

FORM ADV**Uniform Application for Investment Adviser Registration****Part II - Page 1****OMB APPROVAL**

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| | | | | | | |
|--|---------------------------------|----------|---------|------------|------------|-------------------|
| Name of Investment Adviser: LHMP Financial Services, LLC | | | | | | |
| Address: | (Number and Street) | (City) | (State) | (Zip Code) | Area Code: | Telephone Number: |
| | 100 E. Corson Street, Suite 200 | PASADENA | CA | 91103 | (626) | 744-5150 |

**This part of FORM ADV gives information about the investment adviser and its business for the use of clients.
The information has not been approved or verified by any government authority.**

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(Schedule A, B, C, D, and E are included with Part I of this Form, for the use of regulatory bodies, and are not distributed to clients.)

Potential persons who are to respond to the collection of information contained in this form
are not required to respond unless the form displays a currently valid OMB control number.

FORM ADV**Part II - Page 2**

Applicant:

LHMP Financial Services, LLC

SEC File Number:

801-66531

Date:

March 25, 2010**1. A. Advisory Services and Fees.** (check the applicable boxes)For each type of service provided, state the approximate % of total advisory billings from that service.
(See instruction below.)**Applicant:**

- | | | | |
|-------------------------------------|-----|---|------------------|
| <input checked="" type="checkbox"/> | (1) | Provides investment supervisory services | <u>75%</u> |
| <input type="checkbox"/> | (2) | Manages investment advisory accounts not involving investment supervisory services | <u> % </u> |
| <input type="checkbox"/> | (3) | Furnishes investment advice through consultations not included in either service described above | <u> % </u> |
| <input type="checkbox"/> | (4) | Issues periodicals about securities by subscription | <u> % </u> |
| <input type="checkbox"/> | (5) | Issues special reports about securities not included in any service described above | <u> % </u> |
| <input type="checkbox"/> | (6) | Issues, not as part of any service described above, any charts, graphs, formulas, or other devices which clients may use to evaluate securities | <u> % </u> |
| <input checked="" type="checkbox"/> | (7) | On more than an occasional basis, furnishes advice to clients on matters not involving securities | <u>25%</u> |
| <input type="checkbox"/> | (8) | Provides a timing service | <u> % </u> |
| <input type="checkbox"/> | (9) | Furnishes advice about securities in any manner not described above | <u> % </u> |

(Percentages should be based on applicant's last fiscal year. If applicant has not completed its first fiscal year, provide estimates of advisory billings for that year and state that the percentages are estimates.)

B. Does applicant call any of the services it checked above financial planning or some similar term?Yes ☒ No ☐**C.** Applicant offers investment advisory services for: (check all that apply)

- | | |
|---|--|
| <input checked="" type="checkbox"/> (1) A percentage of assets under management | <input type="checkbox"/> (4) Subscription fees |
| <input checked="" type="checkbox"/> (2) Hourly charges | <input type="checkbox"/> (5) Commissions |
| <input type="checkbox"/> (3) Fixed fees (not including subscription fees) | <input type="checkbox"/> (6) Other |

D. For each checked box in A above, describe on Schedule F:

- the services provided, including the name of any publication or report issued by the adviser on a subscription basis or for a fee
- applicant's basic fee schedule, how fees are charged and whether its fees are negotiable
- when compensation is payable, and if compensation is payable before service is provided, how a client may get a refund or may terminate an investment advisory contract before its expiration date

2. Types of clients - Applicant generally provides investment advice to: (check those that apply)

- | | |
|---|---|
| <input checked="" type="checkbox"/> A. Individuals | <input checked="" type="checkbox"/> E. Trusts, estates, or charitable organizations |
| <input type="checkbox"/> B. Banks or thrift institutions | <input type="checkbox"/> F. Corporations or business entities other than those listed above |
| <input type="checkbox"/> C. Investment companies | <input type="checkbox"/> G. Other (describe on Schedule F) |
| <input checked="" type="checkbox"/> D. Pension and profit sharing plans | |

Answer all items. Complete amended pages in full, circle amended items and file with execution page (page 1).

