

FORM ADV**Uniform Application for Investment Adviser Registration****Part II - Page 1****OMB APPROVAL**

OMB Number: 3235-0049
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Name of Investment Adviser: Wealth Preservers, LLC						
Address:	(Number and Street)	(City)	(State)	(Zip Code)	Area Code:	Telephone Number:
	1095 Pingree Road, Suite 221	Crystal Lake	IL	60014	(815)	788-6018

**This part of FORM ADV gives information about the investment adviser and its business for the use of clients.
The information has not been approved or verified by any government authority.**

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(Schedule A, B, C, D, and E are included with Part I of this Form, for the use of regulatory bodies, and are not distributed to clients.)

Potential persons who are to respond to the collection of information contained in this form
are not required to respond unless the form displays a currently valid OMB control number.

Applicant: Wealth Preservers, LLC

SEC File Number:

801- 71383

Date:

10/15/2010

1. A. Advisory Services and Fees. (check the applicable boxes)

For each type of service provided, state the approximate % of total advisory billings from that service. (See instruction below.)

Applicant:

- | | | | |
|---|--|----|---|
| <input checked="" type="checkbox"/> (1) | Provides investment supervisory services | 65 | % |
| <input type="checkbox"/> (2) | Manages investment advisory accounts not involving investment supervisory services..... | | % |
| <input type="checkbox"/> (3) | Furnishes investment advice through consultations not included in either service described above... | | % |
| <input type="checkbox"/> (4) | Issues periodicals about securities by subscription | | % |
| <input type="checkbox"/> (5) | Issues special reports about securities not included in any service described above..... | | % |
| <input type="checkbox"/> (6) | Issues, not as part of any service described above, any charts, graphs, formulas, or other devices which clients may use to evaluate securities..... | | % |
| <input checked="" type="checkbox"/> (7) | On more than an occasional basis, furnishes advice to clients on matters not involving securities... | 5 | % |
| <input type="checkbox"/> (8) | Provides a timing service | | % |
| <input checked="" type="checkbox"/> (9) | Furnishes advice about securities in any manner not described above..... | 30 | % |

(Percentages should be based on applicant's last fiscal year. If applicant has not completed its first fiscal year, provide estimates of advisory billings for that year and state that the percentages are estimates.)

- B. Does applicant call any of the services it checked above financial planning or some similar term? Yes ☒ No ☐

C. Applicant offers investment advisory services for: (check all that apply)

- | | |
|--|--|
| <input checked="" type="checkbox"/> (1) A percentage of assets under management | <input type="checkbox"/> (4) Subscription fees |
| <input checked="" type="checkbox"/> (2) Hourly charges | <input type="checkbox"/> (5) Commissions |
| <input checked="" type="checkbox"/> (3) Fixed fees (not including subscription fees) | <input type="checkbox"/> (6) Other |

D. For each checked box in A above, describe on Schedule F:

- the services provided, including the name of any publication or report issued by the adviser on a subscription basis or for a fee
- applicant's basic fee schedule, how fees are charged and whether its fees are negotiable
- when compensation is payable, and if compensation is payable before service is provided, how a client may get a refund or may terminate an investment advisory contract before its expiration date

2. Types of clients - Applicant generally provides investment advice to: (check those that apply)

- | | |
|---|--|
| <input checked="" type="checkbox"/> A. Individuals | <input checked="" type="checkbox"/> E. Trusts, estates, or charitable organizations |
| <input type="checkbox"/> B. Banks or thrift institutions | <input checked="" type="checkbox"/> F. Corporations or business entities other than those listed above |
| <input type="checkbox"/> C. Investment companies | <input type="checkbox"/> G. Other (describe on Schedule F) |
| <input checked="" type="checkbox"/> D. Pension and profit sharing plans | |

Answer all items. Complete amended pages in full, circle amended items and file with execution page (page 1)

3. Types of Investments. Applicant offers advice on the following: (check those that apply)

- | | |
|--|--|
| <input checked="" type="checkbox"/> A. Equity securities | <input checked="" type="checkbox"/> H. United States government securities |
| <input checked="" type="checkbox"/> (1) exchange-listed securities | <input checked="" type="checkbox"/> I. Options contracts on: |
| <input checked="" type="checkbox"/> (2) securities traded over-the-counter | <input type="checkbox"/> (1) securities |
| <input checked="" type="checkbox"/> (3) Foreign issuers | <input type="checkbox"/> (2) commodities |
| <input checked="" type="checkbox"/> B. Warrants | <input type="checkbox"/> J. Futures contracts on: |
| <input checked="" type="checkbox"/> C. Corporate debt securities (other than commercial paper) | <input type="checkbox"/> (1) tangibles |
| <input type="checkbox"/> D. Commercial paper | <input type="checkbox"/> (2) intangibles |
| <input checked="" type="checkbox"/> E. Certificates of deposit | <input type="checkbox"/> K. Interests in partnerships investing in: |
| <input checked="" type="checkbox"/> F. Municipal securities | <input type="checkbox"/> (1) real estate |
| <input checked="" type="checkbox"/> G. Investment company securities: | <input type="checkbox"/> (2) oil and gas interests |
| <input checked="" type="checkbox"/> (1) variable life insurance | <input checked="" type="checkbox"/> (3) other (explain on Schedule F) |
| <input checked="" type="checkbox"/> (2) variable annuities | <input checked="" type="checkbox"/> L. Other (explain on Schedule F) |
| <input checked="" type="checkbox"/> (3) mutual fund shares | |

