

Uniform Application for Investment Adviser Registration

Part II - Page 1

OMB APPROVAL

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Name of Investment Adviser:

Bond and Company, Inc.

Address: (Number and Street)

(City)

(State)

(Zip Code)

Area Code Telephone Number

159 Warren Street

Needham

MA

02492

781 449

8100

**This part of Form ADV gives information about the investment adviser and its business for the use of clients.
The information has not been approved or verified by any governmental authority.**

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(Schedules A, B, C, D, and E are included with Part I of this Form, for the use of regulatory bodies, and are not distributed to clients.)

Potential Persons who are not to respond to the collection of information contained in this form are not
required to respond unless the form displays a currently valid OMB control number.

Applicant:
Bond and Company, Inc.

SEC File Number:
801- 63628

Date:
01/01/2010

1. A. Advisory Services and Fees. (check the applicable boxes)

For each type of service provided, state the approximate % of total advisory billings from that service. (See instruction below.)

Applicant:

- | | |
|---|---------|
| <input checked="" type="checkbox"/> (1) Provides investment supervisory services | 0 % |
| <input type="checkbox"/> (2) Manages investment advisory accounts not involving investment supervisory services | _____ % |
| <input checked="" type="checkbox"/> (3) Furnishes investment advice through consultations not included in either service described above | 80 % |
| <input type="checkbox"/> (4) Issues periodicals about securities by subscription | _____ % |
| <input type="checkbox"/> (5) Issues special reports about securities not included in any service described above | _____ % |
| <input type="checkbox"/> (6) Issues, not as part of any service described above, any charts, graphs, formulas, or other devices which client may use to evaluate securities | _____ % |
| <input checked="" type="checkbox"/> (7) On more than an occasional basis, furnishes advice to clients on matters not involving securities | 20 % |
| <input type="checkbox"/> (8) Provides a timing service | _____ % |
| <input type="checkbox"/> (9) Furnishes advice about securities in any manner not described above | _____ % |

(Percentages should be based on applicant's last fiscal year. If applicant has not completed its first fiscal year, provide estimates of advisory billings for that year and state that the percentages are estimates.)

Yes No

B. Does applicant call any of the services it checked above financial planning or some similar term?

☒ ☐

C. Applicant offers investment advisory services for: (check all that apply)

- | | |
|---|--|
| <input checked="" type="checkbox"/> (1) A percentage of assets under management | <input type="checkbox"/> (4) Subscription fees |
| <input checked="" type="checkbox"/> (2) Hourly charges | <input type="checkbox"/> (5) Commissions |
| <input type="checkbox"/> (3) Fixed fees (not including subscription fees) | <input checked="" type="checkbox"/> (6) Other |

D. For each checked box in A above, describe on Schedule F:

- the services provided, including the name of any publication or report issued by the adviser on a subscription basis or for a fee
- applicant's basic fee schedule, how fees are charged and whether its fees are negotiable
- when compensation is payable, and if compensation is payable before service is provided, how a client may get a refund or may terminate an investment advisory contract before its expiration date

2. Types of Clients -- Applicant generally provides investment advice to: (check those that apply)

- | | |
|---|--|
| <input checked="" type="checkbox"/> A. Individuals | <input checked="" type="checkbox"/> E. Trusts, estates, or charitable organizations |
| <input type="checkbox"/> B. Banks or thrift institutions | <input checked="" type="checkbox"/> F. Corporations or business entities other than those listed above |
| <input type="checkbox"/> C. Investment companies | <input type="checkbox"/> G. Other (describe on Schedule F) |
| <input checked="" type="checkbox"/> D. Pension and profit sharing plans | |

Answer all items. Complete amended pages in full, circle amended items and file with execution page (page 1).

Applicant: Bond and Company, Inc.	SEC File Number: 801- 63628	Date: 01/01/2010
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3. Types of Investments Applicant offers advice on the following: (check those that apply)

- | | |
|--|--|
| <p>A. Equity securities</p> <p><input type="checkbox"/> (1) exchange-listed securities</p> <p><input type="checkbox"/> (2) securities traded over-the-counter</p> <p><input type="checkbox"/> (3) foreign issuers</p> <p><input type="checkbox"/> B. Warrants</p> <p><input type="checkbox"/> C. Corporate debt securities
(other than commercial paper)</p> <p><input type="checkbox"/> D. Commercial paper</p> <p><input type="checkbox"/> E. Certificates of deposit</p> <p><input type="checkbox"/> F. Municipal securities</p> <p>G. Investment company securities:</p> <p><input type="checkbox"/> (1) variable life insurance</p> <p><input type="checkbox"/> (2) variable annuities</p> <p><input type="checkbox"/> (3) mutual fund shares</p> | <p><input type="checkbox"/> H. United States governmental securities</p> <p>I. Options contracts on:</p> <p><input type="checkbox"/> (1) securities</p> <p><input type="checkbox"/> (2) commodities</p> <p>J. Futures contracts on:</p> <p><input type="checkbox"/> (1) tangibles</p> <p><input type="checkbox"/> (2) intangibles</p> <p>K. Interests in partnerships investing in:</p> <p><input type="checkbox"/> (1) real estate</p> <p><input type="checkbox"/> (2) oil and gas interests</p> <p><input type="checkbox"/> (3) other (explain on Schedule F)</p> <p><input type="checkbox"/> L. Other (explain on Schedule F)</p> |
|--|--|

