

FORM ADV**Uniform Application for Investment Adviser Registration****Part II - Page 1****OMB APPROVAL**

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Name of Investment Adviser: RON DENK INVESTMENT ADVISORY SERVICES, LTD.						
Address:	(Number and Street)	(City)	(State)	(Zip Code)	Area Code:	Telephone Number:
	2702 N. Third Street, Suite 4001	Phoenix	AZ	85004	(602)	252-8700

**This part of FORM ADV gives information about the investment adviser and its business for the use of clients.
The information has not been approved or verified by any government authority.**

Table of Contents

<u>Item Number</u>	<u>Item</u>	<u>Page</u>
1	Advisory Services and Fees	2
2	Types of Clients	2
3	Types of Investments	3
4	Methods of Analysis, Sources of Information and Investment Strategies	3
5	Education and Business Standards	4
6	Education and Business Background	4
7	Other Business Activities	4
8	Other Financial Industry Activities or Affiliations	4
9	Participation or Interest in Client Transactions	5
10	Conditions for Managing Accounts	5
11	Review of Accounts	5
12	Investment or Brokerage Discretion	6
13	Additional Compensation	6
14	Balance Sheet	6
	Continuation Sheet	Schedule F

(Schedule A, B, C, D, and E are included with Part I of this Form, for the use of regulatory bodies, and are not distributed to clients.)

Potential persons who are to respond to the collection of information contained in this form
are not required to respond unless the form displays a currently valid OMB control number.

FORM ADV
Part II - Page 2

Applicant:

RON DENK INVESTMENT ADVISORY SERVICES, LTD.

SEC File Number:

801- 62600

Date:

1/6/2009

1. A. Advisory Services and Fees. (check the applicable boxes)

For each type of service provided, state the approximate % of total advisory billings from that service. (See instruction below.)

Applicant:

- | | | | |
|-------------------------------------|---|-------|---|
| <input checked="" type="checkbox"/> | (1) Provides investment supervisory services | 70.00 | % |
| <input type="checkbox"/> | (2) Manages investment advisory accounts not involving investment supervisory services..... | | % |
| <input type="checkbox"/> | (3) Furnishes investment advice through consultations not included in either service described above... | | % |
| <input type="checkbox"/> | (4) Issues periodicals about securities by subscription | | % |
| <input type="checkbox"/> | (5) Issues special reports about securities not included in any service described above..... | | % |
| <input type="checkbox"/> | (6) Issues, not as part of any service described above, any charts, graphs, formulas, or other devices
which clients may use to evaluate securities..... | | % |
| <input checked="" type="checkbox"/> | (7) On more than an occasional basis, furnishes advice to clients on matters not involving securities... | 25.00 | % |
| <input type="checkbox"/> | (8) Provides a timing service | | % |
| <input checked="" type="checkbox"/> | (9) Furnishes advice about securities in any manner not described above..... | 5.00 | % |

(Percentages should be based on applicant's last fiscal year. If applicant has not completed its first fiscal year, provide estimates of advisory billings for that year and state that the percentages are estimates.)

B. Does applicant call any of the services it checked above financial planning or some similar term?

Yes	No
<input checked="" type="checkbox"/>	<input type="checkbox"/>

C. Applicant offers investment advisory services for: (check all that apply)

- | | |
|--|--|
| <input checked="" type="checkbox"/> (1) A percentage of assets under management | <input type="checkbox"/> (4) Subscription fees |
| <input checked="" type="checkbox"/> (2) Hourly charges | <input type="checkbox"/> (5) Commissions |
| <input checked="" type="checkbox"/> (3) Fixed fees (not including subscription fees) | <input type="checkbox"/> (6) Other |

D. For each checked box in A above, describe on Schedule F:

- the services provided, including the name of any publication or report issued by the adviser on a subscription basis or for a fee
- applicant's basic fee schedule, how fees are charged and whether its fees are negotiable
- when compensation is payable, and if compensation is payable before service is provided, how a client may get a refund or may terminate an investment advisory contract before its expiration date

2. Types of clients - Applicant generally provides investment advice to: (check those that apply)

- | | |
|---|--|
| <input checked="" type="checkbox"/> A. Individuals | <input checked="" type="checkbox"/> E Trusts, estates, or charitable organizations |
| <input type="checkbox"/> B. Banks or thrift institutions | <input checked="" type="checkbox"/> F. Corporations or business entities other than those listed above |
| <input type="checkbox"/> C. Investment companies | <input type="checkbox"/> G. Other (describe on Schedule F) |
| <input checked="" type="checkbox"/> D. Pension and profit sharing plans | |

Answer all items. Complete amended pages in full, circle amended items and file with execution page (page 1)

FORM ADV
Part II - Page 3

Applicant:

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1/6/2009

3. Types of Investments. Applicant offers advice on the following: (check those that apply)

- | | |
|--|--|
| <input checked="" type="checkbox"/> A. Equity securities | <input checked="" type="checkbox"/> H. United States government securities |
| <input checked="" type="checkbox"/> (1) exchange-listed securities | <input checked="" type="checkbox"/> I. Options contracts on: |
| <input checked="" type="checkbox"/> (2) securities traded over-the-counter | <input checked="" type="checkbox"/> (1) securities |
| <input checked="" type="checkbox"/> (3) Foreign issuers | <input type="checkbox"/> (2) commodities |
| <input checked="" type="checkbox"/> B. Warrants | <input type="checkbox"/> J. Futures contracts on: |
| <input checked="" type="checkbox"/> C. Corporate debt securities (other than commercial paper) | <input type="checkbox"/> (1) tangibles |
| <input checked="" type="checkbox"/> D. Commercial paper | <input type="checkbox"/> (2) intangibles |
| <input checked="" type="checkbox"/> E. Certificates of deposit | <input type="checkbox"/> K. Interests in partnerships investing in: |
| <input checked="" type="checkbox"/> F. Municipal securities | <input checked="" type="checkbox"/> (1) real estate |
| <input checked="" type="checkbox"/> G. Investment company securities: | <input checked="" type="checkbox"/> (2) oil and gas interests |
| <input checked="" type="checkbox"/> (1) variable life insurance | <input checked="" type="checkbox"/> (3) other (explain on Schedule F) |
| <input checked="" type="checkbox"/> (2) variable annuities | <input type="checkbox"/> L. Other (explain on Schedule F) |
| <input checked="" type="checkbox"/> (3) mutual fund shares | |

4. Methods of Analysis, Sources of Information, and Investment Strategies.

A. Applicant's security analysis methods include: (check those that apply)

- | | |
|---|---|
| (1) <input checked="" type="checkbox"/> Charting | (4) <input checked="" type="checkbox"/> Cyclical |
| (2) <input checked="" type="checkbox"/> Fundamental | (5) <input checked="" type="checkbox"/> Other (explain on Schedule F) |
| (3) <input checked="" type="checkbox"/> Technical | |

B. The main sources of information applicant uses include: (check those that apply)

- | | |
|---|--|
| (1) <input checked="" type="checkbox"/> Financial newspapers and magazines | (5) <input checked="" type="checkbox"/> Timing services |
| (2) <input type="checkbox"/> Inspections of corporate activities | (6) <input checked="" type="checkbox"/> Annual reports, prospectuses, filings with the |
| (3) <input checked="" type="checkbox"/> Research materials prepared by others | Securities and Exchange Commission |
| (4) <input checked="" type="checkbox"/> Corporate rating services | (7) <input checked="" type="checkbox"/> Company press releases |
| | (8) <input checked="" type="checkbox"/> Other (explain on Schedule F) |

C. The investment strategies used to implement any investment advice given to clients include: (check those that apply)

- | | |
|--|--|
| (1) <input checked="" type="checkbox"/> Long term purchases
(securities held at least a year) | (5) <input checked="" type="checkbox"/> Margin transactions |
| (2) <input checked="" type="checkbox"/> Short term purchases
(securities sold within a year) | (6) <input type="checkbox"/> Option writing, including covered options,
uncovered options or spreading strategies |
| (3) <input checked="" type="checkbox"/> Trading (securities sold within 30 days) | (7) <input checked="" type="checkbox"/> Other (explain on Schedule F) |
| (4) <input type="checkbox"/> Short sales | |

Answer all items. Complete amended pages in full, circle amended items and file with execution page (page 1)

FORM ADV
Part II - Page 4

Applicant:

RON DENK INVESTMENT ADVISORY SERVICES, LTD.

SEC File Number:

801- 62600

Date:

1/6/2009

5. Education and Business Standards.

Are there any general standards of education or business experience that applicant requires of those involved in determining or giving investment advice to clients? Yes No
☒ ☐

(If yes, please describe these standards on Schedule F)

6. Education and Business Background.

For:

- each member of the investment committee or group that determines general investment advice to be given to clients, or
- if the applicant has no investment committee or group, each individual who determines general investment advice clients (if more than five, respond only for their supervisors)
- each principal executive officer of applicant or each person with similar status or performing similar functions.

On Schedule F, give the:

- name
- year of birth
- formal education after high school
- business background for the preceding five years

7. Other Business Activities. (check those that apply)

- ☐ A. Applicant is actively engaged in a business other than giving investment advice.
- ☒ B. Applicant sells products or services other than investment advice to clients.
- ☐ C. The principal business of applicant or its principal executive officers involves something other than providing investment advice.

(For each checked box describe the other activities, including the time spent on them, on Schedule F.)