4. Methods of Analysis, Sources of Information, and Investment Strategies.

A. Applicant's security analysis methods include: (check those that apply)

- | | |
|---|--|
| (1) <input checked="" type="checkbox"/> Charting | (4) <input checked="" type="checkbox"/> Cyclical |
| (2) <input checked="" type="checkbox"/> Fundamental | (5) <input type="checkbox"/> Other (explain on Schedule F) |
| (3) <input checked="" type="checkbox"/> Technical | |

B. The main sources of information applicant uses include: (check those that apply)

- | | |
|---|--|
| (1) <input checked="" type="checkbox"/> Financial newspapers and magazines | (5) <input type="checkbox"/> Timing services |
| (2) <input type="checkbox"/> Inspections of corporate activities | (6) <input checked="" type="checkbox"/> Annual reports, prospectuses, filings with the |
| (3) <input checked="" type="checkbox"/> Research materials prepared by others | Securities and Exchange Commission |
| (4) <input checked="" type="checkbox"/> Corporate rating services | (7) <input checked="" type="checkbox"/> Company press releases |
| | (8) <input checked="" type="checkbox"/> Other (explain on Schedule F) |

C. The investment strategies used to implement any investment advice given to clients include: (check those that apply)

- | | |
|--|---|
| (1) <input checked="" type="checkbox"/> Long term purchases
(securities held at least a year) | (5) <input type="checkbox"/> Margin transactions |
| (2) <input checked="" type="checkbox"/> Short term purchases
(securities sold within a year) | (6) <input checked="" type="checkbox"/> Option writing, including covered options,
uncovered options or spreading strategies |
| (3) <input checked="" type="checkbox"/> Trading (securities sold within 30 days) | (7) <input type="checkbox"/> Other (explain on Schedule F) |
| (4) <input checked="" type="checkbox"/> Short sales | |

Applicant: Wealth Preservers, LLC

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5. Education and Business Standards.

Are there any general standards of education or business experience that applicant requires of those involved in determining or giving investment advice to clients? ☒ Yes ☐ No

(If yes, please describe these standards on Schedule F)

6. Education and Business Background.

For:

- each member of the investment committee or group that determines general investment advice to be given to clients, or
- if the applicant has no investment committee or group, each individual who determines general investment advice clients (if more than five, respond only for their supervisors)
- each principal executive officer of applicant or each person with similar status or performing similar functions.

On Schedule F, give the:

- name
- formal education after high school
- year of birth
- business background for the preceding five years

7. Other Business Activities. (check those that apply)

- ☒ A. Applicant is actively engaged in a business other than giving investment advice.
- ☒ B. Applicant sells products or services other than investment advice to clients.
- ☒ C. The principal business of applicant or its principal executive officers involves something other than providing investment advice.

(For each checked box describe the other activities, including the time spent on them, on Schedule F.)

8. Other Financial Industry Activities or Affiliations. (check those that apply)

- ☐ A. Applicant is registered (or has an application pending) as a securities broker-dealer.
- ☐ B. Applicant is registered (or has an application pending) as a futures commission merchant, commodity pool operator or commodity trading adviser.
- ☒ C. Applicant has arrangements that are material to its advisory business or its clients with a related person who is a:
- | | |
|--|--|
| <input checked="" type="checkbox"/> (1) broker-dealer | <input type="checkbox"/> (7) accounting firm |
| <input type="checkbox"/> (2) investment company | <input type="checkbox"/> (8) law firm |
| <input type="checkbox"/> (3) other investment adviser | <input checked="" type="checkbox"/> (9) insurance company or agency |
| <input type="checkbox"/> (4) financial planning firm | <input checked="" type="checkbox"/> (10) pension consultant |
| <input type="checkbox"/> (5) commodity pool operator, commodity trading adviser or futures commission merchant | <input type="checkbox"/> (11) real estate broker or dealer |
| <input type="checkbox"/> (6) banking or thrift institution | <input type="checkbox"/> (12) entity that creates or packages limited partnerships |

(For each checked box in C, on Schedule F identify the related person and describe the relationship and the arrangements.)

- D. Is applicant or a related person a general partner in any partnership in which clients are solicited to invest?.. ☐ Yes ☒ No

(If yes, describe on Schedule F the partnerships and what they invest in.)

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9. Participation or Interest in Client Transactions.

Applicant or a related person: (check those that apply)

- ☐ A. As principal, buys securities for itself from or sells securities it owns to any client.
- ☒ B. As broker or agent effects securities transactions for compensation for any client.
- ☐ C. As broker or agent for any person other than a client effects transactions in which client securities are sold to or bought from a brokerage customer.
- ☐ D. Recommends to clients that they buy or sell securities or investment products in which the applicant or a related person has some financial interest.
- ☒ E. Buys or sell for itself securities it also recommended to clients.