4. Methods of Analysis, Sources of Information, and Investment Strategies.

A. Applicant's security analysis methods include: (check those that apply)

- | | |
|--|--|
| (1) <input type="checkbox"/> Charting | (4) <input type="checkbox"/> Cyclical |
| (2) <input type="checkbox"/> Fundamental | (5) <input type="checkbox"/> Other (explain on Schedule F) |
| (3) <input type="checkbox"/> Technical | |

B. The main sources of information applicant uses include: (check those that apply)

- | | |
|--|--|
| (1) <input type="checkbox"/> Financial newspapers and magazines | (5) <input type="checkbox"/> Timing services |
| (2) <input type="checkbox"/> Inspections of corporate activities | (6) <input type="checkbox"/> Annual reports, prospectuses, filings with the Securities and Exchange Commission |
| (3) <input type="checkbox"/> Research materials prepared by others | (7) <input type="checkbox"/> Company press releases |
| (4) <input type="checkbox"/> Corporate rating services | (8) <input type="checkbox"/> Other (explain on Schedule F) |

C. The investment strategies used to implement any investment advice given to clients include: (check those that apply)

- | | |
|--|--|
| (1) <input type="checkbox"/> Long term purchases (securities held at least a year) | (5) <input type="checkbox"/> Margin transactions |
| (2) <input type="checkbox"/> Short term purchases (securities sold within a year) | (6) <input type="checkbox"/> Option writing, including covered options, uncovered options, or spreading strategies |
| (3) <input type="checkbox"/> Trading (securities sold within 30 days) | (7) <input type="checkbox"/> Other (explain on Schedule F) |
| (4) <input type="checkbox"/> Short sales | |

Answer all items. Complete amended pages in full, circle amended items and file with execution page (page 1).

5. Education and Business Standards.

Yes No

Are there any general standards of education or business experience that applicant requires of those involved in determining or giving investment advice to clients?.....

☒ ☐

(If yes, describe these standards on Schedule F.)

6. Education and Business Background.

For:

- each member of the investment committee or group that determines general investment advice to be given to clients, or
- if the applicant has no investment committee or group, each individual who determines general investment advice given to clients (if more than five, respond only for their supervisors)
- each principal executive officer of applicant or each person with similar status or performing similar functions.

On Schedule F, give the:

- | | |
|-----------------|--|
| • name | • formal education after high school |
| • year of birth | • business background for the preceding five years |

7. Other Business Activities. (check those that apply)

- ☒ A. Applicant is actively engaged in a business other than giving investment advice.
- ☒ B. Applicant sells products or services other than investment advice to clients.
- ☒ C. The principal business of applicant or its principal executive officers involves something other than providing investment advice.

(For each checked box describe the other activities, including the time spent on them, on Schedule F.)

8. Other Financial Industry Activities or Affiliations. (check those that apply)

- ☒ A. Applicant is registered (or has an application pending) as a securities broker-dealer.
- ☒ B. Applicant is registered (or has an application pending) as a futures commission merchant, commodity pool operator or commodity trading adviser.
- C. Applicant has arrangements that are material to its advisory business or its clients with a related person who is a:
- | | |
|--|--|
| <input checked="" type="radio"/> (1) broker-dealer | <input checked="" type="radio"/> (7) accounting firm |
| <input checked="" type="radio"/> (2) investment company | <input checked="" type="radio"/> (8) law firm |
| <input checked="" type="radio"/> (3) other investment adviser | <input checked="" type="radio"/> (9) insurance company or agency |
| <input checked="" type="radio"/> (4) financial planning firm | <input checked="" type="radio"/> (10) pension consultant |
| <input checked="" type="radio"/> (5) commodity pool operator, commodity trading adviser or futures commission merchant | <input checked="" type="radio"/> (11) real estate broker or dealer |
| <input checked="" type="radio"/> (6) banking or thrift institution | <input checked="" type="radio"/> (12) entity that creates or packages limited partnerships |

(For each checked box in C, on Schedule F identify the related person and describe the relationship and the arrangements.)

Yes No

- D. Is applicant or a related person a general partner in any partnership in which clients are solicited to invest?...

☒ ☐

(If yes, describe on Schedule F the partnerships and what they invest in.)

Answer all items. Complete amended pages in full, circle amended items and file with execution page (page 1).

Applicant: Bond and Company, Inc.	SEC File Number: 801- 63628	Date: 01/01/2010
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9. Participation of Interest in Client Transactions.

