

Uniform Application for Investment Adviser Registration

OMB Approval

OMB Number: 3235-0049

Expires: February 28, 2011

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Name of Investment Adviser:

Wildwood Wealth Management, LLC

Address: (Number and Street)

105 5th Ave South, Suite 300

(City)

Minneapolis

(State)

MN

(Zip Code)

55401

Area Code: Telephone Number:

(612) 338-0677

This part of Form ADV gives information about the investment adviser and its business for the use of clients.
The information has not been approved or verified by any government authority.

Table of Contents

<u>Item Number</u>	<u>Item</u>	<u>Page</u>
1	Advisory Services and Fees	2
2	Types of Clients	2
3	Types of Investments	3
4	Methods of Analysis, Sources of Information and Investment Strategies .	3
5	Education and Business Standards	4
6	Education and Business Background	4
7	Other Business Activities	4
8	Other Financial Industry Activities or Affiliations	4
9	Participation or Interest in Client Transactions	5
10	Conditions for Managing Accounts	5
11	Review of Accounts	5
12	Investment or Brokerage Discretion	6
13	Additional Compensation	6
14	Balance Sheet	6
	Continuation Sheet	Schedule F
	Balance Sheet, if required	Schedule G

(Schedules A,B,C,D and E are included with Part 1 of this Form, for the use of regulatory bodies, and are not distributed to clients.)

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

FORM ADV**Part II - Page 2**

Applicant:

SEC File Number:

Date:

Wildwood Wealth Management, LLC**801-69320****March 23, 2010**

Definitions for Part II

Related person - Any officer, director or partner of applicant or any person directly or indirectly controlling, controlled by, or under common control with the applicant, including any non-clerical, non-ministerial employee.

Investment Supervisory Services - Giving continuous investment advice to a client (or making investments for the client) based on the individual needs of the client. Individual needs include, for example, the nature of other client assets and the client's personal and family obligations.

1. **A. Advisory Services and Fees.** (check the applicable boxes)

For each type of service provided, state the approximate % of total advisory billings from that service.

(See instruction below.)

Estimated

Applicant:		
<input checked="" type="checkbox"/>	(1)	Provides investment supervisory services 50%
<input checked="" type="checkbox"/>	(2)	Manages investment advisory accounts not involving investment supervisory services 10%
<input checked="" type="checkbox"/>	(3)	Furnishes investment advice through consultations not included in either service described above 10%
<input type="checkbox"/>	(4)	Issues periodicals about securities by subscription %
<input type="checkbox"/>	(5)	Issues special reports about securities not included in any service described above %
<input type="checkbox"/>	(6)	Issues, not as part of any service described above, any charts, graphs, formulas, or other devices which clients may use to evaluate securities %
<input checked="" type="checkbox"/>	(7)	On more than an occasional basis, furnishes advice to clients on matters not involving securities 30%
<input type="checkbox"/>	(8)	Provides a timing service %
<input type="checkbox"/>	(9)	Furnishes advice about securities in any manner not described above %

(Percentages should be based on applicant's last fiscal year. If applicant has not completed its first fiscal year, provide estimates of advisory billings for that year and state that the percentages are estimates.)

B. Does the applicant call any of the services it checked above financial planning or some similar term? ☒ Yes ☐ No

C. Applicant offers investment advisory services for: (check all that apply):

- | | |
|--|---|
| <input checked="" type="checkbox"/> (1) A percentage of assets under management | <input type="checkbox"/> (4) Subscription fees |
| <input checked="" type="checkbox"/> (2) Hourly charges | <input checked="" type="checkbox"/> (5) Commissions |
| <input checked="" type="checkbox"/> (3) Fixed fees (not including subscription fees) | <input type="checkbox"/> (6) Other |

D. For each checked box in A above, describe on Schedule F:

- the services provided, including the name of any publication or report issued by the adviser on a subscription basis or for a fee
- applicant's basic fee schedule, how fees are charged and whether its fees are negotiable
- when compensation is payable, and if compensation is payable before service is provided, how a client may get a refund or may terminate an investment advisory contract before its expiration date

2. **Types of Clients** - Applicant generally provides investment advice to: (check those that apply)

- | | |
|---|--|
| <input checked="" type="checkbox"/> A. Individuals | <input checked="" type="checkbox"/> E. Trusts, estates, or charitable organizations |
| <input type="checkbox"/> B. Banks or thrift institutions | <input checked="" type="checkbox"/> F. Corporations or business entities other than those listed above |
| <input type="checkbox"/> C. Investment companies | <input type="checkbox"/> G. Other (describe on Schedule F) |
| <input checked="" type="checkbox"/> D. Pension and profit sharing plans | |

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3. Types of Investments. Applicant offers advice on the following: (check those that apply)

- | | |
|--|--|
| <input checked="" type="checkbox"/> A. Equity Securities | <input checked="" type="checkbox"/> H. United States government securities |
| <input checked="" type="checkbox"/> (1) exchange-listed securities | |
| <input checked="" type="checkbox"/> (2) securities traded over-the-counter | <input type="checkbox"/> I. Options contracts on: |
| <input checked="" type="checkbox"/> (3) foreign issues | <input checked="" type="checkbox"/> (1) securities |
| | <input type="checkbox"/> (2) commodities |
| <input checked="" type="checkbox"/> B. Warrants | |
| <input checked="" type="checkbox"/> C. Corporate debt securities | <input type="checkbox"/> J. Futures contracts on: |
| (other than commercial paper) | <input type="checkbox"/> (1) tangibles |
| | <input type="checkbox"/> (2) intangibles |
| <input checked="" type="checkbox"/> D. Commercial paper | <input type="checkbox"/> K. Interests in partnerships investing in: |
| <input checked="" type="checkbox"/> E. Certificates of deposit | <input checked="" type="checkbox"/> (1) real estate |
| <input checked="" type="checkbox"/> F. Municipal securities | <input checked="" type="checkbox"/> (2) oil and gas interests |
| | <input type="checkbox"/> (3) other (explain on Schedule F) |
| <input type="checkbox"/> G. Investment company securities | <input checked="" type="checkbox"/> L. Other (explain on Schedule F) |
| <input checked="" type="checkbox"/> (1) variable life insurance | |
| <input checked="" type="checkbox"/> (2) variable annuities | |
| <input checked="" type="checkbox"/> (3) mutual fund shares | |