FORM ADV**Part II - Page 3**

Applicant:

LHMP Financial Services, LLC

SEC File Number:

801- **66531**

Date:

March 25, 2010**3. Types of Investments.** Applicant offers advice on the following: (check those that apply)

- | | |
|---|--|
| <input checked="" type="checkbox"/> A. Equity securities | <input checked="" type="checkbox"/> H. United States government securities |
| <input checked="" type="checkbox"/> (1) exchange-listed securities | |
| <input checked="" type="checkbox"/> (2) securities traded over-the-counter | I. Options contracts on: |
| <input checked="" type="checkbox"/> (3) foreign issues | <input type="checkbox"/> (1) securities |
| | <input type="checkbox"/> (2) commodities |
| <input checked="" type="checkbox"/> B. Warrants | J. Futures contracts on: |
| | <input type="checkbox"/> (1) tangibles |
| <input checked="" type="checkbox"/> C. Corporate debt securities (other than commercial paper) | <input type="checkbox"/> (2) intangibles |
| <input checked="" type="checkbox"/> D. Commercial paper | K. Interests in partnerships investing in: |
| | <input type="checkbox"/> (1) real estate |
| <input checked="" type="checkbox"/> E. Certificates of deposit | <input type="checkbox"/> (2) oil and gas interests |
| <input checked="" type="checkbox"/> F. Municipal securities | <input type="checkbox"/> (3) other (explain on Schedule F) |
| G. Investment company securities: | <input type="checkbox"/> L. Other (explain on Schedule F) |
| <input type="checkbox"/> (1) variable life insurance | |
| <input type="checkbox"/> (2) variable annuities | |
| <input checked="" type="checkbox"/> (3) mutual fund shares | |

4. Methods of Analysis, Sources of Information, and Investment Strategies.

A. Applicant's security analysis methods include: (check those that apply)

- | | |
|---|--|
| (1) <input type="checkbox"/> Charting | (4) <input type="checkbox"/> Cyclical |
| (2) <input checked="" type="checkbox"/> Fundamental | (5) <input type="checkbox"/> Other (explain on Schedule F) |
| (3) <input checked="" type="checkbox"/> Technical | |

B. The main sources of information applicant uses include: (check those that apply)

- | | |
|---|--|
| (1) <input checked="" type="checkbox"/> Financial newspapers and magazines | (5) <input type="checkbox"/> Timing services |
| (2) <input type="checkbox"/> Inspections of corporate activities | (6) <input checked="" type="checkbox"/> Annual reports, prospectuses, filings with the Securities and Exchange Commission |
| (3) <input checked="" type="checkbox"/> Research materials prepared by others | (7) <input checked="" type="checkbox"/> Company press releases |
| (4) <input checked="" type="checkbox"/> Corporate rating services | (8) <input type="checkbox"/> Other (explain on Schedule F) |

C. The investment strategies used to implement any investment advice given to clients include: (check those that apply)

- | | |
|--|--|
| (1) <input checked="" type="checkbox"/> Long term purchases (securities held at least a year) | (5) <input type="checkbox"/> Margin transactions |
| (2) <input checked="" type="checkbox"/> Short term purchases (securities sold within a year) | (6) <input type="checkbox"/> Option writing, including covered options, uncovered options or spreading strategies |
| (3) <input type="checkbox"/> Trading (securities sold within 30 days) | (7) <input type="checkbox"/> Other (explain on Schedule F) |
| (4) <input type="checkbox"/> Short sales | |

Answer all items. Complete amended pages in full, circle amended items and file with execution page (page 1).

FORM ADV**Part II - Page 4**

Applicant:

LHMP Financial Services, LLC

SEC File Number:

801-**66531**

Date:

March 25, 2010**5. Education and Business Standards.**

Are there any general standards of education or business experience that applicant requires of those involved in determining or giving investment advice to clients?

Yes No
☒ ☐

(If yes, describe these standards on Schedule F.)