Applicant or a related person: (check those that apply)

- ☒ A. As principal, buys securities for itself from or sells securities it owns to any client.
- ☒ B. As broker or agent effects securities transactions for compensation for any client.
- ☒ C. As broker or agent for any person other than a client effects transactions in which client securities are sold to or bought from a brokerage customer.
- ☒ D. Recommends to clients that they buy or sell securities or investment products in which the applicant or a related person has some financial interest.
- ☒ E. Buys or sells for itself securities that it also recommends to clients.

(For each box checked, describe on Schedule F when the applicant or a related person engages in these transactions and what restrictions, internal procedures, or disclosures are used for conflicts of interest in those transactions.)

Describe, on Schedule F, your code of ethics, and state that you will provide a copy of your code of ethics to any client or prospective client upon request.

10. Conditions for Managing Accounts. Does the applicant provide investment supervisory services, manage investment advisory accounts or hold itself out as providing financial planning or some similarly termed services <i>and</i> impose a minimum dollar value of assets or other conditions for starting or maintaining an account?	Yes	No
	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

(If yes, describe on Schedule F.)

11. Review of Accounts. If applicant provides investment supervisory services, manages investment advisory accounts, or holds itself out as providing financial planning or some similarly termed services:

- A. Describe below the reviews and reviewers of the accounts. **For reviews**, include their frequency, different levels, and triggers factors. **For reviewers**, include the number of reviewers, their titles and functions, instructions they receive from applicant on performing reviews, and number of accounts assigned each.
Please refer to Schedule F, Item 11.A

- B. Describe below the nature and frequency of regular reports to clients on their accounts.
Please refer to Schedule F, Item 11.B

Answer all items. Complete amended pages in full, circle amended items and file with execution page (page 1).

12. Investment or Brokerage Discretion.

A. Does applicant or any related person have authority to determine, without obtaining specific client consent, the:

- | | | |
|--|-----------------------|-----------------------|
| (1) securities to be bought or sold? | Yes | No |
| | <input type="radio"/> | <input type="radio"/> |
| (2) amount of the securities to be bought or sold? | Yes | No |
| | <input type="radio"/> | <input type="radio"/> |
| (3) broker or dealer to be used? | Yes | No |
| | <input type="radio"/> | <input type="radio"/> |
| (4) commission rates paid? | Yes | No |
| | <input type="radio"/> | <input type="radio"/> |

B. Does applicant or a related person suggest brokers to clients? ☐ Yes ☐ No

For each yes answer to A describe on Schedule F any limitations on the authority. For each yes to A(3), A(4) or B, describe on Schedule F the factors considered in selecting brokers and determining the reasonableness of their commissions. If the value of products, research and services given to the applicant or a related person is a factor, describe:

- the products, research and services
- whether clients may pay commission higher than those obtainable from other brokers in return for those products and services
- whether research is used to service all of applicant's accounts or just those accounts paying for it; and
- any procedures the applicant used during the last fiscal year to direct client transactions to a particular broker in return for products and research services received.

13. Additional Compensation.

Does the applicant or a related person have any arrangements, oral or in writing, where it:

- | | | |
|---|-----------------------|-----------------------|
| A. is paid cash by or receives some economic benefit (including commissions, equipment or non-research services) from a non-client in connection with giving advice to clients? | Yes | No |
| | <input type="radio"/> | <input type="radio"/> |
| B. directly or indirectly compensates any person for client referrals? | Yes | No |
| | <input type="radio"/> | <input type="radio"/> |

(For each yes, describe the arrangements on Schedule F.)

14. Balance Sheet. Applicant must provide a balance sheet for the most recent fiscal year on Schedule G if applicant:

- has custody of client funds or securities (unless applicant is registered or registering only with the Securities and Exchange Commission); or
 - requires prepayment of more than \$500 in fees per client and 6 or more months in advance
- Has applicant provided a Schedule G balance sheet? ☐ Yes ☐ No

Answer all items. Complete amended pages in full, circle amended items and file with execution page (page 1).

**Schedule F of
Form ADV
Continuation Sheet for Form ADV
Part II**

Applicant: Bond and Company, Inc.	SEC File Number: 801- 63628	Date: 01/01/2010
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(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other schedules.)