(For each box checked, describe on Schedule F when the applicant or a related person engages in these transactions and what restrictions, internal procedures, or disclosures are used for conflicts of interest in those transactions.)

Describe, on Schedule F, your code of ethics, and state that you will provide a copy of your code of ethics to any client or prospective client upon request.

- 10. Conditions for Managing Accounts.** Does the applicant provide investment advisory services, manage investment advisory accounts or hold itself out as providing financial planning or some similarly termed services *and* impose a minimum dollar value of assets or other condition for starting or maintaining an account?

Yes No
☒ ☐

(If yes, describe on Schedule F)

- 11. Review of Accounts.** If applicant provides investment supervisory services, manages investment advisory account, or holds itself out as providing financial planning or some similarly termed services:

- A. Describe below the reviews and reviewers of the accounts. **For reviews**, include their frequency, different levels, and triggering factors. **For reviewers**, include the number of reviewers, their titles and functions, instructions they receive from applicant on performing reviews, and number of accounts assigned each.

Please See Schedule F for Details.

- B. Describe below the nature and frequency of regular reports to clients on their accounts.

Please See Schedule F for Details.

12. Investment or Brokerage Discretion.

A. Does applicant or any related person have authority to determine, without obtaining specific client consent, the:

- | | | |
|--|-------------------------------------|-------------------------------------|
| | Yes | No |
| (1) securities to be bought or sold? | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| (2) amount of securities to be bought or sold? | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| (3) broker or dealer to be used? | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| (4) commission rates paid? | <input type="checkbox"/> | <input checked="" type="checkbox"/> |

B. Does applicant or a related person suggest brokers to clients? ☒ Yes ☐ No

For each yes answer to A describe on Schedule F any limitations on the authority. For each yes to A(3), A(4) or B, describe on Schedule F the factors considered in selecting brokers and determining the reasonableness of their commissions. If the value of products, research and services given to the applicant or a related person is a factor, describe:

- the products, research and services
- whether clients may pay commissions higher than those obtainable from other brokers in return for those products and services
- whether research is used to service all of applicant's accounts or just those accounts paying for it; and
- any procedures the applicant used during the last fiscal year to direct client transactions to a particular broker in return for product and research services received.

13. Additional Compensation.

Does the applicant or a related person have any arrangements, oral or in writing, where it:

- | | | |
|---|-------------------------------------|-------------------------------------|
| A. is paid cash by or receives some economic benefit (including commissions, equipment or non-research services) from a non-client in connection with giving advice to clients? | Yes | No |
| | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| B. directly or indirectly compensates any person for client referrals? | Yes | No |
| | <input checked="" type="checkbox"/> | <input type="checkbox"/> |

(For each yes, describe the arrangements on Schedule F.)

14. Balance Sheet. Applicant must provide a balance sheet for the most recent fiscal year on Schedule G if applicant:

- has custody of client funds or securities (unless applicant is registered or registering only with the Securities and Exchange Commission); or
- requires prepayment of more than \$500 in fees per client and 6 or more months in advance

Has applicant provided a Schedule G balance sheet?..... ☐ Yes ☒ No

**Schedule F of
Form ADV**

Continuation Sheet for Form ADV Part II

Applicant:
WEALTH PRESERVERS, LLC

SEC File Number:
801-71383

Date:
10/15/2010

(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other schedules.)

1. Full name of applicant exactly as stated in Item 1A of Part I of Form ADV:

WEALTH PRESERVERS, LLC

IRS Empl. Ident. No.:

38-3751337

Item of Form
(identify)

Answer

ITEM 1D

ADVISORY SERVICES AND FEES.

Wealth Preservers, LLC ("the Firm," "we" or "the Adviser") is an investment adviser registered with the State of Illinois. The Firm provides investment supervisory services and creates financial plans for clients. Its services are described below.

INVESTMENT ADVISOR ACCOUNTS.

PRIVATE WEALTH MANAGEMENT

Wealth Preservers, LLC manages individual client portfolios by applying a proprietary analysis system to evaluate and identify those equities, separately managed accounts, options, exchange traded funds, mutual funds or other securities that are best suited to a Client's stated goals and determined risk tolerances. The Firm's Private Wealth Management accounts are discretionary – the Clients will be asked to sign an investment management agreement that includes a limited power of attorney. The agreement allows the Firm to buy or to sell securities it has selected, within the tolerance agreed to by the Client, and in the amounts the Firm deems suited to the agreed upon portfolio structure. By the power of attorney, the Client agrees to allow the Firm to place each such trade without the Client's prior approval.

Fees for portfolio supervisory services will be a percentage of the assets under management, and Clients pay periodic investment management fees. Fees will not be based upon a share of capital gains or capital appreciation of the funds or of any portion of the funds under advisory contract. Fees for services to be performed will not be collected six or more months in advance. Fees will be calculated, accrued and due quarterly in advance based upon the standard annualized rates below.