6. Education and Business Background.

For:

- each member of the investment committee or group that determines general investment advice to be given to clients, or
- if the applicant has no investment committee or group, each individual who determines general investment advice given to clients (if more than five, respond only for their supervisors)
- each principal executive officer of applicant or each person with similar status or performing similar functions.

On Schedule F, give the:

- | | |
|-----------------|--|
| • name | • formal education after high school |
| • year of birth | • business background for the preceding five years |

7. Other Business Activities. (check those that apply)

- ☒ A. Applicant is actively engaged in a business other than giving investment advice.
- ☒ B. Applicant sells products or services other than investment advice to clients.
- ☒ C. The principal business of applicant or its principal executive officers involves something other than providing investment advice.

(For each checked box describe the other activities, including the time spent on them, on Schedule F.)

8. Other Financial Industry Activities or Affiliations. (check those that apply)

- ☐ A. Applicant is registered (or has an application pending) as a securities broker-dealer.
- ☐ B. Applicant is registered (or has an application pending) as a futures commission merchant, commodity pool operator or commodity trading adviser.
- C. Applicant has arrangements that are material to its advisory business or its clients with a related person who is a:
- | | |
|--|--|
| <input type="checkbox"/> (1) broker-dealer | <input checked="" type="checkbox"/> (7) accounting firm |
| <input type="checkbox"/> (2) investment company | <input type="checkbox"/> (8) law firm |
| <input type="checkbox"/> (3) other investment adviser | <input checked="" type="checkbox"/> (9) insurance company or agency |
| <input type="checkbox"/> (4) financial planning firm | <input type="checkbox"/> (10) pension consultant |
| <input type="checkbox"/> (5) commodity pool operator, commodity trading adviser or futures commission merchant | <input type="checkbox"/> (11) real estate broker or dealer |
| <input type="checkbox"/> (6) banking or thrift institution | <input type="checkbox"/> (12) entity that creates or packages limited partnerships |

(For each checked box in C, on Schedule F identify the related person and describe the relationship and the arrangements.)

- D. Is applicant or a related person a general partner in any partnership in which clients are solicited to invest?.....

Yes No
☐ ☒

(If yes, describe on Schedule F the partnerships and what they invest in.)

Answer all items. Complete amended pages in full, circle amended items and file with execution page (page 1).

Applicant:

LHMP Financial Services, LLC

SEC File Number:

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Date:

March 25, 2010

9. Participation or Interest in Client Transactions.

Applicant or a related person: (check those that apply)

- ☐ A. As principal, buys securities for itself from or sells securities it owns to any client.
- ☐ B. As broker or agent effects securities transactions for compensation for any client.
- ☐ C. As broker or agent for any person other than a client effects transactions in which client securities are sold to or bought from a brokerage customer.
- ☐ D. Recommends to clients that they buy or sell securities or investment products in which the applicant or a related person has some financial interest.
- ☒ E. Buys or sells for itself securities that it also recommends to clients.

(For each box checked, describe on Schedule F when the applicant or a related person engages in these transactions and what restrictions, internal procedures, or disclosures are used for conflicts of interest in those transactions.)

Describe, on Schedule F, your code of ethics, and state that you will provide a copy of your code of ethics to any client or prospective client upon request.

- 10. Conditions for Managing Accounts.** Does the applicant provide investment supervisory services, manage investment advisory accounts or hold itself out as providing financial planning or some similarly termed services *and* impose a minimum dollar value of assets or other conditions for starting or maintaining an account? Yes No

☒☐

(If yes, describe on Schedule F.)

- 11. Review of Accounts.** If applicant provides investment supervisory services, manages investment advisory accounts, or holds itself out as providing financial planning or some similarly termed services:

- A. Describe below the reviews and reviewers of the accounts. **For reviews**, include their frequency, different levels, and triggering factors. **For reviewers**, include the number of reviewers, their titles and functions, instructions they receive from applicant on performing reviews, and number of accounts assigned each.