1. Full name of applicant exactly as stated in Item 1A of Form ADV: Bond and Company, Inc.	IRS Empl. Ident. No.: 04-3513204
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Item of Form (identify)	Answer
1.D.	<p>Bond and Company, Inc., conducting advisory business as Bond & Co. (hereinafter "B&C"), was formed in May 2000 to initially provide only financial planning services. Beginning in August 2002, B&C expanded its advisory services to include portfolio management, pension consulting services, and general financial consulting. Frank Bond is President, Director, and Chief Compliance Officer of B&C and is responsible for providing all advisory services, and Erica Bond is Vice President and Director of the firm with responsibility for operations and marketing. B&C became a Massachusetts state-registered investment adviser in June, 2000 and transitioned to become a SEC registered investment adviser in November, 2004.</p> <p>This brochure provides information about the qualifications and business practices of B&C. Please contact Frank Bond, President, Director and Chief Compliance Officer of B&C if you have any questions about the contents of this brochure. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any State securities authority.</p> <p>Additional information about B&C is available on the Internet at www.adviserinfo.sec.gov/IAPD/. You can search this site by a unique identifying number, known as a CRD number. The CRD number for B&C is 122331.</p> <p><u>INVESTMENT SERVICES</u></p> <p>B&C offers a combination of the following advisory services, where appropriate, to individuals, high net worth individuals, pension and profit sharing plans, trusts, estates or charitable organizations and corporations or other business entities.</p> <p>PORTFOLIO MANAGEMENT SERVICES:</p> <p>B&C provides its investment services based on the clients individual goals and circumstances. Through personal discussions in which goals and objectives based on a client's particular circumstances are established, B&C develops a client's personal investment policy and creates and manages a portfolio based on that policy. B&C will manage advisory accounts on a discretionary and non-discretionary basis. Account supervision is guided by the stated objectives of the client (i.e., maximum capital appreciation, growth, income, or growth and income).</p> <p>B&C will create a portfolio consisting primarily of mutual funds (including no-load and load-waived mutual funds) to represent an appropriate balance of asset classes, plus when appropriate, one or all of the following: individual equities, bonds and other investment products. B&C will allocate the client's assets among various investments taking into consideration the overall management style selected by the client. The mutual funds will be selected on the basis of any or all of the following criteria: the fund's performance history; the industry sector in which the fund invests; the track record of the fund's manager; the fund's investment objectives; the fund's management style and philosophy; and the fund's management fee structure. Portfolio weighting between funds and market sectors will be determined by each client's individual needs and circumstances. Clients will have the opportunity to place reasonable restrictions on the types of investments which will be made on the client's behalf. Clients will retain individual ownership of all securities.</p>

Complete amended pages in full, circle amended items and file with execution page (page 1). PAGE 1

**Schedule F of
Form ADV
Continuation Sheet for Form ADV
Part II**

Applicant: Bond and Company, Inc.	SEC File Number: 801- 63628	Date: 01/01/2010
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(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other schedules.)

1. Full name of applicant exactly as stated in Item 1A of Form ADV: Bond and Company, Inc.	IRS Empl. Ident. No.: 04-3513204
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Item of Form (identify)	Answer										
	<p>When appropriate to the needs of the client, B&C may recommend the use of trading (securities sold within 30 days), short sales, margin</p> <p>transactions or option writing. Because these investment strategies involve certain additional degrees of risk, they will only be recommended when consistent with the client's stated tolerance for risk.</p> <p>B&C will prepare a comprehensive financial plan for portfolio management clients at the inception of the advisory relationship and will review and update the plan on an annual basis to reflect any change in client's goals and/or circumstances. B&C provides this service to Portfolio Management clients at no additional expense. Please refer to the disclosure which follows for specific information concerning B&C's financial planning services.</p> <p>PORTFOLIO MANAGEMENT SERVICES FEES:</p> <p>The annual fee for Portfolio Management Services will be charged as a percentage of assets under management, according to the following schedule:</p> <table> <tr> <td><u>Assets under management</u></td><td><u>Annual Fee (%)</u></td></tr> <tr> <td>Accounts valued up to \$1,000,000</td><td>1.00%</td></tr> </table> <p>For accounts above \$1,000,000 the following fees apply:</p> <table> <tr> <td>Initial \$1,000,000</td><td>1.00%</td></tr> <tr> <td>Next \$4,000,000</td><td>0.50%</td></tr> <tr> <td>Above \$5,000,000</td><td>negotiable</td></tr> </table> <p>Clients will be invoiced in advance at the beginning of each calendar quarter based upon the value (market value or fair market value in the absence of market value, plus any credit balance or minus any debit balance), of the client's account at the end of the previous quarter.</p> <p>SELF-DIRECTED PENSION PLAN PARTICIPANT SERVICES:</p> <p>B&C may also provide investment advice to advisory clients for their self-directed pension plan (s). This advice will be provided only on a non-discretionary basis. B&C's complimentary comprehensive financial planning services will not be offered to clients whose portfolios are comprised solely of their pension plan(s).</p> <p>Fees for assets in self-directed pension plan(s) will be charged as a percentage of assets under management ranging from 0.1% to 1.0%, depending upon the nature and complexity of each client's circumstances.</p> <p>Clients will be invoiced in advance at the beginning of each calendar quarter based upon the value of the client's account at the end of the previous quarter.</p>	<u>Assets under management</u>	<u>Annual Fee (%)</u>	Accounts valued up to \$1,000,000	1.00%	Initial \$1,000,000	1.00%	Next \$4,000,000	0.50%	Above \$5,000,000	negotiable
<u>Assets under management</u>	<u>Annual Fee (%)</u>										
Accounts valued up to \$1,000,000	1.00%										
Initial \$1,000,000	1.00%										
Next \$4,000,000	0.50%										
Above \$5,000,000	negotiable										

Complete amended pages in full, circle amended items and file with execution page (page 1). PAGE 2

**Schedule F of
Form ADV
Continuation Sheet for Form ADV
Part II**

Applicant: Bond and Company, Inc.	SEC File Number: 801- 63628	Date: 01/01/2010
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(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other schedules.)

