

John L. Kauth III, C.P.A.

Intercontinental Consultants LLC

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210-271-7947

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**FORM ADV PART 2B
BROCHURE SUPPLEMENT**

This brochure supplement provides information about John L. Kauth, III that supplements the Intercontinental Consultants LLC brochure. You should have received a copy of that brochure. Please contact Maria Sloan at msloan@intercontl.com or telephone number (210) 271-7947 if you did not receive Intercontinental Consultant LLC brochure or if you have any questions about the contents of this supplement.

Additional information about John L. Kauth III is available on the SEC's website at www.adviserinfo.sec.gov.

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Educational Background and Business Experience

Form ADV Part 2B, Item 2

Name: John L. Kauth III

Year of Birth: 1955

Formal Education after High School:

- Texas A&M University, B.B.A. Finance, 1977
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Business Background for the Previous Five Years:

- Intercontinental Consultants LLC, Member, 08/1997 to Present
- Intercontinental Asset Management Group Ltd. Owner/Registered Representative, Chief Executive Officer, 03/1988 to Present
- Intercontinental Financial Services Corp and Affiliated Business, 01/1982 to Present

Certifications:

Certified Public Account (CPA) – A CPA has a comprehensive education background. Must have attended a four-year program in accounting at an accredited institution. A CPA must also pass a standard test for competency in the field. The CPA exam covers four main areas: Law and Professional Responsibility, Auditing Procedures, Accounting and Reporting (taxation and accounting); and Financial Accounting and Reporting. This exam is administered in every state by a state board of accounting. Typically CPA continuing education requirements only consist of completing 120 hours of coursework which is approved by the AICPA every three years. There are many courses to choose from which all demonstrate that the CPA has active knowledge in accountancy and an active understanding of new material in the field.

Disciplinary Information

Form ADV Part 2B, Item 3

A. A criminal or civil action in a domestic, foreign or military court of competent jurisdiction in which the supervised person

1. was convicted of, or pled guilty or nolo contendere ("no contest") to (a) any felony; (b) a misdemeanor that involved investments or an investment-related business, fraud, false statements or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, or extortion; or (c) a conspiracy to commit any of these offenses;
2. is the named subject of a pending criminal proceeding that involves an investment-related business, fraud, false statements or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, extortion, or a conspiracy to commit any of these offenses;
3. was found to have been involved in a violation of an investment-related statute or regulation; or
4. was the subject of any order, judgment, or decree permanently or temporarily enjoining, or otherwise limiting, the supervised person from engaging in any investment-related activity, or from violating any investment-related statute, rule, or order.

No reportable items.

- B. An administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority in which the supervised person
1. was found to have caused an investment-related business to lose its authorization to do business; or
 2. was found to have been involved in a violation of an investment-related statute or regulation and was the subject of an order by the agency or authority
- (a) denying, suspending, or revoking the authorization of the supervised person to act in an investment-related business;
- (b) barring or suspending the supervised person's association with an investment-related business;
- (c) otherwise significantly limiting the supervised person's investment-related activities; or
- (d) imposing a civil money penalty of more than \$2,500 on the supervised person.

No reportable items.

- C. A self-regulatory organization (SRO) proceeding in which the supervised person
1. was found to have caused an investment-related business to lose its authorization to do business; or
 2. was found to have been involved in a violation of the SRO's rules and was: (i) barred or suspended from membership or from association with other members, or was expelled from membership; (ii) otherwise significantly limited from investment-related activities; or (iii) fined more than \$2,500.

No reportable items.

D. Any other proceeding in which a professional attainment, designation, or license of the supervised person was revoked or suspended because of a violation of rules relating to professional conduct. If the supervised person resigned (or otherwise relinquished his attainment, designation, or license) in anticipation of such a proceeding (and the adviser knows, or should have known, of such resignation or relinquishment), disclose the event.

No reportable items.

Other Business Activities

Form ADV Part 2B, Item 4

- A. If the supervised person is actively engaged in any investment-related business or occupation, including if the supervised person is registered, or has an application pending to register, as a broker-dealer, registered representative of a broker-dealer, futures commission merchant ("FCM"), commodity pool operator ("CPO"), commodity trading advisor ("CTA"), or an associated person of an FCM, CPO, or CTA, disclose this fact and describe the business relationship, if any, between the advisory business and the other business.
- If a relationship between the advisory business and the supervised person's other financial industry activities creates a material conflict of interest with clients, describe the nature of the conflict and generally how you address it.
 - If the supervised person receives commissions, bonuses or other compensation based on the sale of securities or other investment products, including as a broker-dealer or registered representative, and

including distribution or service ("trail") fees from the sale of mutual funds, disclose this fact. If this compensation is not cash, explain what type of compensation the supervised person receives. Explain that this practice gives the supervised person an incentive to recommend investment products based on the compensation received, rather than on the client's needs.

John L. Kauth III, Member of ICC, is a limited partner and 89.2% owner of Grump Holdings, Ltd. ("Grump"); and Isidoro Korngold, Member of ICC, is a limited partner and 79.4% owner of Renaissance Partnership, Ltd. ("Renaissance"), family partnerships. Grump and Renaissance own the following companies as described below:

Intercontinental Asset Management Group, Ltd. ("IAMG"): This company is a FINRA-registered broker-dealer, 5% of which is owned by Intercontinental Asset Management Corporation. 66.5% is owned by Renaissance and 28.5% is owned by Grump.