LHMP Financial Services, LLC ("LHMP") continuously monitors each client portfolio to identify those situations that may warrant either a more detailed review or specific action on behalf of a portfolio. In addition, LHMP typically reviews its client portfolios at least annually, and on a quarterly or other basis, as agreed upon by the client, for conformity with the respective portfolios' specific investment objectives and all applicable rules and regulations. LHMP also examines on a regular basis investment results for a variety of time periods.

LHMP does not verify performance data provided to it, except to the extent of calculation methods and the related account holdings shown. LHMP management personnel require all LHMP Advisory Associates' past performance results to comply with all applicable industry standards. Where appropriate, LHMP may change or recommend a change of the LHMP advisory associate for the client's account. With respect to certain of its advisory programs, LHMP at least annually contacts the client to determine whether there have been any changes in the client's financial situation, investment objective, investment needs and/or restrictions, if any, on the account.

- B. Describe below the nature and frequency of regular reports to clients on their accounts.

Through custodian websites such as www.fidelity.com, clients are provided with daily access to reports via the internet. LHMP generated reports include information regarding the account's securities positions, values and performance.

Account performance figures are calculated in accordance with industry standards and are presented net of any applicable program fees and related clearing charges, if any. Clients also receive confirmation of each securities transaction in their respective accounts, other than with respect to money market funds.

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Applicant:

LHMP Financial Services, LLC

SEC File Number:

801-66531

Date:

March 25, 2010**12. Investment or Brokerage Discretion.**

A. Does applicant or any related person have authority to determine, without obtaining specific client consent, the:

(1) securities to be bought or sold?

Yes No

☒ ☐

(2) amount of the securities to be bought or sold ?

Yes No

☒ ☐

(3) broker or dealer to be used ?

Yes No

☒ ☐

(4) commission rates paid?

Yes No

☐ ☒

B. Does applicant or a related person suggest brokers to clients?

Yes No

☒ ☐

For each yes answer to A describe on Schedule F any limitations on the authority. For each yes to A(3), A(4) or B, describe on Schedule F the factors considered in selecting brokers and determining the reasonableness of their commissions. If the value of products, research and services given to the applicant or a related person is a factor, describe:

- the products, research and services
- whether clients may pay commissions higher than those obtainable from other brokers in return for those products and services
- whether research is used to service all of applicant's accounts or just those accounts paying for it; and
- any procedures the applicant used during the last fiscal year to direct client transactions to a particular broker in return for products and research services received.

13. Additional Compensation.

Does the applicant or a related person have any arrangements, oral or in writing, where it:

A. is paid cash by or receives some economic benefit (including commissions, equipment or non-research services) from a non-client in connection with giving advice to clients?

Yes No

☒ ☐

B. directly or indirectly compensates any person for client referrals?

Yes No

☒ ☐

(For each yes, describe the arrangements on Schedule F.)

14. Balance Sheet. Applicant must provide a balance sheet for the most recent fiscal year on Schedule G if applicant:

- has custody of client funds or securities unless applicant is registered or registering only with the Securities and Exchange Commission; or
- requires prepayment of more than \$500 in fees per client and 6 or more months in advance

Has applicant provided a Schedule G balance sheet?

Yes No

☐ ☒**Answer all items. Complete amended pages in full, circle amended items and file with execution page (page 1).**

| | | |
|------------------------------|------------------|----------------|
| Applicant: | SEC File Number: | Date: |
| LHMP Financial Services, LLC | 801- 66531 | March 25, 2010 |