4. Methods of Analysis, Sources of Information, and Investment Strategies.**A.** Applicant's security analysis methods include: (check those that apply)

- | | |
|---|---|
| (1) <input checked="" type="checkbox"/> Charting | (4) <input checked="" type="checkbox"/> Cyclical |
| (2) <input checked="" type="checkbox"/> Fundamental | (5) <input checked="" type="checkbox"/> Other (explain on Schedule F) |
| (3) <input checked="" type="checkbox"/> Technical | |

B. The main sources of information applicant uses include: (check those that apply)

- | | |
|---|---|
| (1) <input checked="" type="checkbox"/> Financial newspapers and magazines | (5) <input type="checkbox"/> Timing services |
| (2) <input type="checkbox"/> Inspections of corporate activities | (6) <input checked="" type="checkbox"/> Annual reports, prospectuses, filings with the Securities and Exchange Commission |
| (3) <input checked="" type="checkbox"/> Research materials prepared by others | (7) <input checked="" type="checkbox"/> Company press releases |
| (4) <input checked="" type="checkbox"/> Corporate rating services | (8) <input checked="" type="checkbox"/> Other (explain on Schedule F) |

C. The investment strategies used to implement any investment advice given to clients include: (check those that apply)

- | | |
|--|---|
| (1) <input checked="" type="checkbox"/> Long term purchases
(securities held at least a year) | (5) <input checked="" type="checkbox"/> Margin transactions |
| (2) <input checked="" type="checkbox"/> Short term purchases
(securities sold within a year) | (6) <input checked="" type="checkbox"/> Option writing, including covered options,
uncovered options or spreading strategies |
| (3) <input checked="" type="checkbox"/> Trading (securities sold within 30 days) | (7) <input type="checkbox"/> Other (explain on Schedule F) |
| (4) <input checked="" type="checkbox"/> Short sales | |

FORM ADV**Part II - Page 4**

Applicant:

Wildwood Wealth Management, LLC

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801-69320

Date:

March 23, 2010

5. Education and Business Standards.

Are there any general standards of education or business experience that applicant requires of those involved in determining or giving investment advice to clients?

Yes No
☒ ☐

(If yes, describe these standards on Schedule F.)

6. Education and Business Background.

For:

- each member of the investment committee or group that determines general investment advice to be given to clients, or
- if the applicant has no investment committee or group, each individual who determines general investment advice given to clients (if more than five, respond only for their supervisors)
- each principal executive officer of applicant or each person with similar status or performing similar functions.

On Schedule F, give the:

- | | |
|-----------------|--|
| • name | • formal education after high school |
| • year of birth | • business background for the preceding five years |

7. Other Business Activities. (check those that apply)

- ☒ A. Applicant is actively engaged in a business other than giving investment advice.
- ☒ B. Applicant sells products or services other than investment advice to clients.
- ☐ C. The principal business of applicant or its principal executive officers involves something other than providing investment advice.

(For each checked box describe the other activities, including the time spent on them, on Schedule F.)

8. Other Financial Industry Activities or Affiliations. (check those that apply)

- ☐ A. Applicant is registered (or has an application pending) as a securities broker-dealer.
- ☐ B. Applicant is registered (or has an application pending) as a futures commission merchant, commodity pool operator or commodity trading adviser.
- C. Applicant has arrangements that are material to its advisory business or its clients with a related person who is a:
- | | |
|--|--|
| <input type="checkbox"/> (1) broker-dealer | <input type="checkbox"/> (7) accounting firm |
| <input type="checkbox"/> (2) investment company | <input type="checkbox"/> (8) law firm |
| <input type="checkbox"/> (3) other investment adviser | <input type="checkbox"/> (9) insurance company or agency |
| <input type="checkbox"/> (4) financial planning firm | <input type="checkbox"/> (10) pension consultant |
| <input type="checkbox"/> (5) commodity pool operator, commodity trading adviser or futures commission merchant | <input checked="" type="checkbox"/> (11) real estate broker or dealer |
| <input type="checkbox"/> (6) banking or thrift institution | <input type="checkbox"/> (12) entity that creates or packages limited partnerships |

(For each checked box in C, on Schedule F identify the related person and describe the relationship and the arrangements.)

- D. Is applicant or a related person a general partner in any partnership in which clients are solicited to invest?
- Yes No
☐ ☒

(If yes, describe on Schedule F the partnerships and what they invest in.)

FORM ADV**Part II - Page 5**

Applicant:

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801-69320

Date:

March 23, 2010**9. Participation or Interest in Client Transactions.**

Applicant or a related person: (check those that apply)

- ☐ A. As principal, buys securities for itself from or sells securities it owns to any client.
- ☒ B. As broker or agent effects securities transactions for compensation for any client.
- ☐ C. As broker or agent for any person other than a client effects transactions in which client securities are sold to or bought from a brokerage customer.
- ☐ D. Recommends to clients that they buy or sell securities or investment products in which the applicant or a related person has some financial interest.
- ☒ E. Buys or sells for itself securities that it also recommends to clients.

(For each box checked, describe on Schedule F when the applicant or a related person engages in these transactions and what restrictions, internal procedures, or disclosures are used for conflicts of interest in those transactions.)

Describe, on Schedule F, your code of ethics, and state that you will provide a copy of your code of ethics to any client or prospective client upon request

- 10. Conditions for Managing Accounts.** Does the applicant provide investment supervisory services, manage investment advisory accounts or hold itself out as providing financial planning or some similarly termed services *and* impose a minimum dollar value of assets or other conditions for starting or maintaining an account?

Yes No
☒ ☐

(If yes, describe on Schedule F.)

- 11. Review of Accounts.** If applicant provides investment supervisory services, manages investment advisory accounts, or holds itself out as providing financial planning or some similarly termed services:

- A. Describe below the reviews and reviewers of the accounts. **For reviews**, include their frequency, different levels, and triggering factors. **For reviewers**, include the number of reviewers, their titles and functions, instructions they receive from applicant on performing reviews, and number of accounts assigned each.

See Schedule F.

- B. Describe below the nature and frequency of regular reports to clients on their accounts.