8. Other Financial Industry Activities or Affiliations. (check those that apply)

- ☐ A. Applicant is registered (or has an application pending) as a securities broker-dealer.
- ☐ B. Applicant is registered (or has an application pending) as a futures commission merchant, commodity pool operator or commodity trading adviser.
- ☐ C. Applicant has arrangements that are material to its advisory business or its clients with a related person who is a:
- | | |
|--|--|
| <input type="checkbox"/> (1) broker-dealer | <input type="checkbox"/> (7) accounting firm |
| <input type="checkbox"/> (2) investment company | <input type="checkbox"/> (8) law firm |
| <input type="checkbox"/> (3) other investment adviser | <input type="checkbox"/> (9) insurance company or agency |
| <input type="checkbox"/> (4) financial planning firm | <input type="checkbox"/> (10) pension consultant |
| <input type="checkbox"/> (5) commodity pool operator, commodity trading adviser or futures commission merchant | <input type="checkbox"/> (11) real estate broker or dealer |
| <input type="checkbox"/> (6) banking or thrift institution | <input type="checkbox"/> (12) entity that creates or packages limited partnerships |

(For each checked box in C, on Schedule F identify the related person and describe the relationship and the arrangements.)

- D. Is applicant or a related person a general partner in any partnership in which clients are solicited to invest?.. Yes No
☐ ☒

(If yes, describe on Schedule F the partnerships and what they invest in.)

Answer all items. Complete amended pages in full, circle amended items and file with execution page (page 1)

FORM ADV
Part II - Page 5

Applicant:

RON DENK INVESTMENT ADVISORY SERVICES, LTD.

SEC File Number:

801- 62600

Date:

1/6/2009

9. Participation or Interest in Client Transactions.

Applicant or a related person: (check those that apply)

- ☐ A. As principal, buys securities for itself from or sells securities it owns to any client.
- ☒ B. As broker or agent effects securities transactions for compensation for any client.
- ☐ C. As broker or agent for any person other than a client effects transactions in which client securities are sold to or bought from a brokerage customer.
- ☒ D. Recommends to clients that they buy or sell securities or investment products in which the applicant or a related person has some financial interest.
- ☒ E. Buys or sell for itself securities it also recommended to clients.

(For each box checked, describe on Schedule F when the applicant or a related person engages in these transactions and what restrictions, internal procedures, or disclosures are used for conflicts of interest in those transactions.)

Describe, on Schedule F, your code of ethics, and state that you will provide a copy of your code of ethics to any client or prospective client upon request.

- 10. Conditions for Managing Accounts.** Does the applicant provide investment advisory services, manage investment advisory accounts or hold itself out as providing financial planning or some similarly termed services *and* impose a minimum dollar value of assets or other condition for starting or maintaining an account?

Yes No
☒ ☐

(If yes, describe on Schedule F)

- 11. Review of Accounts.** If applicant provides investment supervisory services, manages investment advisory account, or holds itself out as providing financial planning or some similarly termed services:

- A. Describe below the reviews and reviewers of the accounts. **For reviews**, include their frequency, different levels, and triggering factors. **For reviewers**, include the number of reviewers, their titles and functions, instructions they receive from applicant on performing reviews, and number of accounts assigned each.

Adviser (defined in Schedule F) has two Adviser's Representatives (defined in Schedule F) who review client accounts. Each of Adviser's Representatives is in contact with his or her clients no less frequently than semi-annually (contact triggering factors are described below). Adviser provides detailed instructions for Adviser's Representatives to follow when completing reviews. Of the two reviewers, one of Adviser's Representatives is responsible for approximately 175 accounts and the other is responsible for approximately 56 accounts.

The factors that trigger client contact are as follows: (1) Clients are contacted no less frequently than semi-annually; (2) Clients are contacted at such time as the client's personal, financial or business status changes so as to indicate or necessitate a review, or upon special request of the client; and (3) Clients are contacted at times of unusual market conditions as determined by Adviser.

- B. Describe below the nature and frequency of regular reports to clients on their accounts.

Adviser issues quarterly reports for clients who have assets under management with Adviser. Clients who receive consulting services receive reports within the scope and as frequently as Adviser and the client agree.

FORM ADV
Part II - Page 6

Applicant:

RON DENK INVESTMENT ADVISORY SERVICES, LTD.