1. Full name of applicant exactly as stated in Item 1A of Form ADV: Bond and Company, Inc.	IRS Empl. Ident. No.: 04-3513204
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Item of Form (identify)	Answer
	<p>FINANCIAL PLANNING:</p> <p>B&C also provides advice in the form of a Financial Plan. Clients purchasing this service will receive a written report, providing the client with a detailed financial plan designed to achieve his or her stated financial goals and objectives.</p> <p>In general, the financial plan will address any or all of the following areas of concern:</p> <ul style="list-style-type: none"> -PERSONAL: Family records, budgeting, personal liability, estate information and financial goals. -TAX & CASH FLOW: Income tax and spending analysis and planning for past, current and future years. B&C will illustrate the impact of various investments on a client's current income tax and future tax liability. -DEATH & DISABILITY: Cash needs at death, income needs of surviving dependents, estate planning and disability income analysis. -RETIREMENT: Analysis of current strategies and investment plans to help the client achieve his or her retirement goals. -INVESTMENTS: Analysis of investment alternatives and their effect on a client's portfolio. <p>B&C gathers required information through in-depth personal interviews. Information gathered includes a client's current financial status, future goals and attitudes towards risk. Related documents supplied by the client are carefully reviewed and a written report is prepared. Should a client choose to implement the recommendations contained in the plan, B&C suggests the client work closely with his/her attorney, accountant, insurance agent, and/or stockbroker. Implementation of financial plan recommendations is entirely at the client's discretion.</p> <p>Financial Planning recommendations are not limited to any specific product or service offered by a broker dealer or insurance company. All recommendations are of a generic nature.</p> <p>CONSULTING:</p> <p>Clients can also receive investment advice on a more limited basis. This may include advice on only an isolated area(s) of concern such as estate planning, retirement planning, reviewing a client's existing portfolio, or any other specific topic. B&C also provides specific consultation and administrative services regarding investment and financial concerns of the client.</p> <p>Additionally, B&C provides advice on non-securities matters. Generally, this is in connection with the rendering of estate planning, insurance, and/or annuity advice.</p> <p>Financial Planning/Consulting recommendations are not limited to any specific product or service offered by a broker dealer or insurance company. All recommendations are of a generic nature.</p>

Complete amended pages in full, circle amended items and file with execution page (page 1). PAGE 3

**Schedule F of
Form ADV
Continuation Sheet for Form ADV
Part II**

Applicant: Bond and Company, Inc.	SEC File Number: 801- 63628	Date: 01/01/2010
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(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other schedules.)

1. Full name of applicant exactly as stated in Item 1A of Form ADV: Bond and Company, Inc.	IRS Empl. Ident. No.: 04-3513204
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Item of Form (identify)	Answer
	<p>FINANCIAL PLANNING/CONSULTING SERVICES FEES</p> <p>Financial Planning/Consulting fees will be calculated based on a charge of \$100 to \$200 per hour depending on the nature and complexity of the individual client's personal circumstances. The length of time it will take to provide a financial plan and/or complete the consulting engagement will depend on each client's personal situation. All fees are agreed upon prior to entering into a contract with any client. Fees are due and payable upon completion of the service provided. A retainer may be requested upon completion of B&C's fact-finding session with the client, however, advance payment will never exceed \$500 for work that will not be completed within six months. There is no minimum Financial Planning/Consulting fee.</p> <p>Instead of hourly Financial Planning fees, a client may chose to pay a retainer to B&C for ongoing Financial Planning/Consulting services, should the client wish for ongoing assistance with the management and implementation of a financial plan and portfolio management. The fee for retainer of Financial Planning/Consulting services will be between \$1,000 and \$5,000 per year (billed quarterly) depending on the nature and complexity of the individual client's personal circumstances.</p> <p>The hourly fee or retainer will be waived if a financial planning client chooses to engage B&C for its portfolio management services.</p> <p>GENERAL INFORMATION ON FEES:</p> <p>In certain circumstances, all fees may be negotiable.</p> <p>B&C may combine related accounts for the purpose of calculating fees. Furthermore, the advisory fee for multiple accounts may be deducted from a single account as specified by the client.</p>

Complete amended pages in full, circle amended items and file with execution page (page 1). PAGE 4

**Schedule F of
Form ADV
Continuation Sheet for Form ADV
Part II**

Applicant: Bond and Company, Inc.	SEC File Number: 801- 63628	Date: 01/01/2010
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(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other schedules.)