See Schedule F.

Applicant:

Wildwood Wealth Management, LLC

SEC File Number:

801-69320

Date:

March 23, 2010

12. Investment or Brokerage Discretion.

A. Does applicant or any related person have authority to determine, without obtaining specific client consent, the:

(1) securities to be bought or sold?

Yes No

☐ ☒

(2) amount of the securities to be bought or sold ?

Yes No

☐ ☒

(3) broker or dealer to be used ?

Yes No

☐ ☒

(4) commission rates paid?

Yes No

☐ ☒

B. Does applicant or a related person suggest brokers to clients?

Yes No

☒ ☐

For each yes answer to A describe on Schedule F any limitations on the authority. For each yes to A(3), A(4) or B, describe on Schedule F the factors considered in selecting brokers and determining the reasonableness of their commissions.

If the value of products, research and services given to the applicant or a related person is a factor, describe:

- the products, research and services
- whether clients may pay commissions higher than those obtainable from other brokers in return for those products and services
- whether research is used to service all of applicant's accounts or just those accounts paying for it; and
- any procedures the applicant used during the last fiscal year to direct client transactions to a particular broker in return for products and research services received.

13. Additional Compensation.

Does the applicant or a related person have any arrangements, oral or in writing, where it:

A. is paid cash by or receives some economic benefit (including commissions, equipment or non-research services) from a non-client in connection with giving advice to clients?

Yes No

☒ ☐

B. directly or indirectly compensates any person for client referrals?

Yes No

☒ ☐

(For each yes, describe the arrangements on Schedule F.)

14. Balance Sheet. Applicant must provide a balance sheet for the most recent fiscal year on Schedule G if applicant:

- has custody of client funds or securities (unless applicant is registering only with the Securities and Exchange Commission); or
- requires prepayment of more than \$500 in fees per client and 6 or more months in advance

Has applicant provided a Schedule G balance sheet?

Yes No

☐ ☒

Schedule F of

Form ADV

Continuation Sheet for Form ADV Part II

Applicant:
Wildwood Wealth
Management, LLC

File Number:

801-69320

Date:

03/23/10

(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other schedules.)

1.	Full name of applicant exactly as stated in Item 1A of Part I of Form ADV: Wildwood Wealth Management, LLC	IRS Empl. Ident. No.: 41-2021007
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Item of Form (identify)	Answer
ADV Part II Item 1 Item 1(D)	<p>ADVISORY SERVICES AND FEES:</p> <p><u>Descriptions of all items checked in box A</u></p> <p>Wildwood Wealth Management, LLC (WWM) is an investment adviser registered with the United States Securities and Exchange Commission ("SEC"). WWM offers personalized financial planning and investment advisory services to individuals, pension and profit sharing plans, trusts, estates, charitable organizations, corporations and other business entities. The firm's services and fee arrangements are described in the following pages.</p> <p>FINANCIAL PLANNING</p> <p>Financial planning services will typically involve providing a variety of services, principally advisory in nature, to individuals regarding the management of their financial resources based upon an analysis of individual client needs. We first conduct a complimentary initial consultation during which pertinent information about the client's financial circumstances and objectives is collected.</p> <p>The financial planning process will involve the collection, organization and assessment of all relevant client data as well as identification of the client's financial concerns, goals and objectives. The primary objective of this process is to allow WWM to assist the client in developing a strategy for the successful management of income, assets and liabilities in meeting the client's financial goals and objectives.</p> <p>Financial plans are based on the client's financial situation at the time the plan is presented and are based on financial information disclosed by the client to WWM. The firm cannot offer any guarantees or promises that the client's financial goals and objectives will be met. Further, the client must continue to review any plan and update the plan based upon changes in the client's financial situation, goals, or objectives or changes in the economy. As the client's financial situation, goals, objectives, or needs change, client must notify WWM promptly.</p> <p>The comprehensive financial planning undertaken on behalf of its clients will generally, but may not, include the following:</p> <p style="text-align: center;">ASSET AND BUSINESS VALUATION DETERMINATION OF PRESENT NET WORTH TAX LIABILITY ANALYSIS REAL ESTATE EXCHANGE, SALE, OR CONVERSION CASH FLOW ANALYSIS ASSET ALLOCATION EDUCATION PLANNING RETIREMENT PLANNING LIFE INSURANCE REVIEW ESTATE ANALYSIS</p>

Complete amended pages in full, circle amended items and file with execution page (page 1).

Schedule F of

Form ADV

Continuation Sheet for Form ADV Part II

Applicant:
Wildwood Wealth
Management, LLC

File Number:

801-69320

Date:

03/23/10

(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other schedules.)

1.	Full name of applicant exactly as stated in Item 1A of Part I of Form ADV: Wildwood Wealth Management, LLC	IRS Empl. Ident. No.: 41-2021007
Item of Form (identify)	Answer	
	<p>REVIEW OF LEGAL DOCUMENTS ASSET PROTECTION-NEEDS ANALYSIS TAX REDUCTION AND ONGOING CONTROL TRUST ADMINISTRATION INCOME GENERATION RISK MANAGEMENT ESOPS CHARITABLE OBJECTIVES AND PLANNING STOCK OPTION STRATEGIES SMALL BUSINESS SUCCESSION PLANNING REVIEW OF BUY/SELL AGREEMENTS ASSET PROTECTION</p> <p>FEEES FOR FINANCIAL PLANNING SERVICES</p> <p>COMPREHENSIVE FINANCIAL PLANNING FEE: Fees will be calculated based on the client's circumstances and the issues to be addressed. Comprehensive financial planning fees are typically billed in one of two ways at the discretion of WWM or by mutual agreement with the client:</p> <p>Clients may engage the firm under a retainer arrangement whereby WWM will provide various planning services on the basis of a fee to be paid over a particular period of time, typically a one or two year period. The client will typically pay some portion of the total retainer fee at the beginning of the engagement with subsequent payments on a quarterly basis.</p> <p>Alternatively, clients may retain WWM at an hourly rate of \$250 per hour.</p> <p>Other arrangements may be made at the agreement of the Client and WWM.</p> <p>Clients who wish to receive financial planning services for a period of time beyond the initial term of the retainer agreement may contract for these services on a case-by-case basis.</p> <p>PORTFOLIO REVIEW: A comprehensive review of a client's investment portfolio may be done on a set schedule (i.e., quarterly) or at the specific request of the client. Examples of this type of service are: review current assets and their allocation; review of current or proposed investments; on-going investment allocation recommendations; and the review of retirement plan distribution options.</p> <p>Fees for Portfolio Review Services may be based on either of the following arrangements as determined by mutual agreement of the client and WWM:</p> <p>A. PERCENTAGE OF ASSETS: An annual maximum fee of 2.00% of the assets under review; or</p> <p>B. HOURLY FEES: Two hundred fifty dollars (\$250) per hour for the planner's time. The minimum fee charged is for one hour. Hourly fees are billed in arrears although a deposit may be required.</p>	

Complete amended pages in full, circle amended items and file with execution page (page 1).