| | | |
|------------------------------|---|------------------------|
| LHMP Financial Services, LLC | | Tax id # 95-4769346 |
| Item of Form (identify) | Answer | |
| Item 1D | <p>SERVICES PROVIDED</p> <p>LHMP Financial Services, LLC ("LHMP") provides a variety of investment advisory services to clients including: personalized portfolio management, asset allocation, financial planning and consulting services. The services available under each of these noted categories may, depending upon the individual client needs and circumstances, be offered according to different formats and levels of complexity. New clients are required to execute a Financial Planning and Investment Advisory Agreement which defines the relationship between the client and LHMP.</p> <p>As requested, LHMP will create a written personal financial plan which reflects a client's current financial circumstances, financial outlook and personal risk/reward objectives. Personal financial plans can include, but are not limited to, planning in the following areas: wealth accumulation, estate structure, risk management, income tax, cash flow, retirement and education funding, portfolio evaluation and asset repositioning.</p> <p>CREATING A PERSONAL FINANCIAL PLAN</p> <p>In creating a client's personal financial plan, the client and LHMP follow the process outlined below:</p> <ul style="list-style-type: none"> a. Data - Client provides LHMP with comprehensive data on his or her current and prospective financial and personal circumstances. b. Objectives - LHMP helps clients determine their own specific financial objectives, taking into account such matters as current and anticipated income and income tax levels, investment and non-investment risk/reward tolerance, attitudes toward the future of the economy and assumptions about the inflation rate. c. Financial Planning Services - On the basis of the data provided by the client and the objectives the client has chosen, LHMP constructs a personal financial plan which can include, but is not limited to, wealth accumulation, estate structure, risk management, income tax, cash flow, retirement and education funding, portfolio evaluation and asset repositioning. d. Client Review - LHMP reviews the financial plan with the client, providing an opportunity for the client to suggest such modifications as desired. <p>RESPONSIBILITIES OF THE CLIENT</p> <p>Clients agree to provide complete information regarding income, investments, income tax situation, estate plans and other matters requested by LHMP. Clients also agree to discuss their financial situation candidly and to keep LHMP informed of changes in their situation, needs and goals. Clients acknowledge that LHMP cannot adequately perform its services on their behalf unless such information is provided, and that analysis and recommendations are based on the information which they provide. Clients agree to permit LHMP to consult with and obtain information from their attorney, accountant or other advisors and agree that LHMP shall not be required to verify any such information obtained from the client, their attorney, accountant or other advisors.</p> | |

Complete amended pages in full, circle amended items and file with execution page (page 1).

**Schedule F of
Form ADV**

Continuation Sheet for Form ADV Part II

Applicant:

LHMP Financial Services, LLC

SEC File Number:

801- 66531

Date:

March 25, 2010

LHMP Financial Services, LLC

Tax id #

95-4769346

Item of Form
(identify)

Answer

Item 1D Continued

COMPENSATION TO LHMP FOR FINANCIAL PLANNING SERVICES

Fees are charged on an hourly basis for financial planning services. Fees are \$250/\$150/\$75 an hour based upon level of employee (Partner/Manager/Staff) providing services. Hourly fees charged to a client may be offset by investment advisory fees and commissions received by LHMP. A retainer may be charged in advance of the plan, based on an estimate of the hours needed to complete the document. In such cases, the retainer is disclosed in the Client Agreement, which is signed by both parties. Otherwise, financial planning fees are due upon completion of the plan or planning project.

IMPLEMENTING THE FINANCIAL PLAN

If the client desires, LHMP, acting in an investment advisor capacity, will recommend specific financial products through which client's plan may be implemented. The purchase of such products and or investments may result in LHMP's receipt of fees and/or commissions, which may or may not be disclosed to the client. The client recognizes that specific recommendations may include certain market risks. LHMP makes no performance guarantees. Any market losses resulting from the purchase, sale or exchange of any security or product recommendation made by LHMP are normal market risks. A conflict of interest may exist when financial planning clients engage LHMP in the implementation of their financial plan to the extent that LHMP may receive a fee and/or commission on some investments recommended to planning clients. Clients are under no obligation to implement any of the recommendations made by LHMP. If the client elects to act on any of the recommendations, the client is under no obligation to effect the transaction through the investment advisor.

Investment advisory clients pay LHMP 1.00% annual fee for its investment advisory services, based on the fair market value of the assets in the client's advisory account, as valued by the Custodian. These fees are paid quarterly in advance at the rate of one quarter of the annual rate.