Intercontinental Asset Management Corporation ("IAMC"): This company is the general partner of IAMG, the broker dealer. This company's only assets are cash and its investment in IAMG. 70% of IAMC is owned by Renaissance and 30% is owned by Grump.

Intercontinental Consulting Group, Ltd. ("ICG"): This company provides consulting services to its non-U.S. clients for the maintenance of corporations and trusts. 66.5% of ICG is owned by Renaissance and 28.5% is owned by Grump. 5% is owned by Intercontinental Consulting, L.L.C.

Intercontinental Consulting, L.L.C. ("ICCL"): This company is the general partner to ICG. This company's only assets are cash and its investment in ICG. 70% of ICCL is owned by Renaissance and 30% is owned by Grump.

Mr. Korngold and Mr. Kauth also share ownership of the following companies as described below:

Intercontinental Insurance Agency, L.L.C. ("IIA") is engaged in selling insurance products to its clients on an agency basis. The products include; Fixed and variable annuities, life insurance and health insurance. ("IIA") is 70% owned by Isidoro Korngold and 30% by John L. Kauth III.

Renaissance owns 90% and Grump owns 10% of the following company:

Intercontinental Financial Services Corporation ("IFSC"): This company is engaged in the business of providing services to non-U.S. persons who acquire FDIC insured certificates of deposit. IFSC does not pool client funds or maintain a secondary market in the certificates of deposit. IFSC receives no compensation from the institutions issuing the certificates of deposit. This company also manages, for its non-U.S. clients, loans and letters of credit through financial institutions. Additionally, IFSC assists its clients in the formation of corporations and trusts through attorneys.

Additionally, Renaissance and Grump own a percentage of Rio Pas Investments, Inc., a British Virgin Island company that wholly owns a Texas corporation that owns a warehouse complex and land in San Antonio, Texas.

Mr. Korngold is also the general partner and Renaissance is part owner of Interpark Associates, Ltd., A Texas limited partnership. Interpark owns a warehouse and land in San Antonio, Texas.

REAL ESTATE COMPANY: Nueva Vista owns a condominium in Mexico City. Nueva Vista is 70% owed by Renaissance and 30% by Grump.

BROKER DEALER: ICC is an investment adviser. However, ICC members and other associated persons are separately licensed as registered representatives of Intercontinental Asset Management Group, Ltd. (hereinafter "IAMG"), a FINRA registered broker dealer, affiliated with ICC through common ownership. As such, these individuals, in their separate capacities as registered representatives, will be able to recommend and effect securities transactions and will receive separate, yet customary compensation for effecting any securities transactions through IAMG for clients participating in the IMAP Program. In some instances, depending on the level and nature of the account transactions, advisory fees may be discounted or waived in lieu of commissions earned. These individuals may spend as much as 75% of their time with all of these related activities

INSURANCE COMPANY: Intercontinental Insurance Agency, L.L.C. ("IIA") is engaged in selling insurance products to its clients on an agency basis. The products include; Fixed and variable annuities, life insurance and health insurance. Individuals may spend as much as 15% of their time with these related activities.

B. If the supervised person is actively engaged in any business or occupation for compensation not discussed in response to Item 4.A, above, and the other business activity or activities provide a substantial source of the supervised person's income or involve a substantial amount of the supervised person's time, disclose this fact and describe the nature of that business. If the other business activities represent less than 10 percent of the supervised person's time and income, you may presume that they are not substantial.

No additional activities then those listed in 4A

Additional Compensation

Form ADV Part 2B, Item 5

If someone who is not a client provides an economic benefit to the supervised person for providing advisory services, generally describe the arrangement. For purposes of this Item, economic benefits include sales awards and other prizes, but do not include the supervised person's regular salary. Any bonus that is based, at least in part, on the number or amount of sales, client referrals, or new accounts should be considered an economic benefit, but other regular bonuses should no

No additional compensation is paid for services rendered.

Supervision

Form ADV Part 2B, Item 6

Mr. Isidoro Korngold, Chairman of the Board, 210-271-7947 is responsible for supervising the advisory activities of Mr. John Kauth, Chief Executive Officer. Messrs. Isidoro Korngold and John Kauth are the co-founders of the firm and have worked together for thirty (30) years. They have constant conversations regarding the operations of the firm including client relationships and soliciting new clients. The firm also holds weekly sales meetings where the firm investment views and thoughts are shared with all involved in the sales process. Additionally the firm holds annual compliance meeting which deals with the responsibilities of the advisers with respect to the clients.

Mr. Isidoro Korngold also periodically reviews; new account documentation produced by Mr. John Kauth, daily activity reports and email correspondence.

Requirements for State-Registered Advisers

Form ADV Part 2B, Item 7

A. In addition to the events listed in Item 3 of Part 2B, if the supervised person has been involved in one of the events listed below, disclose all material facts regarding the event.

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:

- (a) an investment or an investment-related business or activity;
- (b) fraud, false statement(s), or omissions;
- (c) theft, embezzlement, or other wrongful taking of property;
- (d) bribery, forgery, counterfeiting, or extortion; or
- (e) dishonest, unfair, or unethical practices.

2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:

- (a) an investment or an investment-related business or activity;
- (b) fraud, false statement(s), or omissions;
- (c) theft, embezzlement, or other wrongful taking of property;
- (d) bribery, forgery, counterfeiting, or extortion; or
- (e) dishonest, unfair, or unethical practices.

Not applicable, registered with the SEC

B. If the supervised person has been the subject of a bankruptcy petition, disclose that fact, the date the petition was first brought, and the current status.

Not applicable, registered with the SEC