SEC File Number:

801-62600

Date:

1/6/2009

12. Investment or Brokerage Discretion.

A. Does applicant or any related person have authority to determine, without obtaining specific client consent, the:

- | | | |
|--|-------------------------------------|-------------------------------------|
| | Yes | No |
| (1) securities to be bought or sold? | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| (2) amount of securities to be bought or sold? | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| (3) broker or dealer to be used? | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| (4) commission rates paid? | <input type="checkbox"/> | <input checked="" type="checkbox"/> |

B. Does applicant or a related person suggest brokers to clients? ☒ Yes ☐ No

For each yes answer to A describe on Schedule F any limitations on the authority. For each yes to A(3), A(4) or B, describe on Schedule F the factors considered in selecting brokers and determining the reasonableness of their commissions. If the value of products, research and services given to the applicant or a related person is a factor, describe:

- the products, research and services
- whether clients may pay commissions higher than those obtainable from other brokers in return for those products and services
- whether research is used to service all of applicant's accounts or just those accounts paying for it; and
- any procedures the applicant used during the last fiscal year to direct client transactions to a particular broker in return for product and research services received.

13. Additional Compensation.

Does the applicant or a related person have any arrangements, oral or in writing, where it:

- | | | |
|---|-------------------------------------|--------------------------|
| A. is paid cash by or receives some economic benefit (including commissions, equipment or non-research services) from a non-client in connection with giving advice to clients? | Yes | No |
| | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| B. directly or indirectly compensates any person for client referrals? | Yes | No |
| | <input checked="" type="checkbox"/> | <input type="checkbox"/> |

(For each yes, describe the arrangements on Schedule F.)

14. Balance Sheet. Applicant must provide a balance sheet for the most recent fiscal year on Schedule G if applicant:

- has custody of client funds or securities (unless applicant is registered or registering only with the Securities and Exchange Commission); or
- requires prepayment of more than \$500 in fees per client and 6 or more months in advance

Has applicant provided a Schedule G balance sheet?..... ☐ Yes ☒ No

Answer all items. Complete amended pages in full, circle amended items and file with execution page (page 1)

**Schedule F of
FORM ADV
Continuation Sheet for Form ADV Part II**

Applicant: RON DENK INVESTMENT ADVISORY SERVICES, LTD.	SEC File Number: 801- 62600	Date: 1/6/2009
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(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other Schedules)

1. Full name of applicant exactly as stated in Item 1A of Part I of Form ADV: Ron Denk Investment Advisory Services, Ltd.		IRS Empl. Ident. No.:
Item of Form (identify)	Answer	
1.D, 1.A.1	<p>Ron Denk Investment Advisory Services, Ltd. (dba Denk Strategic Wealth Partners) is an Arizona corporation (referred to herein as "Adviser"). Adviser specializes in providing investment management and consulting services to individuals, trusts, estates, corporations and other business entities, as well as pension and profit sharing plans. Adviser's representatives who provide advice to clients ("Adviser's Representatives") are also registered as securities representatives with Lincoln Financial Securities Corporation, a FINRA securities broker-dealer ("LFSC"). Adviser's Representatives are also affiliated with various life and health insurance companies. A description of the Adviser's advisory services and the fees for the services follows:</p> <p>INVESTMENT MANAGEMENT SERVICES</p> <p>Services Provided. Adviser provides investment management services to clients wishing to have their investments managed continuously on a discretionary basis. Adviser is available to provide management services to a wide variety of clients including individuals, pension and profit sharing plans, trusts, estates, corporations and other entities. Adviser will also provide account management services on a nondiscretionary basis.</p> <p>Investment management services begin by Adviser assisting each new client in determining the client's investment objectives. Adviser's Representatives then manage each client's investments in a manner consistent with the client's objectives and risk tolerance. Adviser also assists the client in establishing a securities account at a brokerage firm which maintains custody of client securities either directly or with the assistance of its transaction clearing firm. Adviser's Representatives generally recommend that each client establish a brokerage account with TD Ameritrade, and most clients typically do so.</p> <p>In addition to managing various types of securities for clients, such as common and preferred stocks, ETFs, mutual funds, annuity subaccounts, warrants, rights, bonds, municipal securities, options on securities, and government bonds, a portion of the securities in client accounts may be held in cash or cash equivalents, including money market mutual funds.</p> <p>Although Adviser's Representatives check on fundamental analysis when evaluating client securities, Advisor Representatives always look at the technical analysis of price action using point and figure methodology, with computer charts and graphs provided by DorseyWright Associates, Richmond VA, and make purchase and sale decisions based on the technical analysis first. Advice given to any client may differ from advice given, or the timing and nature of the actions taken, with respect to other client accounts. Adviser will make a reasonable effort to follow all investment management restrictions and instructions expressed to Adviser and/or Adviser's Representatives by a client. Clients are encouraged to call Adviser or Adviser's Representatives at any time if the client has questions.</p> <p>When Adviser's Representatives place securities transaction orders, the securities brokerage firm processing the orders furnishes the client with a confirmation of each transaction. Custodians will provide monthly and annual securities account statements to each client.</p>	

(Complete amended pages in full, circle amended items and file with execution page (page 1)).