Schedule F of

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Applicant:
Wildwood Wealth
Management, LLC

File Number:

801-69320

Date:

03/23/10

(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other schedules.)

1. Full name of applicant exactly as stated in Item 1A of Part I of Form ADV: Wildwood Wealth Management, LLC	IRS Empl. Ident. No.: 41-2021007
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Item of Form (identify)	Answer																		
	<p>PORTFOLIO MANAGEMENT SERVICES:</p> <p>WWM provides portfolio management services on a non-discretionary basis. On behalf of the Client, and with prior client approval, Wildwood or its Investment Advisor Representative (“IAR”) will buy, sell, exchange, convert and otherwise trade in any and all mutual funds, variable annuities and life contracts, and the sub-accounts thereof, stocks, bonds, and other securities consistent with investment analysis, interpretations and judgments designed to seek an investment return suitable to the investment objectives and goals of the Client. The investment advice provided will vary depending upon the needs, objectives, and other preferences of our clients. Each individual investment portfolio will be developed within the context of, but not limited to, the clients’ acceptable degree of risk tolerance, particular investment needs, goals, objectives, and circumstances. Generally an asset allocation strategy is developed for each client. Assets are allocated first between equity and fixed income investments. Investments are then allocated to various sectors within the fixed income and equities categories based upon capitalization size, international or domestic classifications, and growth or value classifications. Individual securities, mutual funds, or separate account managers are then selected for these categories and sub-categories. Investment assets may also be allocated to specialty areas such as real estate, energy, or alternative investments such as hedge funds.</p> <p>In providing such services, WWM may use programs offered by independent third party providers including, but not limited to, Pershing LLC and Schwab Institutional a division of Charles Schwab & Co. The investment management services of WWM will include individual stocks or bonds, mutual funds, variable annuities, unit investment trusts, real estate investment trusts, options, annuities, direct participation programs (private placements), and exchange traded funds.</p> <p>The fee schedule for portfolio management services is as follows:</p> <table><tr><th>Equities/ MF’s/ ETF’s</th><th>Asset Breakpoints</th><th>Fixed Income / MF’s / ETF’s</th></tr><tr><td>1.00%</td><td>\$0 - \$500,000</td><td>.75%</td></tr><tr><td>.90%</td><td>\$500,000 - \$1,000,000</td><td>.65%</td></tr><tr><td>.80%</td><td>\$1,000,000 - \$3,000,000</td><td>.55%</td></tr><tr><td>.75%</td><td>\$3,000,000 - \$5,000,000</td><td>.50%</td></tr><tr><td>.65%</td><td>\$5,000,000 +</td><td>.40%</td></tr></table> <p>The foregoing represents the fee WWM generally charges. However, fees are negotiable and arrangements with any particular client may differ from those described above. The above fee schedule is incremental. For example, a portfolio with total assets of \$2,500,000 would incur a fee of 1.00% of the first \$500,000, .90% of the next \$500,00, and .80% of the amount above \$1,000 000 of assets.</p> <p>These fees are payable quarterly in advance or quarterly in arrears depending on the program used. The first payment is due upon execution of the Portfolio Management Agreement (“Agreement”) and will be assessed pro-rata in the event the client agreement is executed at any time other than the</p>	Equities/ MF’s/ ETF’s	Asset Breakpoints	Fixed Income / MF’s / ETF’s	1.00%	\$0 - \$500,000	.75%	.90%	\$500,000 - \$1,000,000	.65%	.80%	\$1,000,000 - \$3,000,000	.55%	.75%	\$3,000,000 - \$5,000,000	.50%	.65%	\$5,000,000 +	.40%
Equities/ MF’s/ ETF’s	Asset Breakpoints	Fixed Income / MF’s / ETF’s																	
1.00%	\$0 - \$500,000	.75%																	
.90%	\$500,000 - \$1,000,000	.65%																	
.80%	\$1,000,000 - \$3,000,000	.55%																	
.75%	\$3,000,000 - \$5,000,000	.50%																	
.65%	\$5,000,000 +	.40%																	

Complete amended pages in full, circle amended items and file with execution page (page 1).

Schedule F of

Form ADV

Continuation Sheet for Form ADV Part II

Applicant:
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Management, LLC

File Number:

801-69320

Date:

03/23/10

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1. Full name of applicant exactly as stated in Item 1A of Part I of Form ADV: Wildwood Wealth Management, LLC	IRS Empl. Ident. No.: 41-2021007
Item of Form (identify)	Answer
	<p>first day of the calendar quarter. Subsequent payments are due and will be assessed on the first day of each calendar quarter based on the value of the Account assets under management as of the close of business on the last business day of the preceding quarter.</p> <p>Payment of fees will be made by the custodian holding the clients' funds and securities provided that the following requirements are met:</p> <ol style="list-style-type: none"> 1. The client provides written authorization permitting the fees to be paid directly from the client's account held by the custodian. WWM does not have access to client funds for payment of fees without client written consent. 2. The client will receive notification of assessed fees for the quarter from the program sponsor or WWM. The notification will show the amount of the fee, the value of the client's assets on which the fee is based, and the specific manner in which the fee was calculated. 3. It is disclosed to the client that it is the client's responsibility to verify the accuracy of the fee calculation and that the custodian will not determine whether the fee is properly calculated. 4. The custodian agrees to send the client a statement, at least quarterly, indicating all amounts dispersed from the account including the amount of the advisory fee paid directly to WWM. <p>Under certain circumstances, WWM will perform portfolio management services for specific clients (or specific client portfolios) that prefer to pay commissions rather than fees based on the client's (or portfolio's) assets. The cost of services provided under such an arrangement may be more or less than the amount that would have been charged to the client if a fee based on asset values had been used.</p> <p>WWM or the client may terminate the Agreement within five days of the date of acceptance with no penalty to the client. After the five-day period, either party, upon 30 day written notice to the other, may terminate the Agreement. If termination occurs prior to the end of a calendar-billing period, any prepaid fees will be prorated to the date of termination and unearned fees will be returned to the client.</p> <p>RECOMMENDATION OF INDEPENDENT ADVISERS</p> <p>Under certain circumstances, WWM may recommend that the client utilize the services of a third-party investment adviser(s) ("TPIA") to manage a portion of, or his/her entire portfolio. WWM makes recommendations regarding the suitability of a TPIA or investment style based on, but not limited to, the client's financial needs/situation, long-term goals, and investment objectives. Upon selection of a TPIA(s), WWM will monitor the performance of the TPIA(s) to ensure their performance and investment style remains aligned with the investment goals and objectives of the client.</p>