1. Full name of applicant exactly as stated in Item 1A of Form ADV: Bond and Company, Inc.	IRS Empl. Ident. No.: 04-3513204
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Item of Form (identify)	Answer
	<p>DIRECT DEBITING OF CLIENT ACCOUNTS:</p> <p>Advisory fees may be directly debited from a client account (without B&C being deemed to have 'custody') if the following conditions are met:</p> <ol style="list-style-type: none"> 1. The client provides written authorization permitting the adviser's fees to be paid directly from the client's account held by an independent custodian. 2. The adviser sends a bill to the client and the custodian at the same time. The clients bill will show the amount of the advisory fee and how it was calculated, while the custodians bill will only show the amount of the advisory fee. 3. The adviser informs the client that it is the clients responsibility to verify the accuracy of the fee calculation and that the custodian will not determine whether the fee is properly calculated. 4. The custodian agrees to send to the client a statement, at least quarterly, indicating all amounts disbursed from the account including the amount of advisory fees paid directly to the adviser. <p>The fee charged is calculated as described above and is not charged on the basis of a share of capital gains upon or capital appreciation of the funds or any portion of the funds of an advisory client (Section 205(a)(1) of the Advisers Act).</p> <p>A client agreement may be canceled at any time, by either party, for any reason upon receipt of 30 days written notice. Upon termination of any account, any prepaid, unearned fees will be promptly refunded, and any earned, unpaid fees will be due and payable. The client has the right to terminate an agreement without penalty within five business days after entering into the agreement.</p> <p>All fees paid to B&C for investment advisory services are separate and distinct from the fees and expenses charged by mutual funds to their shareholders. These fees and expenses are described in each fund's prospectus. These fees will generally include a management fee, other fund expenses, and a possible distribution fee. If the fund also imposes sales charges, a client may pay an initial or deferred sales charge. A client could invest in a mutual fund directly, without the services of B&C. In that case, the client would not receive the services provided by B&C which are designed, among other things, to assist the client in determining which mutual fund or funds are most appropriate to each client's financial condition and objectives. Accordingly, the client should review both the fees charged by the funds and the fees charged by B&C to fully understand the total amount of fees to be paid by the client and to thereby evaluate the advisory services being provided.</p>

Complete amended pages in full, circle amended items and file with execution page (page 1). PAGE 5

**Schedule F of
Form ADV
Continuation Sheet for Form ADV
Part II**

Applicant: Bond and Company, Inc.	SEC File Number: 801- 63628	Date: 01/01/2010
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(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other schedules.)

1. Full name of applicant exactly as stated in Item 1A of Form ADV: Bond and Company, Inc.	IRS Empl. Ident. No.: 04-3513204
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Item of Form (identify)	Answer
	<p>For Massachusetts Residents: Massachusetts law requires disclosure that information on disciplinary history and the registration of B&C and its associated persons may be obtained by contacting the Public Reference Branch of the Securities and Exchange Commission at (202) 942-8090. Disciplinary history may also be obtained from the Massachusetts Securities Division at (617) 727-3548, and if asked, B&C and its associated persons must also disclose the history.</p> <p><i>No disciplinary history exists for B&C and/or its associated persons.</i></p>

Complete amended pages in full, circle amended items and file with execution page (page 1). PAGE 6

**Schedule F of
Form ADV
Continuation Sheet for Form ADV
Part II**

Applicant: Bond and Company, Inc.	SEC File Number: 801- 63628	Date: 01/01/2010
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(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other schedules.)

1. Full name of applicant exactly as stated in Item 1A of Form ADV: Bond and Company, Inc.	IRS Empl. Ident. No.: 04-3513204
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Item of Form (identify)	Answer
3.K.(3) & 3.L.	<p><u>TYPES OF INVESTMENTS</u></p> <p>LIMITED PLACEMENTS & LIMITED PARTNERSHIPS</p> <p>B&C may recommend to advisory clients, investments in private placement offerings and/or limited investment partnerships, such as, hedge funds and other pooled investment partnerships. Additional information about the investment fees is included in the offering documents provided to prospective investors. Because these types of investments involve certain additional degrees of risk, they will only be recommended when consistent with the client's stated tolerance for risk and suitability.</p> <p>Investment advice may be offered on any investments held by a client at the start of the advisory relationship. Recommendations for new investments will typically be limited to those items checked under Item 3 of Form ADV, Part II.</p>
5.	<p><u>EDUCATION AND BUSINESS STANDARDS</u></p> <p>Advisory persons associated with B&C must possess, minimally, a college degree and/or appropriate business experience and all required licenses.</p>
6.	<p><u>EDUCATION AND BUSINESS BACKGROUND</u></p> <p>FRANK S. BOND BORN: NOVEMBER 3, 1967</p> <p>EDUCATION:</p> <p>Graduated from Babson Graduate School of Business in 1995 with a MBA in Finance. Graduated from Cornell University in 1990 with a BA in Economics.</p> <p>EMPLOYMENT HISTORY:</p> <p>President and Director of Bond and Company, Inc. d/b/a Bond & Co., from 05/00 to Present. Registered investment adviser representative and registered representative of Commonwealth Financial Network from 10/96 to 09/02. Sole Proprietor of Bond & Co., from 09/99 to 01/01. Treasurer and Director of Kimbell Financial, Inc., from 10/96 to 08/99. Registered representative of Kimbell Securities, from 04/96 to 10/96.</p> <p>EXAMINATIONS AND PROFESSIONAL DESIGNATIONS:</p> <p>NASD Series 65, Uniform Investment Advisor Law Examination, 09/96. NASD Series 7, General Securities Representative Examination, 08/96 NASD Series 63, Uniform Securities Agent State Law Examination, 05/96 NASD Series 6, Investment Company and Variable Contracts Products Representative Examination, 04/96 Certified Financial Planner (CFP), 05/00.</p>

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(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other schedules.)