**Schedule F of
FORM ADV
Continuation Sheet for Form ADV Part II**

Applicant: RON DENK INVESTMENT ADVISORY SERVICES, LTD.	SEC File Number: 801- 62600	Date: 1/6/2009
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(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other Schedules)

1. Full name of applicant exactly as stated in Item 1A of Part I of Form ADV: Ron Denk Investment Advisory Services, Ltd.		IRS Empl. Ident. No.:						
Item of Form (identify)	Answer							
	<p>Adviser does not guarantee the results of its recommendations and losses can occur from receiving Adviser's services.</p> <p>Fees for Investment Management Services. Fees charged by Adviser for its Investment Management Services are negotiable and are based upon the value and type of assets being managed.</p> <p>Although all fees, including the minimum annual fee, may be negotiated, such fees generally conform to the following fee schedule:</p> <table border="0"> <tr> <td>The first \$5,000,000</td> <td>up to 2.00%</td> </tr> <tr> <td>The next \$5,000,000</td> <td>.55%</td> </tr> <tr> <td>The remainder over \$10,000,000</td> <td>.50%</td> </tr> </table> <p>Fees are billed quarterly, in advance, and are deducted directly from the assets under management. Upon termination, which can occur by written notice from one party to the other, fees are prorated to date of termination. Adviser may charge a start-up fee ranging from \$50 to \$250, which is negotiable and payable at the end of the initial consultation.</p> <p>The fees shown above are for Investment Management Services only. Fees do not cover any transaction, custodial or other service costs nor do the fees shown above cover any "missed appointment fees." Any client who misses a scheduled appointment without providing at least 24 hours notice will be charged a \$50 missed appointment fee.</p> <p>INVESTMENT CONSULTING SERVICES - FINANCIAL PLANNING</p> <p>Services Provided. Adviser also provides investment consulting services on an hourly or flat fee basis. Services are performed by Adviser's Representatives within the scope requested by a client and usually include an analysis of a client's current investments, investment objectives and risk tolerance followed by recommendations for the sale of existing or purchase of new investments. Consideration is given to risk tolerance, income needs and short and long-term financial objectives. Consulting services result in a report being provided to a client which may be in writing if requested by the client.</p> <p>After the initial analysis of the client's investment situation is performed and initial advice provided to a client, Adviser makes available ongoing consulting services, also on an hourly or flat fee basis, designed to continue the advisory relationship to implement, update and modify the initial advice provided.</p> <p>The decision to accept any recommendation or advice provided by Adviser and all decisions regarding implementation thereof are left to the client. Clients are free to implement recommended transactions through broker-dealers, insurance and other service providers other than those recommended by Adviser. Clients are advised to evaluate independently the transaction execution services recommended by Adviser's Representatives, including reasonableness of any transaction price and commission.</p>		The first \$5,000,000	up to 2.00%	The next \$5,000,000	.55%	The remainder over \$10,000,000	.50%
The first \$5,000,000	up to 2.00%							
The next \$5,000,000	.55%							
The remainder over \$10,000,000	.50%							

(Complete amended pages in full, circle amended items and file with execution page (page 1)).

**Schedule F of
FORM ADV
Continuation Sheet for Form ADV Part II**

Applicant: RON DENK INVESTMENT ADVISORY SERVICES, LTD.	SEC File Number: 801-62600	Date: 1/6/2009
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(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other Schedules)

1. Full name of applicant exactly as stated in Item 1A of Part I of Form ADV: Ron Denk Investment Advisory Services, Ltd.		IRS Empl. Ident. No.:
Item of Form (identify)	Answer	
	<p>Fees for Consulting Services. The hourly fee for investment consulting services varies from \$125 to \$250 per hour and depends upon the nature and scope of the advice requested by the client, nature and number of investments in a client's portfolio, amount and nature of research required to complete the project, the scope and number of reports requested by a client, and the experience of Adviser's Representatives and/or Adviser's staff used to provide services. Prior to engagement, each client signs an agreement which provides an estimate of the total fee for services. There is a minimum fee of \$1,000. One-half of the fee is due in advance. The product of Adviser's consulting services will be delivered to the client within 6 months from the date Adviser receives initial payment. In addition to the consulting fee, any client who misses a scheduled appointment without providing at least 24 hours notice will be charged a \$50 missed appointment fee.</p> <p>The agreement between Adviser and a client for consulting services may be terminated by either party at any time by ten (10) days advance written notice to the other. Any fee due, but not yet paid by a client, is due promptly after termination of the agreement. All unearned prepaid fees are returned to the client by Adviser.</p> <p>NO LEGAL OR TAX ADVICE</p> <p>In no event will Adviser provide tax or legal advice. Clients should contact their tax or legal professionals for such advice.</p>	
1.D, 1.A.7	<p>There are occasions when Adviser's Representatives will provide advice on matters not involving securities. This advice covers, but is not restricted to the following areas: insurance, debt position, refinancing loans, goal setting, tax issues and employer benefits (in no event, however, will Adviser's Representatives provide legal or tax advice). No fee is charged to the clients for these services. Compensation, if any, may be earned as a result of client purchasing products not involving securities on advice from Adviser's Representatives as insurance agents or broker-dealer agents.</p>	
1.D, 1.A.9	<p>Seminars: Adviser may conduct a variety of seminars in various settings, such as credit unions, business organizations and non-profits. For the seminars, basic financial information will be offered to the public. Topics are designed to increase conceptual understanding of personal financial issues, but in no event will Adviser provide legal or tax advice.</p> <p>Credit Union Seminars: A negotiable fee will be charged to the credit union. The fee will be paid at the time of the seminar. Attendees will pay for seminar materials at the time of the seminar.</p> <p>Business and Other Organization Seminars: A fee may or may not be charged to the business or organization. If a fee is charged to the business or organization, it is negotiable and paid following completion of the seminar. A fee may or may not be charged to the seminar attendees. If a fee is charged to seminar attendees, it is set on a seminar-to-seminar basis, payable in advance, and refunded if the registrant cancels no fewer than five (5) business days before the beginning of the seminar.</p>	