Fair Market Value of Account	Management Fee
\$100,000 - \$250,000	1.50%
\$250,001 - \$500,000	1.25%
\$500,001 - \$1,000,000	1.00%
\$1,000,001 - \$3,000,000	.85%
\$3,000,001 - \$5,000,000	.75%
\$5,000,001 - \$10,000,001	.50%
\$10,000,001 - \$20,000,000	.25%
\$20,000,000+	Negotiable

The first quarter's management fee, if the account is established on other than the first day of the quarter, will be withdrawn as soon as is practical after the account opening at the custodian, and it will be a pro-rated amount equal to the time the account was invested. Thereafter, the management fee will be calculated on the account's fair market value as of the preceding quarter-end as reported by the account's custodian.

The management fee is tiered. A tiered fee means the applicable rate will be applied to the fair market value in each applicable range of account value. For example, an account with a quarter end value of \$800,000.00 will be charged at an annual rate of \$9,875.00; \$3,750.00 for the first \$250,000.00, \$3,125.00 for the next \$250,000.00 and \$3,000.00 for the remaining \$300,000.00. Adjustments in the fees will be made for deposits or withdrawals during a billing period. Cash balances and investments in money market funds, demand deposit accounts, and certificates of deposit at banks or brokerage firms are covered by the Account and are included in the fee calculations.

Under some circumstances the Firm's fees may be lower than the rate schedule and can be negotiated. Accordingly, rates may vary based on a variety of factors. For example, in determining fees, rates, and

Complete amended pages in full, circle amended items and file with execution page (page 1).

**Schedule F of
Form ADV**

Continuation Sheet for Form ADV Part II

Applicant: WEALTH PRESERVERS, LLC	SEC File Number: 801-71383	Date: 10/15/2010
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(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other schedules.)

1. Full name of applicant exactly as stated in Item 1A of Part I of Form ADV: WEALTH PRESERVERS, LLC	IRS Empl. Ident. No.: 38-3751337
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Item of Form (identify)	Answer															
	<p>minimums, the Firm may aggregate related accounts and, for billing purposes, treat them like one account.</p> <p>The Firm may from time to time unilaterally amend our fees and billing arrangements. Any change will only become effective after thirty (30) days prior written notice.</p> <p>A client may terminate the Investment Management Agreement for any reason at any time and, within the first five business days after signing the contract, without any cost or penalty. Thereafter, the contract may be terminated at any time by giving ten (10) days written notice. Upon termination, fees will be prorated based upon the number of days that services were rendered after the Account’s valuation date.</p> <p>Corporate Retirement Plan</p> <p>For its business clients, the Firm offers Corporate Retirement Plans. The Firm recommends the use of TD Ameritrade Trust Company as the custodian for it open architecture Corporate Retirement Plans. If Client is an employee benefit plan governed by the Employee Retirement Income Security Act of 1974, as amended (“ERISA”), the Investment Management Agreement will be supplemented with an ERISA Addendum.</p> <p>The Firm works with the trustee(s) of the plan to form and facilitate an investment committee, if one is not currently present, in order to assist such in formulating an appropriate Investment Policy Statement, Policies and Procedures (intended to be in compliance with fiduciary standards of care) as well as quarterly investment committee monitoring meetings, all designed to allow the trustees of the plan to administer it in a fiduciary complaint manner.</p> <p>With the Corporate Retirement Plan all sub-transfer agent or shareholder service fees are placed into a separate account to pay for the Plan’s expenses. The Plan’s fees are proportionately withdrawn from participants’ accounts. Unused Plan fees are proportionately returned to participants’ accounts.</p> <p>Fees for portfolio supervisory services will be a percentage of the assets under management that includes an initial setup fee and ongoing investment management fees. Fees will not be based upon a share of capital gains or capital appreciation of the funds or of any portion of the funds under advisory contract. Fees for services to be performed will not be collected six or more months in advance. Fees will be calculated, accrued and due quarterly in advance based upon the standard annualized rates below.</p> <table><tr><th>Fair Market Value of Account</th><th>Initial Setup Fee</th><th>Ongoing Management Fee</th></tr><tr><td>\$1,000,000 - \$5,000,000</td><td rowspan="4">.40% on First \$5 Million</td><td>.60%</td></tr><tr><td>\$5,000,001 - \$10,000,000</td><td>.50%</td></tr><tr><td>\$10,000,001 - \$20,000,000</td><td>.40%</td></tr><tr><td>\$20,000,001 - \$30,000,000</td><td>.30%</td></tr><tr><td>\$30,000,001 +</td><td></td><td>Negotiable</td></tr></table> <p>The initial setup fee will be calculated on the initial fair market value of the account and payable upon initial expected funding of the account at the custodian. The first quarter’s ongoing management fee will be withdrawn as soon as is practical after the account has been funded, as expected, at the custodian, and it will be a pro-rated amount equal to the time the account was invested. Thereafter, the ongoing management fee will be calculated on the Account’s fair market value as of the preceding quarter-end as reported by the account’s custodian.</p> <p>The ongoing management fee is tiered. A tiered fee means the applicable rate will be applied to the fair market value in each applicable range of account value. For example, an account with a quarter end value of \$8,000,000.00 will be charged at an annual rate of \$25,000.00; \$15,000.00 for the first \$3,000,000.00 and</p>	Fair Market Value of Account	Initial Setup Fee	Ongoing Management Fee	\$1,000,000 - \$5,000,000	.40% on First \$5 Million	.60%	\$5,000,001 - \$10,000,000	.50%	\$10,000,001 - \$20,000,000	.40%	\$20,000,001 - \$30,000,000	.30%	\$30,000,001 +		Negotiable
Fair Market Value of Account	Initial Setup Fee	Ongoing Management Fee														
\$1,000,000 - \$5,000,000	.40% on First \$5 Million	.60%														
\$5,000,001 - \$10,000,000		.50%														
\$10,000,001 - \$20,000,000		.40%														
\$20,000,001 - \$30,000,000		.30%														
\$30,000,001 +		Negotiable														

Complete amended pages in full, circle amended items and file with execution page (page 1).