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Item of Form (identify)	Answer
9.E.	<p>ERICA K. BOND BORN: NOVEMBER 8, 1964</p> <p>EDUCATION:</p> <p>Graduated from Babson Graduate School of Business in 1995 with a MBA in Marketing. Graduated from Colgate University in 1986 with a BA in Economics.</p> <p>EMPLOYMENT HISTORY:</p> <p>Vice President and Director of Bond and Company, Inc. d/b/a Bond & Co., from 05/00 to Present. Operations Associate of Bond & Co., from 09/99 to 12/00. Marketing Director of Kimbell Financial, Inc., from 07/98 to 08/99. Senior Product Manager of Bose Corporation from 05/95 to 06/98.</p> <p><u>PARTICIPATION OR INTEREST IN CLIENT TRANSACTIONS</u></p> <p>B&C or individuals associated with B&C may buy or sell securities identical to or different than those recommended to customers for their personal accounts. In addition, any related person(s) may have an interest or position in a certain security(ies) which may also be recommended to a client.</p> <p>It is the expressed policy of B&C that no person employed by B&C may purchase or sell any security prior to a transaction(s) being implemented for an advisory account, and therefore, preventing such employees from benefiting from transactions placed on behalf of advisory accounts.</p> <p>As these situations represent a conflict of interest, B&C has established the following restrictions in order to ensure its fiduciary responsibilities:</p> <p>1) A director, officer or employee of B&C shall not buy or sell securities for their personal portfolio(s) where their decision is substantially derived, in whole or in part, by reason of his or her employment unless the information is also available to the investing public on reasonable inquiry. No person of B&C shall prefer his or her own interest to that of the advisory client.</p> <p>2) B&C maintains a list of all securities holdings for itself, and anyone associated with this advisory practice with access to advisory recommendations. These holdings are reviewed on a regular basis by Frank S. Bond, President, Director, and Chief Compliance Officer of B&C.</p> <p>3) B&C emphasizes the unrestricted right of the client to decline to implement any advice rendered, except in situations where B&C is granted discretionary authority of the client's account.</p> <p>4) B&C requires that all individuals must act in accordance with all applicable Federal and State regulations governing registered investment advisory practices.</p> <p>5) Any individual not in observance of the above may be subject to termination.</p>

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Item of Form (identify)	Answer
11.A.	<p><u>REVIEWS AND REVIEWERS OF ACCOUNTS</u></p> <p>PORTFOLIO MANAGEMENT SERVICES:</p> <p>While the underlying securities within Portfolio Management Services accounts are continuously monitored, these accounts are reviewed at least monthly by Frank S. Bond, President of B&C. Accounts are reviewed in the context of each client's stated investment objectives and guidelines. More frequent reviews may be triggered by material changes in variables such as the client's individual circumstances, or the market, political or economic environment.</p> <p>FINANCIAL PLANNING/CONSULTING SERVICES:</p> <p>These client accounts will be reviewed as contracted for at the inception of the advisory relationship.</p> <p>PENSION CONSULTING SERVICES:</p> <p>These client accounts will be reviewed as contracted for at the inception of the advisory relationship.</p>
11.B.	<p><u>REGULAR REPORTS PROVIDED TO CLIENTS</u></p> <p>PORTFOLIO MANAGEMENT SERVICES:</p> <p>In addition to the monthly statements and confirmations of transactions that Portfolio Management Services clients receive from their broker dealer, B&C will provide consolidated reports summarizing portfolio performance, balances and holdings on a quarterly basis or as otherwise contracted for at the inception of the advisory relationship.</p> <p>FINANCIAL PLANNING/CONSULTING SERVICES:</p> <p>These client accounts will receive reports as contracted for at the inception of the advisory relationship.</p> <p>PENSION CONSULTING SERVICES:</p> <p>These client accounts will receive reports as contracted for at the inception of the advisory relationship.</p>