(Complete amended pages in full, circle amended items and file with execution page (page 1).)

**Schedule F of
FORM ADV
Continuation Sheet for Form ADV Part II**

Applicant: RON DENK INVESTMENT ADVISORY SERVICES, LTD.	SEC File Number: 801 - 62600	Date: 1/6/2009
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(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other Schedules)

1. Full name of applicant exactly as stated in Item 1A of Part I of Form ADV: Ron Denk Investment Advisory Services, Ltd.		IRS Empl. Ident. No.:
Item of Form (identify)	Answer	
	<p>Adviser-Sponsored Seminars: Seminars offered and sponsored by Adviser will be held in-house or at another facility. These seminars may cover a variety of financial topics. No fee will be charged for these Adviser-sponsored seminars.</p> <p>Transaction and Execution Services: Adviser typically recommends that clients utilize the execution services of TD Ameritrade, which Adviser believes charges clients competitive commissions on a trade-by-trade basis. Although Adviser believes its advisory fees and the transaction execution commissions of TD Ameritrade are competitive, clients may be able to obtain similar services at higher or lower costs if acquired elsewhere.</p>	
3.K.3	Equipment leasing programs, alternative energy programs and tax credit programs.	
4.A.5	Adviser's Representatives evaluate client's investments to determine whether they are in harmony with the client's financial objectives. Adviser's Representatives design portfolios to help clients maintain their financial goals. Adviser's Representatives may also use Monte Carlo modeling.	
4.B.8	Internet research.	
4.C.7	<p>By its nature, financial planning looks to the long-term. After evaluating the client's short-term cash needs and emergency fund, investment and insurance strategies are designed to help the client achieve the client's financial goals.</p> <p>CONSIDERATIONS</p> <p>Remuneration Earned by Adviser's Representatives. Securities and insurance commissions and other fees will be earned by Adviser's Representatives as licensed securities and insurance agents when Adviser's Representatives recommend securities and/or insurance products that the client then purchases through Adviser and/or Adviser's Representatives. Thus Adviser and Adviser's Representatives have a conflict of interest when recommending transactions to clients because the Adviser and/or Adviser's Representatives will receive a commission or fee if the client follows such recommendations.</p> <p>Prospective and existing clients should be aware that continuing asset-based or other ongoing fee payments (such as 12-(b)-1 fees) to Adviser's Representatives stemming from one or more mutual fund positions in a managed investment account create a conflict or an inducement for Adviser's Representatives to recommend that the position(s) not be sold because selling the positions will reduce Adviser's Representatives' compensation.</p>	
5.	<p>Standards of Education. The following are required of those giving investment advice to clients:</p> <ol style="list-style-type: none"> 1. CFP or CFA designation or actively enrolled in a CFP or CFA program. 2. Maintain continuing education credits, as required by a Certifying Board (for CFP or CFAs). 3. Or, an MBA degree with emphasis on finance or economics; 	

(Complete amended pages in full, circle amended items and file with execution page (page 1).)