Clients are also responsible for the transaction costs to acquire the recommended securities. These charges basically are institutional commission rates from clearing firms such as Fidelity Brokerage Services, LLC. LHMP receives no fees or commissions for securities trades in client accounts. If clients choose to implement their personal financial plan through us, LHMP will, at the client's request, coordinate the necessary steps in conjunction with the client's attorney and/or accountant. However, LHMP's services are analytical and advisory only and do not include any legal, accounting or other professional service.

GENERAL INFORMATION ON FEES

In certain circumstances, fees and account minimums may be negotiable, so clients receiving the same service from LHMP may be paying different fees.

The fee charge is calculated as described above and is not charged on the basis of a share of capital gains or upon capital appreciation of the funds or any portion of the funds of an advisory client (Section 205(a)(1) of the Investment Advisers Act).

Complete amended pages in full, circle amended items and file with execution page (page 1).

**Schedule F of
Form ADV**

Continuation Sheet for Form ADV Part II

Applicant:

LHMP Financial Services, LLC

SEC File Number:

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March 25, 2010

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Item of Form
(identify)

Answer

**Item 1D
Continued**

A client agreement may be canceled at any time, by either party, for any reason upon receipt of written notice. Upon termination of any account, any prepaid, unearned fees will be promptly refunded, or if there are financial planning fees due to the firm, client will pay the firm for any fees due. The client has the right to terminate an agreement without penalty or fees within five business days after entering into the agreement.

All fees paid to LHMP for investment advisory services are separate and distinct from the fees and expenses charged by mutual funds or money market funds to their shareholders. These fees and expenses are described in each fund's prospectus. These fees will generally include a management fee, other fund expenses, and a possible distribution fee. If the fund also imposes sales charges, a client may pay an initial or deferred sales charge. A client could invest in a mutual fund directly, without the services of LHMP. In that case, the client would not receive the services provided by LHMP which are designed, among other things, to assist the client in determining which mutual fund or funds are most appropriate to each client's financial condition and objectives. Accordingly, the client should review both the fees charged by the funds and the fees charged by LHMP to fully understand the total amount of fees to be paid by the client and to thereby evaluate the advisory services being provided.

COMPENSATION TO LHMP FOR LIFE INSURANCE

As requested, LHMP will assist clients in obtaining life insurance. Commissions are paid to LHMP personnel by insurance companies based upon the product purchased. Commissions are paid to LHMP personnel upon approval of policies and payment premiums by clients and are not disclosed.

PERIODIC UPDATING OF FINANCIAL PLANS

Because changes in external and personal circumstances can have major planning implications, LHMP strongly recommends that clients consider updating their personal financial plan annually. If clients wish to update their financial plan, client provides LHMP with any new data concerning their financial circumstances or objectives. At such time, LHMP notifies clients of the fees to be charged, these fees depend upon the extent to which client situations have changed, the required level of financial planning services, and the complexity of the clients' current situations and needs. LHMP retains the right to decline updating services to any of its clients.

REAL ESTATE TRANSACTIONS

LHMP also works with its clients holding real estate property, assisting with the process and documentation for effecting tax-advantaged transactions. These transactions may result in a commission payment to LHMP, which is not disclosed to the affected client.

Complete amended pages in full, circle amended items and file with execution page (page 1).

**Schedule F of
Form ADV**

Continuation Sheet for Form ADV Part II

Applicant:

LHMP Financial Services, LLC

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Date:

March 25, 2010

LHMP Financial Services, LLC

Tax id #

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Item of Form
(identify)

Answer

Item 5

EDUCATION AND BUSINESS STANDARDS

LHMP does not maintain specific criteria regarding education or business experience for its personnel; rather, it prefers to assess each individual on his or her own particular merits and capabilities. LHMP does, however, ensure that all executives and officers have obtained relevant higher education and/or professional qualifications and/or have obtained experiences in specific investment fields and, further, that LHMP personnel providing services are appropriately licensed.

