.

## Brochure Supplement (Part 2B of Form ADV)

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### Education and Business Standards

Persons associated with BondStreet Wealth Management, LLC, other than persons whose functions are strictly clerical, are required to have a college degree and/or a professional designation such as J.D., CPA, CFP, CLU, MBA, a para-planner designation or five years in the securities business.

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### Professional Certifications

Employees have earned certifications and credentials that are required to be explained in further detail.

Certified Public Accountant (CPA): Certified Public Accountants are licensed by the state Board of Accountancy to use the CPA mark. CPA certification requirements:

- Bachelor's degree from an accredited college or university.
- Completion of the certified public accounting requirements set by the State Board of Accountancy.
- Successful completion of the Uniform CPA Exam.
- One-year qualifying full-time work experience.
- Successfully pass the ethics course.

Personal Financial Specialist (PFS): Personal Financial Specialists are licensed by the AICPA. PFS certification requirements:

- Hold a CPA license.
  - Complete comprehensive personal financial planning education.
  - Two-years of full-time business or teaching experience in personal financial planning.
  - Successfully pass a personal financial planning related examination
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### Brian K. Evans, CPA/PFS

Educational Background:

- Date of birth: 12/15/1961
- Institutions (Year):
  - B.A. in Accounting, Summa Cum Laude:  
Washington State University (1980-1984)

Business Experience:

- Joined Bauer Evans, Inc. P.S. as a CPA in 1991 and became co-owner in 1995. Currently owner and director (1991-present)

- Founded BondStreet Wealth Management, LLC (formerly Bauer Evans Wealth Management, LLC) in 1999. Registered Investment Advisor Representative, owner and director (1999-present)
- Founded Madrona Funds, LLC in September, 2010. Managing Member and Investment Manager (2010-present)

Disciplinary Information: None

Other Business Activities: owner and director of Bauer Evans, Inc. P.S. and Madrona Funds, LLC

Additional Compensation: None

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### **Robert W. Bauer, CPA**

Educational Background:

- Date of birth: 3/4/1946
- Institutions (Year):
  - Whitman College (1964-1966)
  - B.A. in Political Science: University of Washington (1966-1968)
  - Education Program: University of Washington (1971-1972)
  - MBA Program: Seattle University (1977)
  - MBA Program: Golden Gate University (1978-1980)

Business Experience:

- Founded Bauer Evans, Inc. P.S. (formerly Bauer & Associates) in 1989.
- Registered Investment Advisor Representative for BondStreet Wealth Management, LLC (2008-present)
- Member and Investment Manager of Madrona Funds, LLC since its inception in September, 2010 (2010-present)

Disciplinary Information: None

Other Business Activities: None

Additional Compensation: None

Supervision:

Robert is supervised by Brian K. Evans, owner. He reviews Bob's work through frequent office interactions as well as remote interactions. He also reviews Bob's activities through our client relationship management system.

Brian K. Evan's contact information:

Phone: 425-212-3777 [info@bondstreetwealth.com](mailto:info@bondstreetwealth.com)

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**Christen Lacic****Educational Background:**

- Date of birth: 8/8/83
- Institutions (Year):
  - A.A. in Accounting and Business Administration:  
Everett Community College (2004-2006)

**Business Experience:**

- Suite Manager for Everett Events Center (2003 – 2006)
- Accounts Payable clerk for Alexander Reed Construction (2006 – 2007)
- Registered Investment Advisor for BondStreet Wealth Management, LLC (2007 – present)

Disciplinary Information: None

Other Business Activities: None

Additional Compensation: None

**Supervision:**

Christen is supervised by Brian K. Evans, owner. He reviews Christen's work through frequent office interactions as well as remote interactions. He also reviews Christen's activities through our client relationship management system.

Brian K. Evan's contact information:

Phone: 425-212-3777 info@bondstreetwealth.com

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**Kristi R. Henderson, CPA****Educational Background:**

- Date of birth: 7/25/1985
- Institutions (Year):
  - B.A. in Accounting with Business Honors:  
University of Oregon (2003-2007)

**Business Experience:**

- Joined Bauer Evans, Inc. P.S. (2007-present)
- Registered Investment Advisor for BondStreet Wealth Management (2007-present)
- Member and Investment Manager of Madrona Funds, LLC since its inception in September, 2010 (2010-present)

Disciplinary Information: None

Other Business Activities: None

Additional Compensation: None

Supervision:

Kristi is supervised by Brian K. Evans, owner. He reviews Kristi's work through frequent office interactions as well as remote interactions. He also reviews Kristi's activities through our client relationship management system.

Brian K. Evan's contact information:

Phone: 425-212-3777 info@bondstreetwealth.com