**Schedule F of
Form ADV
Continuation Sheet for Form ADV Part II**

Applicant: WEALTH PRESERVERS, LLC	SEC File Number: 801-71383	Date: 10/15/2010
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(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other schedules.)

1. Full name of applicant exactly as stated in Item 1A of Part I of Form ADV: WEALTH PRESERVERS, LLC	IRS Empl. Ident. No.: 38-3751337
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Item of Form (identify)	Answer
	<p>\$20,000.00 for the next \$5,000,000.00. Cash balances and investments in money market funds, demand deposit accounts, and certificates of deposit at banks or brokerage firms are covered by the Account and are included in the fee calculations.</p> <p>Under some circumstances the Firm's fees may be lower than the rate schedule and can be negotiated. Accordingly, rates may vary based on a variety of factors. For example, in determining fees, rates, and minimums, the Firm may aggregate related accounts and, for billing purposes, treat them like one account.</p> <p>The Firm may from time to time unilaterally amend our fees and billing arrangements. Any change will only become effective after thirty (30) days prior written notice.</p> <p>A Client may terminate the Investment Management Agreement for any reason at any time and, within the first five business days after signing the contract, without any cost or penalty. Thereafter, the contract may be terminated at any time by giving ten (10) days written notice. Upon termination, fees will be prorated based upon the number of days that services were rendered after the Account's valuation date.</p> <p><u>FINANCIAL PLANNING SERVICES.</u></p> <p>Financial planning consultations are provided to interested clients to evaluate their financial situation, goals and risk tolerance. The Firm offers three types of financial plans a Basic Financial Plan, a Full Financial and Estate Plan and an Hourly Financial Planning Consultation.</p> <p>Basic Financial Plan</p> <p>The Firm will collect pertinent data, conduct personal interviews with the Client, and present a written financial plan to the Client. The initial planning fee is \$1500.00 and the services include:</p> <ol style="list-style-type: none"> 1. Identification of goals and objectives 2. Collection and assessment of all relevant data 3. Identification of financial problems and their potential solutions 4. Preparation of financial plan with specific recommendations (Cash Flow, Net worth, College Education, Retirement, Portfolio Allocation, Survivor Needs, Disability Needs, LTC) 5. Implementation of recommendations 6. Periodic reviews and update <p>Accomplished through several meetings over a two (2) to three (3) month timeframe. Upon implementation the Client is given a total of two hours of included consultation time and thereafter is charged the hourly planning rate unless paying under a retainer. The retainer fee is \$375 per quarter and includes unlimited additional telephone and email consultation.</p> <p>Broad Based Financial Plan</p> <p>The Broad Based Financial Plan includes all activities in the Basic Financial Plan from above along with the following services:</p> <ol style="list-style-type: none"> 1. Portfolio Analysis 2. Tax Planning in conjunction with the Client's CPA 3. Estate Planning 4. Charitable Planning

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**Schedule F of
Form ADV**

Continuation Sheet for Form ADV Part II

Applicant: WEALTH PRESERVERS, LLC	SEC File Number: 801-71383	Date: 10/15/2010
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(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other schedules.)