Item 6

William R. Lucas, Managing Member of LHMP, 1999 to Present
Year of Birth: 1932
Bachelor of Business Administration- Accounting, Woodbury University, 1953
Personal Financial Specialist , American Institute of Certified Public Accountants, 2002
Business background – Certified Public Accountant, California, 1957 to present
Partner; Lucas, Horsfall, Murphy & Pindroh, LLP. 1998 to 2002

James P. Murphy, Managing Member of LHMP, 1999 to Present
Year of Birth: 1943
Bachelor of Arts – Economics, U.C.L.A., 1966
Masters of Business Administration, U.S.C., 1973
Masters of Business Taxation, U.S.C., 1976
Certified Financial Planner, College of Financial Planning, 1986
Personal Financial Specialist, American Institute of Certified Public Accountants, 2001
Business background – Certified Public Accountant, California, 1973 to present
Partner; Lucas, Horsfall, Murphy & Pindroh, LLP. 1998 to Present

Scott F. Colton, Managing Member of LHMP, 1999 to Present and Chief Compliance Officer of LHMP, 2006 to Present
Year of Birth: 1967
Bachelor of Science – Accounting, Brigham Young University, 1993
Masters of Accountancy – Taxation, Brigham Young University, 1993
Personal Financial Specialist, American Institute of Certified Public Accountants, 2001
Business background - Certified Public Accountant, California, 1996 to present
Manager; Lucas, Horsfall, Murphy & Pindroh, LLP 1999 to 2005

Item 7A

In addition to financial planning and investment advising, LHMP also offers its clients access to life insurance products and tax-advantaged real estate transactions. These additional services may be offered to clients with or without investment advice. LHMP personnel spend approximately 5% of their time providing services to clients that do not involve investment advice or financial planning.

Item 7B&C

Associated Persons of LHMP may also be licensed insurance and real estate agents. LHMP or its Associated Persons may receive compensation for their/its activities as insurance or real estate agents. LHMP personnel spend approximately 5% of their time in connection with these activities.

Most personnel associated with LHMP are also principals of a related public accounting

Complete amended pages in full, circle amended items and file with execution page (page 1).

**Schedule F of
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Continuation Sheet for Form ADV Part II

| | | |
|-------------------------------------|-------------------|-----------------------|
| Applicant: | SEC File Number: | Date: |
| LHMP Financial Services, LLC | 801- 66531 | March 25, 2010 |

| | | |
|-------------------------------------|--|-------------------------------|
| LHMP Financial Services, LLC | | Tax id # 95-4769346 |
| Item of Form (identify) | Answer | |
| | <p>firm; Lucas, Horsfall, Murphy & Pindroh, LLP, and as such, perform accounting services for clients and nonclients of LHMP. Mr. Colton spends all of his time attending to clients and the business of LHMP. All other personnel listed below spend the majority of their time attending to the business and clients of the related accounting firm.</p> | |
| Item 8C3 | <p>LHMP or its Associated Persons may introduce clients to various advisory firms for which LHMP will be compensated for consulting services. The firm currently introduces clients to UBS Paine Weber.</p> | |
| Item 8C7 | <p>The majority of the owners of LHMP are also owners of the C.P.A. firm Lucas, Horsfall, Murphy & Pindroh, LLP.</p> <p>All accounting services provided by Lucas, Horsfall, Murphy & Pindroh, LLP are solely the responsibility of that entity. LHMP is not in the business of accounting services, and do not endorse or guarantee any accounting services that Lucas, Horsfall, Murphy & Pindroh, LLP provides.</p> <p>Lucas, Horsfall, Murphy & Pindroh, LLP is not in the business of providing investment advisory services, mortgage services or insurance products, nor does it endorse or guarantee the performance of such products. All insurance products are offered by an Associated Person of LHMP in their capacity as an insurance agent of various insurance companies. All investment advisory services are offered through Associated Persons of LHMP in their capacity as an investment adviser representative of LHMP.</p> | |
| Item 8C9 | <p>LHMP or its investment Advisor Representatives are appointed with various life, disability, and other insurance companies. As such, LHMP or its investment Advisor Representatives may recommend the products offered by these insurance companies. If clients purchase these products through LHMP or its Investment Adviser Representatives, LHMP or its Investment Adviser Representative may receive the normal commissions or fees for affecting such sales. When commissions or fees are received by LHMP or its Investment Adviser Representatives in connection with the advice given to advisory clients, LHMP may reduce a portion of its fee by the amount of the commission or fee earned by LHMP or its Investment Adviser Representatives. However, clients should note that they are under no obligation to purchase any life, disability, or other insurance product through LHMP or Investment Adviser Representatives of LHMP.</p> | |
| Item 8C11 | <p>Associated Persons of LHMP may be licensed real estate agents in the State of California. As such, Associated Persons may recommend the use various real estate brokers to effect mortgage financing transactions. Associated Persons may be compensated by the real estate broker for providing mortgage financing services. Clients are under no obligation to effect mortgage financing transactions recommended by the Associated Person.</p> | |
| Item 9E | <p>Occasionally, LHMP or its Associated Persons may buy or sell for themselves/itself securities that LHMP or its Associated Persons recommend to clients. Such trades (except trades in mutual funds, money market funds, US Treasuries, etc.) cannot conflict with trades enacted by LHMP for clients, so cannot occur on the same day trades are placed</p> | |