Complete amended pages in full, circle amended items and file with execution page (page 1).

Schedule F of

Form ADV

Continuation Sheet for Form ADV Part II

Applicant:
Wildwood Wealth
Management, LLC

File Number:

801-69320

Date:

03/23/10

(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other schedules.)

1.	Full name of applicant exactly as stated in Item 1A of Part I of Form ADV: Wildwood Wealth Management, LLC	IRS Empl. Ident. No.: 41-2021007
	Item of Form (identify)	Answer
		<p>The TPIA's compensation is based on a percentage of the client's assets managed by the TPIA. Fees may be negotiated, depending upon the TPIA selected, the size of the account and the services covered. Fees paid by the client to the TPIA are established and payable in accordance with the Form ADV Part II or other equivalent disclosure document provided by each third party investment adviser to whom the client is referred. The TPIA will manage the client's account in accordance with the disclosures set forth in the TPIA's disclosure documents. WWM may share in a portion of the fee charged by the TPIA. If WWM receives a portion of the fee charged by the TPIA, such fee will be used to reduce the investment advisory fee charged the client by WWM.</p> <p>Clients may be required to sign an investment advisory agreement directly with the TPIA(s) selected in addition to the one signed with WWM. The client, WWM or the TPIA in accordance with the provisions of those agreements may terminate the advisory relationship. If the TPIA is compensated in advance, the client will typically receive a pro rata refund of any prepaid advisory fees upon termination of an advisory agreement.</p> <p>GENERAL INFORMATION ON ADVISORY SERVICES AND FEES</p> <p>The fee charged is calculated as described above and is not charged on the basis of a share of capital gains upon or capital appreciation of the funds or any portion of the funds of an advisory client (SEC Rule 205(a)(1)).</p> <p>All fees paid to WWM are separate and distinct from the fees and expenses charged by mutual funds, variable annuities, exchange traded funds, and other investment vehicles to their shareholders or investors. These fees and expenses are described in each fund's prospectus. Such fees will generally include a management fee, other fund expenses, and a possible distribution, 12(b)-1, fee.</p> <p>When appropriate to the needs of the client, WWM may recommend the use of trading (securities sold within 30 days), margin transactions, or option writing. Because these investment strategies may involve higher degrees of risk, they will only be recommended when consistent with the client's tolerance for risk and market volatility.</p> <p>WWM does not represent, warrant, or imply that the services or methods of analysis employed by us can or will predict future results, successfully identify market tops or bottoms, or insulate clients from losses due to market corrections or declines.</p> <p>Although WWM believes its advisory fees are competitive, lower fees for comparable services may be available from other sources.</p> <p>WWM shall never have custody of any client funds or securities, as the services of an independent custodian will be used for these asset management services.</p> <p>TYPES OF INVESTMENTS:</p> <p><u>Other</u></p> <p>WWM may also offer advice to clients interested in other investment vehicles, some that qualify as</p>

ADV Part II Item 3

Item 3 (L)

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Schedule F of

Form ADV

Continuation Sheet for Form ADV Part II

Applicant:
Wildwood Wealth
Management, LLC

File Number:

801-69320

Date:

03/23/10

(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other schedules.)

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ADV Part II Item 4	securities and some that do not, including precious metals and collectibles, limited partnerships not confined to the area of real estate, oil and gas interests, equipment leasing, and/or direct real estate ownership.
Item 4(A)(5)	METHODS OF ANALYSIS, SOURCES OF INFORMATION, AND INVESTMENT STRATEGIES: <u>Applicant's Security Analysis Methods – Other</u> In certain circumstances, for example, when working with clients in third party programs, WWM may rely on methods of analysis employed by the sponsors of such programs.
Item 4(B)(8)	<u>Sources of Information - Other</u> WWM uses various sources of information covering a broad sector of financial related matters. These sources may be publicly available. In addition, WWM considers the general overall economic climate and its potential positive or negative effects on the client's financial condition. Information sources include but are not limited to prospectuses, press releases, financial newsletters, newspapers, magazines, commercially available databases, etc. In addition WWM may utilize commercially available product ranking or evaluation services such as Morningstar, The Value Line Investment Survey, Standard and Poors and research provided by various investment bankers and brokers.
ADV Part II Item 5	EDUCATION AND BUSINESS STANDARDS WWM generally requires successful completion of university study and/or its educational or business equivalent. WWM selects only those persons who have demonstrated knowledge of the financial and economic principles needed in providing sound investment advice. These skills are demonstrated by either business background, education, passing of the FINRA securities examinations, professional designations, or any combination thereof. WWM also expects everyone associated with the firm to conduct business according to high standards of honesty and fairness and to render service to our clients which, in the same circumstances, they would apply to or demand for themselves.
ADV Part II Item 6	EDUCATION AND BUSINESS BACKGROUND The educational and business background of key management personnel involved with investment advisory services are as follows: JOSEPH TECHAR: Partner/Principal Birthdate: December 20, 1947 University of St. Thomas - MBA – 1991, University of St. Thomas - BA – 1970

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Schedule F of

Form ADV

Continuation Sheet for Form ADV Part II

Applicant:
Wildwood Wealth
Management, LLC

File Number:

801-69320

Date:

03/23/10

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ADV Part II Item 7	<p>Employment History: Retirement Foundations Inc, Chief Marketing Officer; Valuenet Appraisal - Inc, President; CBS Marketwatch , Director;</p> <p>Life/Health/Variable Insurance Licenses- various states, Real Estate Agent - Minnesota <i>Securities Industry Registrations:</i> *Series 7 - General Securities *Series 63 - Uniform Blue Sky State Exam *Series 66 -Uniform Securities Agent State Law and Uniform Investment Advisor Law *Series 24 - General Securities Principal</p> <p>STEPHEN FISCHER: Partner/Principal Birthdate: June 10, 1943</p> <p>Employment History: Primevest Financial Services – CEO; Fintegra, LLC – CEO</p> <p>Education: Mankato State University - Bachelor of Science – 1965, Graduate of the Securities Industry Institute at the Wharton Business School/University of Pennsylvania 1995-1997 Numerous seminars and conferences regarding business, tax and investment planning and management.</p> <p>Certified Financial Planner Licensee, Certified Public Accountant, Life/Health/Variable Insurance Licenses- various states, Real Estate Broker – Minnesota <i>Securities Industry Registrations:</i> *Series 4 - Options Principal *Series 7 - General Securities *Series 24 - General Securities Principal *Series 27 - Financial/Operations Principal *Series 53 - Municipal Securities Principal *Series 63 - Uniform State Securities Law Exam Approved as a FINRA Arbitrator.</p> <p>MICHAEL LAUER: Portfolio Administration Birthdate: September 1, 1975</p> <p>IAI and Alliance Capital Management – Trade and Portfolio Accounting support</p> <p>Education: University of St. Thomas - MBA – 2008, University of Minnesota –Bachelor of Science in Applied Economics - 1999</p> <p>Life/Health/Variable Insurance Licenses- Minnesota *Series 7 – General Securities *Series 63 - Uniform State Securities Law Exam</p> <p>OTHER BUSINESS ACTIVITIES</p>

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Schedule F of

Form ADV

Continuation Sheet for Form ADV Part II

Applicant:
Wildwood Wealth
Management, LLC

File Number:

801-69320

Date:

03/23/10

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Item 7 (A)(B)	<p><u>Business Other Than Giving Investment Advice / Applicant Sells Products or Services Other Than Investment Advice</u></p> <p>The two principals of WWM are Registered Representatives, and Registered Principals of CapitalQuest Securities, Inc. (CapitalQuest), a general securities broker dealer and member of FINRA. The principals of WWM are also co-owners of WWM Realty, LLC, a real estate brokerage firm licensed in the state of Minnesota. In addition, some of the principals or investment adviser representatives of WWM are agents and/or brokers for various insurance companies.</p> <p>For a further description of these activities see ADV Part II Item 8 of this document.</p>	
ADV Part II Item 8	<p>OTHER FINANCIAL INDUSTRY ACTIVITIES OR AFFILIATIONS:</p> <p><u>Broker Dealer Activities</u></p> <p>The two principals of WWM are Registered Representatives, and Registered Principles of CapitalQuest Securities, Inc. (CapitalQuest), a general securities broker dealer and member of FINRA. Clients are advised that they have total freedom to implement recommendations through any broker/dealer of their choosing. There is no obligation to effect transactions through CapitalQuest or Associates of WWM in their capacity as a securities salesperson. The firm does not warrant or represent that commission for transactions implemented through CapitalQuest will be lower than commissions available if the client used another brokerage firm.</p> <p>If the client implements recommendations made by WWM by purchasing securities or other products through CapitalQuest, the representative may receive additional compensation in the form of commissions, including 12b-1 fees for the sale of investment company products.</p> <p><u>Other Investment Advisors</u></p> <p>WWM may engage various sub advisors to provide investment supervisory services to its clients whenever WWM believes that the sub adviser's services will enhance the overall investment advice given to its clients. WWM may pay the sub adviser directly a portion of the investment advisory fee it receives from clients. WWM will provide clients whose accounts are sub advised with a copy of the sub adviser's brochure, which explains fully the services to be rendered by the sub adviser. WWM may refer clients to other advisory firms and share in that advisory firm's advisory fees. These are often referred to as "third-party money managers". The Form ADV Part II or Brochure and/or solicitor agreement provided by the other advisory firm will contain the specific details of these relationships, including compensation received by WWM.</p> <p><u>Insurance Agency</u></p> <p>Some of the principals or investment adviser representatives of WWM are agents and/or brokers for various insurance companies. Therefore, these individuals may be able to effect the purchase of insurance products to implement recommendations for clients. Individuals may receive separate</p>	

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Schedule F of

Form ADV

Continuation Sheet for Form ADV Part II

Applicant:
Wildwood Wealth
Management, LLC

File Number:

801-69320

Date:

03/23/10

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Item of Form (identify)	Answer	
Item 8 (C)(11)	<p>and typical compensation for insurance and/or annuity implementation transactions.</p> <p><u>Real Estate Broker or Dealer</u></p> <p>The principals of WWM are also co-owners of WWM Realty, LLC, a real estate brokerage firm licensed in the state of Minnesota. WWM Realty may represent clients of WWM in real estate transactions and may be compensated by the client or others in connection with these activities. There is no affiliation between WWM and WWM Realty, LLC other than common ownership.</p>	
ADV Part II Item 9	<p>PARTICIPATION OR INTEREST IN CLIENT TRANSACTIONS:</p> <p><u>As Broker or Agent / Buys or Sells For Itself</u></p> <p>WWM or individuals associated with the firm may buy or sell – for their personal account – investment products similar or identical to those recommended to clients. It is the expressed policy of WWM that no person employed by the firm may purchase or sell any security prior to a transaction(s) being implemented for an advisory account, and therefore, preventing such employees from potentially benefiting from transactions placed on behalf of advisory accounts.</p> <p>As these situations may represent a conflict of interest, WWM has established the following restrictions in order to ensure its fiduciary responsibilities:</p> <ol style="list-style-type: none"> 1. Associated persons, or their immediate family members shall not buy or sell securities for their personal portfolio(s) where their decision is derived, in whole or in part, on information received by reason of the associated persons employment, unless the information is also available to the investing public on reasonable inquiry. No associated person of the firm shall prefer his or her own interest to that of the advisory client. 2. Records will be maintained of all securities bought or sold by the firm, associated persons of the firm, and related entities. Stephen Fischer or his designee reviews these records on a regular basis. 3. The firm requires that all individuals must act in accordance with all applicable federal and state regulations governing registered investment advisory activities. 4. Any individual found not to be in compliance with the above may be subject to termination. <p>WWM is in and shall continue to be in compliance with the Insider Trading and Securities Fraud Enforcement Act of 1988. Specifically, WWM has adopted a firm wide policy statement outlining insider-trading compliance by the firm, its associated persons, and other employees. This statement has been distributed to all associated persons and other employees of WWM and has been signed and dated by each such person. A copy of such firm wide policy is left with such person and the original is maintained in a master file. Further, WWM has adopted a written supervisory procedures statement highlighting the steps that shall be taken to implement the policy. These materials are also distributed to all associated persons and other employees of WWM, are signed, dated and filed with the insider trading compliance materials.</p>	
Item 9 (B),(E)		