1. Full name of applicant exactly as stated in Item 1A of Part I of Form ADV: WEALTH PRESERVERS, LLC	IRS Empl. Ident. No.: 38-3751337
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Item of Form (identify)	Answer
	<p>Adviser will collect the pertinent data, conduct personal interviews with the Client, and present a full written financial and basic estate plan to the Client. The process typically takes approximately three (3) to four (4) months. The Broad Based Financial Plan includes four (4) quarterly meetings to be held during the Client's first year with the Firm. The planning fee for this service is \$2500, which includes the preparation of the written financial plan and the Client's first three quarterly meetings. Thereafter, the Clients Broad Based Financial Plan is reported and measured for adherence, along with suggestions for correction, quarterly at the cost of \$500.00 per quarter. The initial Broad Based Financial Plan fee is contained in the Financial Planning Agreement.</p> <p>Hourly Financial Planning Consultation The Firm offers hourly Financial Planning Consultations at a rate of \$250 per hour. Hourly Financial Planning Consultations are offered when 1) the Client's needs do not coincide with the Basic Financial Plan or the Broad Based Financial Plan, 2) the complexity of the Client's situation and planning needs are above the allotted times of the Basic Financial Plan or the Broad Based Financial Plan, and 3) the scope of the project does not fit into either the Basic Financial Plan or the Broad Based Financial Plan because the timing could be ongoing. The minimum Hourly Financial Planning Consultation fee is \$250. The fees vary depending upon the complexity of the Client's financial situation, the estimate of hours involved, preparation and research, and the specified areas. The Firm will provide an estimated fee in the written agreement for services.</p> <p>Fees for all financial planning services are agreed upon in advance in writing and due at that time. For prepaid fees in excess of \$500.00, services will be completed within six months of the date fees are received.</p> <p>A Client may cancel all financial planning service agreements for any reason during the first five (5) days from the date of signing the agreement and will receive a refund of 100% of all fees paid without cost or penalty. To cancel the agreement, a Client must notify the Firm and return any materials received to that date. After five (5) days if a Client cancels, any prepaid fees will be refunded on a prorated basis based upon the number of hours worked.</p> <p>Clients are not obligated to follow the Firm's recommendations or to pursue the recommendations through it.</p> <p><u>ITEM 3L</u> <u>TYPES OF INVESTMENTS</u> In addition to the securities list in Item 3 of the ADV Part 2, the Firm also offers advice on exchange traded funds and tenants-in-common interests. The Client should feel free to ask questions regarding these or any investments.</p> <p><u>ITEM 4(B)(8)</u> <u>METHODS OF ANALYSIS, SOURCES OF INFORMATION, AND INVESTMENT STRATEGIES</u> In addition to the research items indicated in its ADV Part 2, the Adviser has developed a proprietary software system that uses a rules base algorithm based on technical market and securities data.</p> <p><u>ITEM 5</u> <u>EDUCATION AND BUSINESS STANDARDS</u> With limited exceptions, the Firm generally requires that an investment adviser representative of the Firm have passed the Series 65 examinations and obtained a university degree or equivalent business experience. The Firm also requires its investment adviser representatives to be registered in their appropriate jurisdictions.</p>

Complete amended pages in full, circle amended items and file with execution page (page 1).

**Schedule F of
Form ADV**

Continuation Sheet for Form ADV Part II

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Item of Form (identify)	Answer
<u>ITEM 6</u>	<p><u>EDUCATION AND BUSINESS BACKGROUND</u></p> <p>Allen Bronton – Managing Member Born: 1963 Education: Southern Illinois University Business Background: May 2006 – Present – <i>Wealth Preservers, LLC</i> – Owner/Managing Member – Group Health and Employee Benefit Plans May 2006 – Present – <i>Cambridge Investment Research, Inc.</i> – Registered Representative Oct. 1991 – May 2006 – <i>Financial Network Investment Corporation</i> – Senior Wealth Manager 1987 – Present – Life, Accident & Health Insurance Agent</p>
<u>ITEM 7(A)(B)(C).</u>	<p><u>OTHER BUSINESS ACTIVITIES</u></p> <p>The Firm is a licensed insurance agency in the state of Illinois. It specializes in the sale of life insurance, group health and employee benefit plans. Clients may be solicited for sales by the Firm. This causes a conflict of interest because the Firm receives a commission for these services, which is separate from the investment management and financial planning fees outlined above. The Firm attempts to mitigate the conflict of interest to the best of its ability by placing the Clients interests ahead of its own through its fiduciary duty. In addition to its fiduciary duty, the Firm created a code of ethics, policies and procedures to mitigate the conflict of interest.</p> <p>Allen Bronton, managing member, is a registered representative of Cambridge Investment Research, Inc. He is also a licensed insurance agent who sells insurance products for a sales commission. Clients may be solicited for sales by Mr. Bronton. This causes a conflict of interest because he receives a commission for these services, which is separate from the investment management and financial planning fees outlined above. He attempts to mitigate the conflict of interest to the best of his ability by placing the Clients interests ahead of his own through his fiduciary duty. In addition to his fiduciary duty, the Firm created a code of ethics, policies and procedures to mitigate the conflict of interest.</p>
<u>ITEM 8(C)(1),(9) & (10)</u>	<p><u>Other Financial Industry Activities or Affiliations</u></p> <p>Allen Bronton, managing member, is a registered representative of Cambridge Investment Research, Inc. He is also a licensed insurance agent who sells insurance products for a sales commission. Clients may be solicited for sales by Mr. Bronton. This causes a conflict of interest because he receives a commission for these services, which is separate from the investment management and financial planning fees outlined above. He attempts to mitigate the conflict of interest to the best of his ability by placing the Clients interests ahead of his own through his fiduciary duty. In addition to his fiduciary duty, the Firm created a code of ethics, policies and procedures to mitigate the conflict of interest.</p> <p>Mr. Bronton is also an investment adviser representative with Cambridge Investment Advisors, Inc. Through this affiliation he acts as a pension consult. Clients may be solicited for sales by Mr. Bronton. This causes a conflict of interest because he receives a compensation for these services, which is separate from the investment management and financial planning fees outlined above. He attempts to mitigate the conflict of interest to the best of his ability by placing the Clients interests ahead of his own through his fiduciary duty. In addition to his fiduciary duty, the Firm created a code of ethics, policies and procedures to mitigate the conflict of interest.</p>

Complete amended pages in full, circle amended items and file with execution page (page 1).