Complete amended pages in full, circle amended items and file with execution page (page 1).

**Schedule F of
Form ADV**

Continuation Sheet for Form ADV Part II

Applicant:

LHMP Financial Services, LLC

SEC File Number:

801- 66531

Date:

March 25, 2010

LHMP Financial Services, LLC

Tax id #

95-4769346

Item of Form
(identify)

Answer

for clients. LHMP has a Code of Ethics in place outlining the above trading policy for employees, which is available to clients upon request at no charge.

LHMP does not vote proxies for its clients.

Item 10

Generally, LHMP provides services under its advisory programs only for client accounts with an initial value of at least \$50,000.

Item 12B

Associated Persons of LHMP may recommend (but does not require) the use of Fidelity as the account custodian or broker-dealer to effect securities recommendations. Factors considered in selecting the broker-dealer suggested by LHMP include the ease with which LHMP can conduct day-to-day administration of accounts with such broker dealer; the ease with which clients can open accounts, obtain information and execute trades with such broker dealer; and reasonableness of commissions. In considering reasonableness of commissions, LHMP takes into account the commission schedule in conjunction with the array and quality of additional services provided to LHMP and its clients. LHMP receives no fees or commissions for security trades in client accounts.

Complete amended pages in full, circle amended items and file with execution page (page 1).

**Schedule F of
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Item 13A

Additional Compensation:

LHMP refers some clients requiring investment advisory services to UBS Paine Weber, for which LHMP receives a fee. This arrangement is fully disclosed as required to all referred clients and is not material to LHMP's annual business.

COMPENSATION TO LHMP FOR CONSULTING SERVICES

LHMP or its Associated Persons may introduce clients to various unaffiliated advisory firms providing investment advisory services ("advisory firms") for which LHMP personnel are compensated. Advisory firms have agreed to compensate LHMP personnel consulting fees out of the advisory fee which the client pays to the advisory firm. The fees charged by each advisory firm are based upon the fee schedule set by such advisory firm. Clients are provided full disclosure of fees charged by the advisory firm prior to entering into the advisory service agreement. The fees received by LHMP may or may not be disclosed to the client. The client is under no obligation to enter into any agreement with any advisory firms to which LHMP introduces him or her.

COMPENSATION FOR REAL ESTATE TRANSACTIONS

As disclosed in response to Items 1, 7 and 8 above, LHMP personnel may be registered as real estate agents with the State of California, and as such, may receive commissions on real estate transactions recommended by LHMP. These real estate agents may also receive a fee from real estate brokers for arranging mortgage financing for clients.

Complete amended pages in full, circle amended items and file with execution page (page 1).

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Answer

Item 13.B

Investment Advisor Representatives of LHMP may share fees earned in connection with clients referred to LHMP. LHMP does not compensate directly or indirectly any person for the referral of a client, if the referrer is not an Investment Advisor Representative of LHMP. LHMP has no solicitation agreements with any person.

Complete amended pages in full, circle amended items and file with execution page (page 1).