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Schedule F of

Form ADV

Continuation Sheet for Form ADV Part II

Applicant:
Wildwood Wealth
Management, LLC

File Number:

801-69320

Date:

03/23/10

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--	-------------------------------------

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ADV Part II Item 10	<p>Confidentiality – As an employee of WWM, associated persons may learn confidential information concerning WWM and its clients. “Confidential information” generally means all information not publicly available (through the media or public records) and includes but is not limited to:</p> <ul style="list-style-type: none"> • The composition of client portfolios. • Certain records, procedures and other proprietary information • Family or personal information <p>It is WWM’s policy that individuals employed by the firm must not disclose, directly or indirectly, any confidential information to anyone other than WWM personnel and authorized professional advisors such as broker/dealers, attorneys, and accountants who need such information in order to provide their services on behalf of the client.</p> <p>WWM’s Code of Ethics establishes rules of conduct for all employees of WWM and is designed to, among other things, govern personal securities trading activities in the accounts of employees. The code is based upon the principle that WWM and it’s employees owe a fiduciary duty to WWM’s clients to conduct their affairs, including their personal securities transactions, in such a manner as to avoid (i) serving their own personal interests ahead of clients, (ii) taking inappropriate advantage of their position with the firm and (iii) any actual or potential conflicts of interest or any abuse of their position of trust or responsibility. The code is designed to ensure that the high ethical standards maintained by WWM continue to be applied.</p> <p>A copy of WWM’s Code of Ethics is available on request.</p>
ADV Part II Item 11	<p>CONDITIONS FOR MANAGING ACCOUNTS</p> <p>WWM manages investment advisory accounts on a non-discretionary basis and generally imposes a minimum of \$100,000 to open and maintain an account. The firm may waive this requirement in its discretion, for example, if the client appears to have significant potential for increasing assets under management.</p>
Items 11 (A), (B)	<p>REVIEW OF ACCOUNTS</p> <p>Client financial plans and investment portfolio accounts are reviewed on a regular and continuous basis depending on the size, complexity, and individual circumstances of the client.</p> <p>During the initial retainer period of analysis, design, and implementation, the review is frequent and generally done no less than quarterly. Subsequent to the initial retainer period, individual and/or financial plans are reviewed at least annually.</p> <p>These reviews are conducted by two or more of the principals and/or employees of WWM and may, but not always, include a personal meeting with the client.</p> <p>Client investment portfolios are reviewed by at least one of the principals of the firm on at least a quarterly basis. Larger or complex portfolios are generally reviewed by at least two individuals.</p>

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Schedule F of

Form ADV

Continuation Sheet for Form ADV Part II

Applicant:
Wildwood Wealth
Management, LLC

File Number:

801-69320

Date:

03/23/10

(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other schedules.)

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--	-------------------------------------

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<p>ADV Part II Item 12</p> <p>Item 12 (B)</p>	<p>Periodic statements provided by the various custodians are reviewed by a principal of the firm as they are received from the respective custodians. Portfolio and performance reports provided by outside investment managers are reviewed as received.</p> <p>Portfolio and performance reports provided by WWM for the portfolios it manages for clients are reviewed at least quarterly by a principal of WWM.</p> <p>Clients who have accounts and portfolios managed by independent advisors generally receive monthly statements from the broker/dealer or other entity acting as the custodian. The independent advisors generally provide portfolio and performance reports directly to each client on a quarterly basis. The exact content and format of these reports will vary but will generally include a summary of the portfolio holdings, the allocation of assets into various classes or sub classes, and performance data comparing the results of the portfolio in comparison to various market indexes.</p> <p>Client accounts and portfolios managed by WWM will generally include a statement of the portfolio holdings, the allocation of the portfolio holdings into various classes and sub classes and reports reflecting the performance of the client portfolio both numerically and graphically for various periods of time and comparing the results with various market indexes or benchmarks. In addition, a variety of reports can be provided to meet the particular needs of a specific client.</p> <p>INVESTMENT OR BROKERAGE DISCRETION</p> <p><u>Suggestion of Broker</u></p> <p>In general, investment advisory clients will maintain accounts at one or more of the following broker/dealers who act as custodian for the investment accounts: Pershing LLC or Charles Schwab & Co. Although WWM may recommend that clients establish accounts at either Pershing or Schwab, other broker/dealer custodians may be used depending on the choice of independent investment advisors. WWM is independently owned and operated and not affiliated with either Pershing or Schwab.</p> <p>Broker/dealer custodians chosen by WWM provide WWM with access to its institutional trading and custody services, which are typically not available to retail investors. These services generally are available to independent investment advisors on an unsolicited basis, at no charge to them so long as a set amount of the advisor's clients' assets are maintained in accounts at the broker dealer. These services are not contingent upon WWM committing to the broker/dealer custodian any specific amount of business (assets in custody or trading commissions). Custodian's brokerage services include the execution of securities transactions, custody, research, and access to mutual funds and other investments that are otherwise generally available only to institutional investors or would require a significantly higher minimum initial investment.</p> <p>For WWM client accounts maintained in its custody, broker/dealer custodians generally do not charge separately for custody services but are compensated by account holders through commissions and other transaction-related or asset-based fees for securities trades that are executed through the broker/dealer custodian or that settle into their accounts.</p>