**Schedule F of
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Continuation Sheet for Form ADV Part II**

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(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other Schedules)

1. Full name of applicant exactly as stated in Item 1A of Part I of Form ADV: Ron Denk Investment Advisory Services, Ltd.		IRS Empl. Ident. No.:
Item of Form (identify)	Answer	
6.	<p>4. Or, three years actual work experience in the finance field; 5. Or, supervision by an associate who meets at least one of the requirements above.</p> <p>Resumes of Professional Staff.</p>	
7.B	<p>Ronald Denk, CFP, CRPC; 1949; BA, Lawrence University, graduate courses in finance and economics, Certified Financial Planner, Chartered Retirement Planning Counselor, over 36 years experience in the financial services industry, registered principal, founded Adviser in 1991, registered representative of LFSC (formerly Jefferson Pilot Securities Corporation) since 1990. Anton (Tony) Denk, CFP, ChFC; 1966; attended University of Wisconsin, Certified Financial Planner, Chartered Financial Consultant; fifteen years experience in the financial services industry, twelve at LPL/Fletcher Financial and the most recent three with Adviser, registered representative of LFSC (formerly Jefferson Pilot Securities Corporation) since 2004. Patrick Kujawa; 1967; BBA, University of Notre Dame; four-year tour as US Naval Officer-USS Halsey (CG 23), over 16 years experience in financial services industry, external sales for Strong Capital Mgmt, Undisc. Mgrs (founding principal), JPMorgan Asset Mgmt and O'Shaughnessy Asset Mgmt. Other Business Activities. see additional on final page of this filing.</p>	
9.B, 9.D, 9.E	<p>Although Adviser's Representatives are available to provide Investment Management Services, they are also Registered Representatives of LFSC, and registered as insurance agents. The majority of each Adviser's Representatives' time is spent on Investment Management Services matters, while the balance of their time is spent on processing and supervising securities transactions and offering and selling insurance products, including fixed annuities, life insurance and long-term care policies.</p> <p>Interest in Client Transactions.</p> <p>Because Adviser's Representatives who give advice are also licensed registered representatives for LFSC, both Adviser and Adviser's Representatives have conflicts of interest when they (1) recommend LFSC's brokerage services, and (2) determine, on a discretionary basis, the number, kind and amount of securities trades to process through LFSC because such determinations influence the amount of revenue earned by Adviser and/or Adviser's Representatives.</p> <p>At times, the investment interests of Adviser's Representatives and other employees of Adviser correspond with a client's interest and Adviser's Representatives may invest in, or want to sell, the same securities that are recommended to clients. While this may represent a potential conflict of interest, Adviser's Representatives will give priority to client trades and be "last in" and "last out" for the trading day.</p> <p>Adviser has established a Code of Ethics applicable to all persons at the firm who have access to confidential client records or to recommendations being made for client accounts. Designed to prevent conflicts of interest between the financial interest of clients and the interests of Adviser's staff, the Code requires such "access persons" to obtain preapproval of certain securities transactions, to report</p>	

(Complete amended pages in full, circle amended items and file with execution page (page 1).)

**Schedule F of
FORM ADV
Continuation Sheet for Form ADV Part II**

Applicant: RON DENK INVESTMENT ADVISORY SERVICES, LTD.	SEC File Number: 801- 62600	Date: 1/6/2009
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(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other Schedules)

1. Full name of applicant exactly as stated in Item 1A of Part I of Form ADV: Ron Denk Investment Advisory Services, Ltd.		IRS Empl. Ident. No.:
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10.	<p>transactions quarterly and to report all securities positions in which they have a beneficial interest at least annually. These reporting requirements allow Adviser to determine whether to allow or prohibit certain employee securities trades based on transactions made, or anticipated to be made, in the same securities for client accounts. The Code also establishes certain bookkeeping requirements relating to the new internal reporting rules. The Code is required to be reviewed annually and updated as necessary. A complete copy of the firm's Code is available upon request.</p> <p>Conditions for Managing Accounts.</p>	
12.A.1-12.A.4 and 12.B	<p>Adviser may charge a minimum fee to accounts receiving account management or consulting services. The project fee is determined at contract signing and is subject to a minimum \$1,000 per project fee.</p> <p>Use of Discretion. When discretion is exercised, Adviser is granted authority from a client to select the securities to buy and sell, the amount of securities to buy and sell and when to buy and sell them. Adviser's Representatives will therefore place orders for client accounts without contacting the client on a trade-by-trade basis for permission to enter a transaction order. Adviser will also exercise discretion to liquidate securities in amounts sufficient to cover its fees.</p> <p>Adviser and Adviser's Representatives suggest brokers to clients, but do not exercise discretion to secure any broker. Adviser participates in the TD Ameritrade Institutional Services program. TD AMERITRADE Institutional is a Division of TD AMERITRADE, Inc. ("TD Ameritrade"). TD Ameritrade, Inc. is an unaffiliated FINRA broker-dealer. TD Ameritrade offers to independent investment advisors services which include custody of securities, trade execution, clearance and settlement of transactions. Adviser receives some benefits from TD Ameritrade through its participation in the program.</p> <p>When exercising discretion, Adviser may combine orders for more than one client's account to form a "block" order for the purpose of obtaining a better price and execution. When a block order is executed, the broker-dealer executing the order typically allocates an average execution price to each customer's position within the block on a pro rata basis. Clients should be aware that although Adviser may place a block order, the executing broker may unbundle the order and execute each order for a client's account on an individual basis resulting in different execution prices to each client. Also, an exact average price may not occur as a result of the brokerage firm applying varying commission discounts to client accounts.</p> <p>Clients should be aware that designation of a single broker-dealer, including LFSC or TD Ameritrade, for execution of securities transactions may not allow Adviser to receive research from other broker-dealers in return for commission business, to combine transaction orders with those of other clients for volume commission discounts, or to allow Adviser to exercise discretion to evaluate the broker-dealer, which may result in an inability to secure the best price or execution of client transactions.</p> <p>Neither Adviser nor Adviser's Representatives have authority to withdraw funds or take custody of client funds or securities, except for payment of advisory fees due Adviser.</p>	