**Schedule F of
Form ADV**

Continuation Sheet for Form ADV Part II

Applicant: WEALTH PRESERVERS, LLC	SEC File Number: 801-71383	Date: 10/15/2010
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<u>ITEM 9. CODE OF ETHICS</u>	<u>PARTICIPATION OR INTEREST IN CLIENT TRANSACTIONS</u> The Firm's Code of Ethics establishes ideals for ethical conduct upon fundamental principles of openness, integrity, honesty, and trust. The Firm will provide a copy of our Code of Ethics to any client or prospective client upon request.	
<u>ITEM 9E</u>	On occasion, Allen Brnton may, from time to time, buy or sell for his own accounts securities that are the same as, similar to, or the opposite of those that he recommends to his clients for purchase or sale. Differences can arise due to variations in personal goals, investment horizons, risk tolerance, and the timing of purchases and sales. Additionally, Mr. Brnton attempts to mitigate the conflict of interest to the best of his ability through the enactment of the firm's code of ethics, trading policies, and his fiduciary responsibilities. In all situations the Firm and Mr. Brnton will act as a fiduciary. All associates of the Firm, including Mr. Brnton, are aware of their fiduciary duty to their Clients and the prohibitions against the use of any insider information. Records of all associates' proprietary trading activities will be kept by the firm, available to regulators to review on the premises.	
<u>ITEM 10</u>	<u>CONDITIONS FOR MANAGING ACCOUNTS</u> The Firm requires a Client to have a minimum account size of \$100,000.00. The Firm may aggregate related accounts in the same household in determining whether the account minimum has been met. Minimums may be negotiated, reduced or waived for individuals or retirement plans that appear to have the ability to make annual or other contributions necessary to meet this minimum threshold, or as an accommodation to existing clients	
<u>ITEM 11A</u>	<u>REVIEW OF ACCOUNTS</u> <u>REVIEWS:</u> Mr. Brnton reviews the general holdings of the Firm's Client accounts on a quarterly basis. He also reviews Client statements from the Client's custodian on a quarterly basis. In addition to these reviews, Mr. Brnton meets with Clients approximately four times per year to discuss and review their accounts. Additional reviews are conducted periodically depending on market conditions. <u>REVIEWERS:</u> Mr. Brnton reviews all accounts.	
<u>ITEM 11B</u>	<u>REPORTS:</u> The Firm prepares reports for Clients who chose Basic Financial Planning or Full Financial and Basic Estate Planning. Clients who chose an Hourly Financial Planning Consultation may or may not receive a report. Private Wealth Management and Corporate Retirement Plan Clients have an initial review with Mr. Brnton that includes a prepared report. Thereafter, Clients receive quarterly reports through the Firm's secure online Client Portal. Clients also receive monthly statements from TD Ameritrade, its recommended custodian or quarterly statements from other qualified custodians that may be utilized for Corporate Retirement Plans.	
<u>ITEM 12A(1) & (2).</u>	<u>INVESTMENT OR BROKERAGE DISCRETION</u> <u>DISCRETIONARY AUTHORITY.</u> As previously noted in the description of services, all Clients who desire to use the firm's investment supervisory services sign a Client investment management agreement that includes a limited power of attorney allowing the firm limited discretionary power over the account. In discretionary accounts, the Firm will be allowed the power to place trades, buy or sell securities of any type and in amounts it deems to be appropriate for the account, without first obtaining the Client's consent to each trade. Directions will be given to the account custodian to complete the transaction. Clients must use an independent custodian. The Firm does not, and cannot, have custody of Clients' assets. <u>RECOMMENDED BROKERAGE.</u> When we recommend a brokerage, we will seek custodians who offer competitive fees together with reliable services. A Client's choice of another custodian is accepted if proven feasible.	