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Item of Form (identify)	Answer
12.A.(1) & 12.A.(2)	<p>INVESTMENT DISCRETION</p> <p>For discretionary clients, B&C requests that it be provided with written authority to determine which securities and the amounts of securities that are bought or sold.</p> <p>Any limitations on this discretionary authority shall be included in this written authority statement. Clients may change/amend these limitations as required. Such amendments shall be submitted in writing.</p>
12.B.	<p><u>DIRECTED BROKERAGE - BROKERAGE RECOMMENDATIONS</u></p> <p>PORTFOLIO MANAGEMENT:</p> <p>As B&C does not have the discretionary authority to determine the broker dealer to be used or the commission rates to be paid, clients must direct B&C as to the broker dealer to be used. In directing the use of a particular broker or dealer, it should be understood that B&C will not have authority to negotiate commissions or obtain volume discounts, and best execution may not be achieved. In addition, a disparity in commission charges may exist between the commissions charged to other clients.</p> <p>B&C participates in the TD AMERITRADE Institutional services program (TDA) offered to independent investment advisers by TD AMERITRADE, Inc. a FINRA registered broker dealer.</p> <p>Clients in need of brokerage and custodial services will have TDA recommended to them. As part of the TDA program, B&C receives benefits that it would not receive if it did not offer investment advice (See the disclosure under Item 13.A. of this Schedule F narrative).</p> <p>B&C reserves the right to decline acceptance of any client account that directs the use of a broker dealer other than TDA, if B&C believes that the broker dealer would adversely affect B&C's fiduciary duty to the client and/or ability to effectively service the client portfolio.</p> <p>FINANCIAL PLANNING/CONSULTING:</p> <p>B&C's financial planning and consulting practice, due to the nature of its business and client needs, does not include blocking trades, negotiating commissions with broker dealers or obtaining volume discounts, nor necessarily obtaining the best price. Clients will be required to select their own broker dealers and insurance companies for the implementation of financial planning and/or consulting recommendations. B&C may recommend any one of several brokers. B&C clients must independently evaluate these brokers before opening an account. The factors considered by B&C when making this recommendation are the broker's ability to provide professional services, B&C's experience with the broker, the broker's reputation, and the broker's financial strength, among other factors. B&C's financial planning clients may use any broker or dealer of their choice.</p>

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Item of Form (identify)	Answer
13.A.	<p>SELF-DIRECTED PENSION PLAN PARTICIPANT SERVICES and PENSION CONSULTING SERVICES:</p> <p>B&C does not arrange for the execution of securities transactions for self-directed pension plans as a part of these services. The client is responsible for the implementation of securities transactions for these accounts.</p> <p><u>ADDITIONAL COMPENSATION</u></p> <p>As indicated under the disclosure for Item 12.B., B&C utilizes the services of the TD AMERITRADE Institutional services (TDA) program. While there is no direct linkage (except in certain circumstances) between the investment advice given to clients and B&C's participation in the TDA program, economic benefits are received by B&C which would not be received if B&C did not give investment advice to clients. These benefits include: A dedicated trading desk that services TDA participants exclusively, a dedicated service group and an account services manager dedicated to B&C's accounts, access to a real-time order matching system, ability to 'block' client trades, electronic download of trades, balances and positions, access, for a fee, to an electronic interface with TDA software, duplicate and batched client statements, confirmations and year-end summaries, and the ability to have advisory fees directly debited from client accounts (in accordance with federal and state requirements).</p>

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	<p><u>SUMMARY OF CODE OF ETHICS</u></p> <p>This Summary Code of Ethics ("Code") has been adopted by Bond & Company and is designed to comply with Rule 204A-1 under the Investment Advisers Act of 1940 ("Advisers Act").</p> <p>This Code establishes rules of conduct for all employees of Bond & Company and is designed to, among other things, govern personal securities trading activities in the accounts of employees. The Code is based upon the principle that Bond & Company and its employees owe a fiduciary duty to Bond & Company's clients to conduct their affairs, including their personal securities transactions, in such a manner as to avoid (i) serving their own personal interests ahead of clients, (ii) taking inappropriate advantage of their position with the firm and (iii) any actual or potential conflicts of interest or any abuse of their position of trust and responsibility.</p> <p>The Code is designed to ensure that the high ethical standards long maintained by Bond & Company continue to be applied. The purpose of the Code is to preclude activities which may lead to or give the appearance of conflicts of interest, insider trading and other forms of prohibited or unethical business conduct. The excellent name and reputation of our firm continues to be a direct reflection of the conduct of each employee.</p> <p>Pursuant to Section 206 of the Advisers Act, both Bond & Company and its employees are prohibited from engaging in fraudulent, deceptive or manipulative conduct. Compliance with this section involves more than acting with honesty and good faith alone. It means that the Bond & Company has an affirmative duty of utmost good faith to act solely in the best interest of its clients</p> <p>Bond & Company and its employees are subject to the following specific fiduciary obligations when dealing with clients:</p> <ul style="list-style-type: none"> - The duty to have a reasonable, independent basis for the investment advice provided; - The duty to obtain best execution for a client's transactions where the Firm is in a position to direct brokerage transactions for the client; - The duty to ensure that investment advice is suitable to meeting the client's individual objectives, needs and circumstances; and - A duty to be loyal to clients. <p>For a complete copy of Bond and Company's Code of ethics, please contact our office.</p>

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