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13.A	<p>Benefits from Non-Clients.</p> <p>When recommending broker-dealers and custodians, Adviser will most often recommend those, like TD Ameritrade, that provide administrative support services to Adviser. These services include computer access to customer positions, quotes, reports to clients, specialized software, securities research and other benefits. Although Adviser believes that use of these services enhances its advisory services to clients, receipt of such services also creates a conflict of interest for Adviser because recommending any other broker-dealer or custodian may result in a higher reporting and overhead cost to Adviser.</p>	
13.B	<p>Payments to Others.</p> <p>Adviser may pay fees to firms and individuals who refer clients to Adviser. Such fees are usually calculated as a percentage of the fees paid by a client. The payments for referrals do not result in a higher fee to a client, and the amount of the percentage which is shared is disclosed to the client before contract signing.</p> <p>NOTICE REGARDING PROXIES</p> <p>Adviser does not vote proxies on behalf of clients.</p> <p>NOTICE REGARDING TREATMENT OF CONFIDENTIAL INFORMATION</p> <p>Privacy Notice to Our Clients.</p> <p>Adviser is committed to safeguarding the confidential information of its clients. We hold all personal information provided to our firm in the strictest confidence. These records include all personal information that we collect from you in connection with any of the services provided by Adviser. We have never disclosed information to nonaffiliated third parties, except as permitted by law, and do not anticipate doing so in the future. If we were to anticipate such a change in firm policy, we would be prohibited under the law from doing so without advising you first. As you know, we use health and financial information that you provide to us to help you meet your personal financial goals while guarding against any real or perceived infringements of your rights of privacy. Our policy with respect to personal information about you is listed below.</p> <ul style="list-style-type: none"> • We limit employee and agent access to information only to those who have a business or professional reason for knowing, and only to nonaffiliated parties as permitted by law. (For example, federal regulations permit us to share a limited amount of information about you with a brokerage firm, such as Lincoln Financial Securities Corporation, a FINRA securities broker-dealer, or TD Ameritrade Institutional, a division of TD Ameritrade, Inc., an unaffiliated FINRA broker-dealer, in order to execute securities transactions on your behalf, or so that our firm can discuss your financial situation with your accountant or lawyer.) 	

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7.B	<ul style="list-style-type: none"> • We maintain a secure office and computer environment to ensure that your information is not placed at unreasonable risk. • The categories of nonpublic personal information that we collect from a client depend upon the scope of the client engagement. It will include information about your personal finances, information about your health to the extent that it is needed for the planning process, information about transactions between you and third parties, and information from consumer reporting agencies. • For unaffiliated third parties that require access to your personal information, including financial service companies, consultants, and auditors, we also require strict confidentiality in our agreements with them and expect them to keep this information private. Federal and state regulators also may review firm records as permitted under law. • We do not provide your personally identifiable information to mailing list vendors or solicitors for any purpose. • Personally identifiable information about you will be maintained during the time you are a client, and for the required time thereafter that such records are required to be maintained by federal and state securities laws, and consistent with the CFP Board Code of Ethics and Professional Responsibility. After this required period of record retention, all such information will be destroyed. <p>Further Information.</p> <p>For additional information regarding our privacy policy, please contact Denk Strategic Wealth Partners, 2702 N. 3rd Street, Suite 4001, Phoenix, AZ 85004 or call (602) 252-8700.</p> <p>Other Business Activities</p> <p>DSWP will offer for sale its signals of buying and selling securities to other representatives to use as they wish. This will consist of recommendations of mutual funds and/or exchange traded funds and individual stocks, with recommendations based on our relative strength methodology. Any advisor who purchases and uses these signals will be individually responsible to know their own clients and apply our recommendations to their clients. We would have no contact with the clients of these advisors and have access to no financial data of these advisor's clients. A negotiated fee based on total assets managed will be calculated.</p>	

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