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	<p>The Firm has and continues to recommend TD Ameritrade for custodian services. The Firm recognizes its fiduciary responsibility in negotiating custodian fees, assuring best execution practices and adequate investment availability/inventory on behalf of its clients. The Firm does not receive compensation with respect to execution of trades. In some instances, a Client may incur a ticket charge for the sale and purchase of securities.</p> <p>Some Clients may direct the Firm to a specific custodian to execute securities transactions for their accounts. When directed, the Firm may not be able to effectively negotiate lower custodian fees. This can result in substantially higher fees or charges in one or more transactions for the clients' accounts.</p> <p>NOTE: Clients may be able to obtain lower custodian fees from other brokers, and the value of products, research and services given to the Firm is not a factor in determining the selection of custodians or the reasonableness of their fees.</p>	
<u>ITEM 13A</u>	<p><u>Additional Compensation</u></p> <p>The Firm receives additional compensation from TD Ameritrade in the form of research reports, real time quotes, software and performance reporting. This additional compensation creates a conflict of interest. The Firm attempts to mitigate this conflict of interest to the best of its ability through its fiduciary duty to its Clients.</p>	
<u>ITEM 13B</u>	<p><u>Third-Party Solicitors for the Firm</u></p> <p>The Firm has entered into an agreement with each Financial Services Firm pursuant to which the Firm will pay the Financial Services Firm a portion of the Firm's investment management fee for the Financial Services Firms solicitation and referral services. In turn, the Financial Services Firm will share a portion of the fees with its Representatives. The Fee charged to a client who is referred by a Financial Services Firm will be no different than the fee the client would have been charged if the client had not been referred by a Financial Services Firm.</p> <p>The Firm is aware of the special considerations promulgated pursuant to Rule 206(4)-3 under the Investment Advisers Act of 1940, and any comparable state regulations. As such, appropriate disclosures shall be made to the Firm's clients, all required written records will be maintained, and all applicable laws and regulations will be observed. A Solicitor's Disclosure Document will be provided to each client by the Representative, as required under the Rule, and the Firm will retain the clients signed acknowledgement of receiving the Firms Form ADV Part II and the Solicitors Disclosure Document.</p>	
<u>ADDITIONAL INFORMATION</u>	<p><u>ADDITIONAL INFORMATION</u></p> <p><u>Proxy Voting</u></p> <p>Unless otherwise mutually agreed in writing, the Firm will not be responsible for responding to proxies that are solicited with respect to annual or special meetings of shareholders of securities held in Clients' accounts. Proxy solicitation materials will be forwarded to Clients for response and voting.</p> <p><u>Investment Risks and Rewards</u></p> <p>All investment bears different types and degrees of risk. While the Firm's investment strategies are designed to provide appropriate investment diversification, some investments have significantly greater risks than others. Obtaining higher rates of return on investments entails accepting higher levels of risk. The Firm's investment strategies seek to balance risks and rewards to achieve investment objectives. Clients need to ask questions about risks they do not understand. The Firm would be pleased to discuss them.</p>	

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	<p>The Firm strives to render its best judgment on behalf of its clients. Still, the Firm cannot assure or guarantee Clients that investments will be profitable or assure that no losses will occur in an investment portfolio. Past performance is an important consideration with respect to any investment or investment adviser but is not a reliable predictor of future performance. The Firm continuously strives to provide outstanding long-term investment performance, but many economic and market variables beyond its control can affect the performance of an investment portfolio.</p> <p><u>PRIVACY POLICY</u></p> <p>Wealth Preservers, LLC is committed to safeguarding the confidential information of our clients because mutual trust is essential to the advisor-client relationship. Personal privacy is of our utmost importance to our clients. The following is our policy that describes how we treat your personal information. We welcome any comments or concerns.</p> <p>INFORMATION WE COLLECT FROM YOU</p> <p>We collect non-public information from you, our client, to assist us in giving you appropriate investment advice. We collect non-public information about you from the following sources:</p> <ul style="list-style-type: none"> • Information we receive from you on customer information/suitability forms, custody firm applications, clearing firm documents, annuity applications, or other forms, such as your name, address, date, and location of birth, marital status, gender, social security number, medical information, beneficiary information, investment goals, etc. • Information about your transactions with us or others such as investment amounts and types, deposit histories, tax information, accounting information, etc. <p>THIRD PARTIES WITH WHOM WE MAY SHARE INFORMATION</p> <p>We do not disclose non-public information about our clients or former clients to anyone except as otherwise permitted by law. For example:</p> <ul style="list-style-type: none"> • We may provide non-public information that we collect to nonaffiliated persons or entities involved in the underwriting, processing and servicing of securities products and services requested by you either directly with Wealth Preservers, LLC or as received on your behalf from our network of Investment Adviser Representatives. We will not provide this information to any other nonaffiliated third parties unless we have a written agreement that requires such third party to protect the confidentiality of this information. • We may have to provide the above described non-public information that we collect to authorized persons or entities to comply with subpoena or summons by federal, state or local authorities and to respond to judicial process or regulatory authorities having jurisdiction over our Firm for examination, compliance or other purposes as required by law. <p>CONFIDENTIALITY AND SECURITY OF YOUR NON-PUBLIC PERSONAL INFORMATION</p> <p>We take all reasonable steps to assure the privacy of client information. For example:</p> <ul style="list-style-type: none"> • We restrict access to non-public personal information about you to only those persons who need to

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	<p>know about that information in the normal course of processing advisory related products and services for you.</p> <ul style="list-style-type: none">• We maintain physical, electronic, and procedural safeguards that comply with state and federal standards to guard your non-public personal information.• If we become aware that an item of personal information may be materially inaccurate, we will make a reasonable effort to re-verify its accuracy and correct any error as appropriate. <p>INFORMATION ABOUT FORMER CUSTOMERS</p> <p>Non-public information about our former customers is maintained by Wealth Preservers, LLC on a confidential and secure basis. If any such disclosure were made, it would be for reasons and under conditions described in this notice. We do not disclose any non-public personal information about our former customers to anyone except as permitted or required by law.</p>	

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	<p style="text-align: center;"><u>ACKNOWLEDGEMENT OF RECEIPT</u></p> <p style="text-align: center;">Of the Part II and Schedule F of this Form ADV</p> <p>By signing below, the client(s) do certify that s/he (they) has (have) received and read carefully this disclosure brochure and had the opportunity to ask such questions as may have occurred in reading and/or discussing the services provided and the costs of those service.</p> <p>Signed: _____ Date: _____</p> <p>Signed: _____ Date: _____</p>	

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