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Schedule F of

Form ADV

Continuation Sheet for Form ADV Part II

Applicant:
Wildwood Wealth
Management, LLC

File Number:

801-69320

Date:

03/23/10

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	<p>Broker/dealer custodians also make available to WWM other products and services that benefit WWM but may not directly benefit its clients' accounts. Many of these products and services may be used to service all or some substantial number of WWM's accounts, including accounts not maintained at the recommended broker/dealer custodian.</p> <p>Broker/dealer custodian products and services that assist WWM in managing and administering clients' accounts include software and other technology that (i) provide access to client account data (such as trade confirmations and account statements); (ii) facilitate trade execution and allocate aggregated trade orders for multiple client accounts; (iii) provide research, pricing and other market data; (iv) facilitate payment of WWM fees from its clients' accounts; and (v) assist with back-office functions, recordkeeping and client reporting.</p> <p>Broker/dealer custodians also offer other services intended to help WWM manage and further develop its business enterprise. These services may include: (i) compliance, legal and business consulting; (ii) publications and conferences on practice management and business succession; and (iii) access to employee benefits providers, human capital consultants and insurance providers. Broker/dealer custodians may make available, arrange and/or pay third-party vendors for the types of services rendered to WWM. Broker/dealer custodians may discount or waive fees it would otherwise charge for some of these services or pay all or a part of the fees of a third-party providing these services to WWM.</p> <p>Broker/dealer custodians may also provide other benefits such as educational events or occasional business entertainment of WWM personnel. In evaluating whether to recommend or require that clients custody their assets, WWM may take into account the availability of some of the foregoing products and services and other arrangements as part of the total mix of factors it considers and not solely the nature, cost or quality of custody and brokerage services provided by the broker/dealer custodian which may create a potential conflict of interest.</p> <p>Generally, except for arrangements where clients prefer to pay commissions rather than fees based on assets, investment advisory clients will not be charged commissions for trades or purchases or redemptions of mutual funds. Nominal transaction or "ticket" charges will generally apply to purchases and sales in advisory accounts. If used in a client portfolio, mutual funds will be used in share classes that have no sales charge (load) or are executed on a load-waived basis. Many mutual funds and variable annuities carry distribution or 12(b)-1 fees and, if used in client portfolios, may result in additional compensation for associated persons with WWM.</p> <p>WWM may use variable annuity insurance contracts in the implementation of its recommended investment strategies. With limited exception, these variable annuity insurance contracts pay an up-front commission to the IAR registered representative.</p> <p>Generally, where variable annuity contracts that pay an up-front commission are used in the implementation of an advisory client's investment portfolios, no asset-based fee will be charged by WWM on the assets represented by the variable annuity contract. Instead of charging its asset based investment advisory fee, WWM will accept the commission in lieu of charging the previously described asset based fee.</p>

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Schedule F of

Form ADV

Continuation Sheet for Form ADV Part II

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--	-------------------------------------

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<p>ADV Part II Item 13</p> <p>Item 13 (A)</p>	<p>In some cases, i.e. where annuity contracts represent a small part of a client's overall portfolio, the client will be given the option of applying the up-front commission received from the variable annuity contract to the payment of the asset-based investment advisory fee calculated on the total of the client's assets, including the assets represented by the variable annuity contract on which the commission was received.</p> <p>The foregoing applies to up-front commissions received from the initial placement of variable annuity contracts and not to "trail commissions/fees" if any, received from variable annuity contracts.</p> <p>ADDITIONAL COMPENSATION</p> <p>Associated persons may sell insurance products, including, but not limited to, life, disability income and long term care products, and may receive additional compensation on the sale of such products.</p> <p>Associated persons may receive 12(b)-1 distribution fees from investment companies (mutual funds) or trail fees or commission from variable insurance products in connection with the placement of client funds into investment companies or variable insurance contracts through their separate capacity of being a registered representative.</p> <p>Associated persons of WWM may from time to time attend conferences put on by various vendors and/or wholesalers. These conferences are generally provided to associated persons of WWM at no cost to the firm.</p> <p>While WWM and its associated persons endeavor at all times to put the interest of the Clients first, as part of their fiduciary duty, Clients should be aware that receipt of additional compensation itself creates a potential conflict of interest.</p> <p>Research products and services WWM may receive from brokerage firms may include financial publications, information about particular companies and industries, and computer software, e.g. customized statements and reporting features. These products and services provide lawful and appropriate assistance to the firm in the performance of its investment decision-making responsibilities.</p>
Item 13 (B)	<p><u>Compensation for Client Referrals</u></p> <p>Non-employee (outside) consultants, who are directly responsible for bringing a client to WWM, such as accountants or banks, may receive compensation from WWM. Such arrangements will comply with the requirements set out in Rule 206(4)-3 of the Investment Advisors Act of 1940, including the requirement that the relationship between the solicitor and the investment advisor be disclosed to the client at the time of the solicitation or referral.</p> <p>In any case, applicable state laws may require these persons to become either licensed as representatives of WWM or as an independent investment adviser. The client will be requested to acknowledge any of these arrangements prior to acceptance of the clients account for investment</p>

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Schedule F of

Form ADV

Continuation Sheet for Form ADV Part II

Applicant:
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03/23/10

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Item of Form (identify)	Answer	
	<p>advisory services.</p> <p>GENERAL INFORMATION</p> <p>No payments for fees, securities or any other item should be made payable to the Investment Adviser Representative. Fee payments should be made payable only to WWM. Payment for the purchase of securities should be made payable to the custodian for the account. The custodian for your account will never be WWM, its employees, or CapitalQuest. Always be sure to list either your account number or Tax Identification Number (Social Security Number) on the face of your check.</p> <p>THE CLIENT SHOULD BE SURE TO KEEP IN THEIR PERMANENT FILES A COPY OF THIS FORM ADV PART II, ANY PROSPECTUS RECEIVED, AND ANY DOCUMENTS COMPLETED OR SIGNED.</p> <p>It is at all times important for the Client to notify WWM of any changes to their investment objectives, risk tolerance, suitability or time horizons</p>	

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